

1 **Emerging patents versus brain eating amoebae, *Naegleria fowleri***

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8 **Running head: Patents versus *Naegleria fowleri* and PAM**

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12 **Highlights Box**

- 13 • *Naegleria fowleri* infection exhibits >90% mortality, despite advances in drug discovery
- 14 • Recent patents along with research and innovations are reviewed and categorized into
15 therapeutic agents, water treatment technologies, and diagnostic methods
- 16 • Water treatment strategies are explored in the rationale development of preventative
17 strategies
- 18 • Current challenges and opportunities for further research and development, emphasizing
19 the need for targeted strategies are discussed

22 **Abstract**

23 Primary Amoebic Meningoencephalitis (PAM) is a severe and often fatal infection
24 caused by the free-living amoeba *Naegleria fowleri*. This condition typically results from
25 exposure to contaminated warm freshwater/inadequately treated recreational water/or
26 ablution/nasal irrigation with contaminated water. The management of PAM is hindered by the
27 absence of effective treatment coupled with challenges in early diagnosis. This review explores
28 emerging patents that could be utilized for the treatment, diagnosis of PAM, as well as water
29 treatment. Recent patents from the past five years, along with research and innovations are
30 reviewed and categorized into therapeutic agents, water treatment technologies, and diagnostic
31 methods. It is hoped that collaboration and awareness between pharmaceutical companies, water
32 industries, and academic institutions is essential for advancing effective strategies against this
33 severe central nervous system pathogen.

34 **Key words:** *Naegleria fowleri*; Brain eating amoebae; Patents; Primary Amebic
35 Meningoencephalitis; Central nervous system infections.

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43 **1. Introduction**

44 *Naegleria fowleri* are free-living pathogenic amoebae with a global distribution [1-3].
45 These microorganisms also known as “brain eating amoebae” are implicated in the rare but
46 typically fatal condition known as primary amoebic meningoencephalitis (PAM), a severe
47 infection of the central nervous system (CNS) [4-5]. It's important to note that the true extent of
48 the disease and the impact of infection caused by *Naegleria* is likely underestimated, and this is
49 of great concern given the high mortality rate of more than 95% [5-8]. *Naegleria fowleri* in its
50 trophozoite form, infects humans by entering through the nasal cavity during activities involving
51 water, such as swimming or nasal cleansing and/or ablution with contaminated water [1]. Once
52 inside, it adheres to the nasal mucosa, penetrates the olfactory neuroepithelium, travels along the
53 olfactory nerve, and reaches the olfactory bulb [1]. Upon reaching the brain, there is
54 inflammation, which damages nerves and the central nervous system, ultimately leading to death
55 [1]. The progression of symptoms involves severe frontal headache, nausea, vomiting, and fever,
56 leading to stiff neck, altered mental status, seizures, cerebral edema, and cerebellar herniation,
57 eventually leading to coma and death within about a week [1,4].

58 Currently, no effective treatments exist for PAM caused by *Naegleria fowleri* [4]. The
59 Centers for Disease Control and Prevention (CDC) recommends a combination therapy that
60 includes amphotericin B, fluconazole, rifampin, miltefosine, azithromycin, and dexamethasone
61 [9]. However, amphotericin B's use is constrained by its significant toxicity, particularly
62 nephrotoxicity [10]. These drugs, administered intravenously, can cause systemic side effects
63 and face challenges in crossing the blood-brain barrier, leading to inadequate concentrations in
64 the central nervous system to effectively target *N. fowleri* [9-10].

65 Progress in developing novel treatments against *Naegleria* has been hindered by the
66 absence of clinical trials, limited patents and inventions, and a general lack of interest from the
67 pharmaceutical industry, primarily because PAM is considered a rare disease [4]. However,
68 recent data reveal an increasing number of PAM cases since 2000, which is concerning [5].
69 Compounding this issue is the global shortage of water supplies, particularly in developing
70 countries, leading to the widespread use of water storage tanks. These tanks frequently harbour
71 microorganisms, including free-living amoebae, heightening the need for more effective and less
72 toxic therapies to combat this devastating infection [8-10]. Because of the rarity and fatality of
73 the disease, conducting phase II clinical trials for safe and effective treatment candidates is not
74 feasible. As a result, determining the efficacy of one clinically safe compound over another is
75 dependent on *in vitro* assays and animal testing [4]. In this review, we analyze recent
76 advancements by exploring bibliographic databases such as PubMed, Google Scholar, and
77 Google Patents. We focus on patents and inventions developed over the past five years that hold
78 potential in combating *Naegleria fowleri*, the causative agent of Primary Amebic
79 Meningoencephalitis (PAM). These innovations are categorized into three key areas: therapeutic
80 agents and devices, water treatment technologies, and diagnostic methods. The review also
81 identifies current challenges and uncovers opportunities for further research and development,
82 emphasizing the need for targeted strategies to address the growing threat posed by this lethal
83 pathogen.

84 **2. Therapeutic agents and devices**

85 Most research directed toward developing therapeutic strategies against *Naegleria* has
86 largely been performed through *in vitro* studies [4]. Many of these studies have utilized existing
87 compounds and repurposed them or utilized existing or novel drugs modified with

88 nanotechnology [11-15], however very few of these have studies have led to patents or
89 inventions or translation to the clinic. A recent patent was filed consisting of a mesenchymal
90 stem cell preparation combined with one or more biomolecules [16]. The compositions in the
91 patent are intended for the treatment of a variety of microbial infections, as well as the symptoms
92 or secondary pathological conditions related to such infections. These include viral, bacterial,
93 fungal, or parasitic infections, including *Naegleria fowleri* [16]. Currently this application has
94 been filed and is pending approval and could be applied for treatment of PAM caused by
95 *Naegleria fowleri*. Another recent patent is of interest, which outlines methods and compositions
96 for modulating the response of microorganisms to antimicrobial agents [17]. Specifically, one
97 embodiment in the invention involves treating the microorganism with both an antimicrobial
98 agent and bicarbonate. Another embodiment of the invention provides methods for treating
99 microbial infections by administering an effective dose of (i) bicarbonate and (ii) an
100 antimicrobial agent to a subject in need. Additionally, the patent includes methodologies for
101 screening and identifying antimicrobial compounds, and could be applied for the treatment of
102 PAM, however both these inventions should be verified *in vitro/in vivo* studies specifically
103 exploring the activities against *Naegleria fowleri* [17].

104 Another invention pertaining to therapeutic agents comprising 1,2,4-oxadiazole and
105 1,2,4-thiadiazole compounds, that are designed to inhibit the programmed cell death 1 (PD-1)
106 signaling pathway, may be applied against *Naegleria fowleri* [18]. This invention also includes
107 derivatives of these therapeutic agents. Furthermore, it covers the application of these agents and
108 their derivatives in the treatment of disorders through immunopotentialiation, specifically by
109 inhibiting immunosuppressive signals associated with programmed cell death. The invention also
110 encompasses therapeutic strategies utilizing these agents. The inventors claim that this could be

111 utilized against *Naegleria fowleri* [18]. Given that previous studies *in vitro* show activities of
112 azole compounds against these free-living amoebae, this invention is promising [19-22].

113 Another patent of interest, which seems particularly promising has been filed recently
114 [23] and focuses on using ultrasound to enhance the delivery of antimicrobial agents directly to
115 infection sites, with particular emphasis on treating infections, with the inventors referring to
116 *Naegleria fowleri*, brain-eating amoebae in particular [23]. The invention offers both a cluster
117 composition and a pharmaceutical formulation designed to facilitate the targeted delivery and
118 administration of antimicrobial agents, aiming to improve infection management [23]. The
119 inventors have found that Acoustic Cluster Therapy (ACT®) can specifically target infection
120 sites and improve the uptake of antimicrobial agents. This method enhances the treatment's
121 effectiveness and reduces toxicity by increasing the localized concentration of the agents [23].
122 This approach could be particularly relevant against *Naegleria fowleri*, given the high toxicity of
123 current treatments available against PAM [10].

124 Another interesting invention describes a chimeric antigen receptor (CAR) designed for
125 targeting a variety of microbial pathogens and could be utilized against *Naegleria fowleri* [24].
126 This CAR consists of: (a) an extracellular domain with one or more antigen-binding regions
127 specific to pathogen-related antigens; (b) a transmembrane domain; and (c) one or more
128 intracellular signaling domains from an 'eat-me' signal receptor, enabling the receptor to
129 specifically bind to pathogen antigens and trigger an endogenous, silent phagocytic clearance
130 signal. Additionally, the invention includes a polynucleotide encoding the receptor, a gene
131 vector, a recombinant cell expressing the CAR, and a composition. Notably, the CAR directs the
132 recombinant cell to clear pathogens via phagocytosis without significant cytokine release,

133 resulting in a 'silent' pathogen clearance mechanism [24]. However, how this particular invention
134 can be used against PAM is not detailed and needs to be investigated further.

135 Although several novel patents have been filed in the last five years, of which the
136 pertinent ones are indicated herein, most of these inventions, if not all; have not directly been
137 tested against *Naegleria fowleri* and it remains to be elucidated whether these are effective for
138 the treatment of PAM. To overcome the challenge of drug transport to the brain, particularly for
139 treating PAM caused by *Naegleria fowleri*, an intranasal drug delivery route using nasal inhalers
140 has been proposed by our group [25]. This approach takes advantage of the glymphatic system
141 associated with the trigeminal and olfactory pathways, allowing for efficient and noninvasive
142 delivery of therapeutics directly to the CNS, bypassing the blood-brain barrier. Intranasal
143 administration reduces the risk of systemic side effects, such as hepatotoxicity and
144 nephrotoxicity, that are common with higher drug concentrations. Additionally, this method may
145 improve drug efficacy and reduce mortality by ensuring targeted delivery to the brain with
146 minimal damage to other tissues [25]. Although this approach has been shared with the scientific
147 community and not been patented, such an invention should be evaluated and developed as a
148 much-needed treatment against PAM.

149 Another pertinent invention relates to the use of miltefosine, alone or in combination with
150 other agents, to treat infections caused by free-living amoebae, including *Naegleria fowleri*,
151 *Balamuthia mandrillaris*, *Sappinia diploidea*, and *Acanthamoeba* species, targeting both
152 trophozoite and cyst forms. The inventors state that miltefosine can be administered systemically
153 (e.g., orally or intravenously) or locally (e.g., topically). Treatment may last from one month to a
154 year, with dosing adjustments over time. The method may include a second agent, such as an
155 antifungal or antibiotic, to enhance treatment efficacy [26].

156 **3. Water treatment technologies**

157 Despite growing awareness, it is concerning that current global water quality monitoring
158 programs do not account for brain-eating amoebae in public water supplies [27]. Although
159 monitoring all waterborne pathogens may not be feasible, the unique characteristics of *Naegleria*
160 *fowleri*, including its free-living nature, severe health risks, potential association with nasal
161 cleansing practices, and its role as a host for other pathogenic microorganisms, warrant its
162 inclusion in water quality surveillance protocols [27]. A method/invention for regenerating ion
163 exchange materials used in water softening or conditioning systems involves treating the ion
164 exchange material with an aqueous process fluid to restore its function. This process effectively
165 removes at least one type of target material from the resin, which may include metal ions
166 (typically extracted from hard water sources), ionically soluble organic compounds, or active
167 waterborne pathogens [28]. The inventors claim that method could be utilized against protozoa
168 including one or more of the following: *Naegleria fowleri*, *Acanthamoeba polyphaga*,
169 *Acanthamoeba castellanii*, *Entamoeba histolytica*, *Cryptosporidium parvum*, *Cyclospora*
170 *cayetanensis*, *Giardia lamblia*, *Microsporidia*, and *Encephalitozoon intestinalis* [28]. However,
171 the status of this invention is now stated as abandoned.

172 Conventional pool disinfection methods are not always effective against certain
173 microorganisms, such as *Naegleria fowleri* and *Acanthamoeba*, which can cause severe. Studies
174 have shown that these amoebas can survive in chlorinated pools, even those maintained
175 according to standard safety regulations. For example, a study in Chile found that several public
176 swimming pools contained *Naegleria fowleri* and *Acanthamoeba* [29]. Another recent patent of
177 interest details a method for treating large water bodies to make them suitable for recreational
178 use [30]. The invention details the method which states the water body is divided into a

179 sedimentation zone and a dissipation zone. In the sedimentation zone, a disinfection method
180 based on the concentration-time index (CT index) and a flocculant composition are used to help
181 settle microorganisms and contaminants, with minimal disturbance to the water to aid this
182 process. In the dissipation zone, a chlorine disinfectant is added to maintain at least 0.5 mg/L of
183 free chlorine, ensuring a lasting chlorine presence. Water is introduced through inlet nozzles,
184 creating a dissipation pattern that, along with natural currents, promotes movement from the
185 dissipation zone to the sedimentation zone [30]. This invention is very timely and topical, given
186 the free-living nature of *Naegleria fowleri* and its presence worldwide, and in abundance in
187 swimming pools [31].

188 Another recent noteworthy patent describes systems and methods for eliminating and
189 transforming pollutants in water [32]. The system features electrodes, with at least one electrode
190 incorporating a catalyst material. The invention can operate in two modes: first, an
191 electro dialysis mode where pollutants are separated from the incoming water stream, and second,
192 an electrolysis mode where the separated pollutants are converted into harmless substances using
193 the catalyst material on the electrode. Thus, both electro dialysis and electrolysis are performed
194 within the same system [32].

195 We have recently proposed the use of novel adsorbents, particularly micelle clay
196 complexes that combine montmorillonite clay with activated carbon, as an effective method for
197 removing neuropathogenic microbes like *Naegleria fowleri* from water sources used in ablution
198 and nasal irrigation [33]. These adsorbents can be seamlessly integrated into household water
199 collection devices, such as taps and water bottles, providing an affordable and easy-to-install
200 disinfection solution [33]. These innovative methods are especially promising for communities in
201 developing regions where access to safe water is limited, reliance on water storage tanks is

202 common, and sanitation facilities are often inadequate, however, further research is required to
203 translate this invention for communal use [33]. Other pertinent recent from our group research
204 has highlighted the potential of deep eutectic solvents; these are binary or ternary mixtures of
205 compounds that form through predominantly hydrogen-bonding interactions, leading to a
206 significantly lower melting point compared to the individual substances and are highly effective
207 antimicrobial agents [34]. These solvents have demonstrated strong antimicrobial activity against
208 a diverse range of pathogens, including multidrug-resistant bacteria, fungi, amoebae, and some
209 viruses [34]. Additionally, deep eutectic solvents offer the advantages of being both
210 environmentally friendly and cost-effective. They present a promising option for targeting
211 waterborne pathogens like *Naegleria fowleri* in storage tanks. However, further studies are
212 essential to assess their potential toxicity and safety comprehensively [34].

213 **4. Potential Diagnostic methods**

214 Diagnosis involves obtaining cerebrospinal fluid through a lumbar puncture, which
215 typically shows an increased number of white blood cells and the presence of *Naegleria fowleri*
216 trophozoites [35-36]. These can be identified using Giemsa-Wright or trichrome stains. The
217 amoebae can be cultured on non-nutrient agar with live bacteria, ideally in Nelson's growth
218 medium. Trophozoites usually develop within three days and encyst after seven to ten days. The
219 conversion of trophozoites into flagellates can help confirm the diagnosis. Additional tests, such
220 as microscopy, enzyme-linked immunosorbent assay, and reverse transcription polymerase chain
221 reaction, further aid in identifying the amoebae [35-36]. Increased pressure in the cerebrospinal
222 fluid, along with a rise in red and white blood cell counts, indicates an advanced infection.
223 Prompt medical treatment is critical, particularly if there has been recent exposure to water [35].

224 A recent patent disclosure presents a method and kit for biomarker detection using light
225 scattering microscopy, which proves particularly effective for identifying low-abundance
226 biomarkers within complex samples [37]. The inventors state that the approach is designed for
227 use in point-of-care settings but may also be adapted for various other applications, including
228 quality control, environmental monitoring, and bio-analytical techniques. Specifically, the
229 method utilizes mass photometry to detect biomarkers. According to the patent documents, the
230 technology can be applied to diagnose a wide range of infections, including bacterial pathogens
231 such as *Bordetella*, *Chlamydia*, *Mycoplasma*, *Legionella*, as well as conditions like bacterial
232 meningitis, pneumonia, bronchitis, and sepsis. It also addresses viral infections, including but not
233 limited to *Coronavirus*, HIV, Hepatitis B (HBV), Hepatitis C (HCV), HSV, CMV, Rhinovirus,
234 Influenza A and B, Parainfluenza, and RSV. Additionally, the method covers fungal infections
235 like *Aspergillus*, *Candida*, *Penicillium*, *Histoplasma*, and various other fungi such as *C albicans*,
236 *C glabrata*, and *Saccaromyces cerevisiae*. It also includes parasitic diseases like Malaria,
237 Toxoplasmosis, Leishmaniasis, and African Trypanosomiasis, as well as amoebic infections,
238 including *Naegleria fowleri* and *Entamoeba histolytica* [37].

239 A recent patent for an invention describes a method for analyzing metagenomic next-
240 generation sequencing (mNGS) data [38]. This includes: 1) extracting nucleic acids from
241 samples, creating a library, and sequencing; 2) processing the data; 3) calculating various metrics
242 such as RPM (Micro), RPM (Micro)Ratio of Coverage, microbial abundance, and filtering out
243 negative controls; 4) performing significance analysis to distinguish background microorganisms
244 from actual pathogens; and 5) assessing pathogen confidence based on metrics like read
245 numbers, species abundance, and coverage to identify potential pathogens. The inventors state
246 that this mNGS method is particularly useful for identifying infectious disease pathogens. Unlike

247 traditional methods, which focus on culturing, morphological, biochemical, immunological, or
248 PCR-based detection of specific pathogens, mNGS enables high-throughput sequencing of all
249 nucleic acids in a sample [38]. It then compares the sequences to a database to identify pathogens
250 without prior assumptions, offering broad coverage and the ability to detect unexpected
251 pathogens. The application of mNGS has shown significant promise in diagnosing central
252 nervous system infections. For instance, in 2018, mNGS was instrumental in diagnosing a rare
253 case of PAM caused by *Naegleria fowleri* in Shenzhen [39]. This highlights the advantage of
254 mNGS in identifying difficult-to-diagnose infections that present with symptoms similar to other
255 conditions like autoimmune encephalitis.

256 **5. Conclusion**

257 Despite its rarity, PAM caused by *Naegleria fowleri* remains a severe and often fatal
258 condition. This rarity has contributed to pharmaceutical companies' reluctance to invest in the
259 development of specific treatments. However, with global warming potentially increasing the
260 prevalence of this thermophilic pathogen, the urgency for effective treatments is growing.
261 Currently, there are no specific drugs approved for PAM; treatment regimens typically involve a
262 combination of antifungal, antibiotic, anti-cancer, and anti-inflammatory agents.

263 In conclusion, research is actively exploring the adaptation of existing drugs and their
264 combination with nanoparticles, alongside testing new compounds that show promise against *N.*
265 *fowleri*. Despite these efforts, there is a notable deficiency in patents specifically targeting PAM
266 treatment. Nanoparticle-conjugated drugs and novel therapeutic candidates require further
267 investigation through rigorous *in vivo* studies, transcriptome analyses, and clinical trials,
268 particularly with intranasal delivery methods.

269 In addition to treatment, effective diagnostics and water treatment strategies are critical.
270 Current diagnostic approaches for *N. fowleri* and other free-living amoebae are limited, which
271 complicates early detection and intervention. Enhanced diagnostic tools are needed to identify
272 infections promptly and accurately. Moreover, conventional water treatment methods have
273 proven insufficient in eliminating *Naegleria fowleri* from recreational water bodies. As such,
274 there is a pressing need for improved water treatment solutions that can more effectively address
275 these pathogens and reduce the risk of infection.

276 **6. Future perspectives**

277 At present, there is a substantial gap in patent literature concerning targeted therapies for
278 PAM and *Naegleria fowleri*. Most current inventions are directed towards broader pathogen
279 categories or other infectious diseases, highlighting a critical need for more focused research and
280 development. Addressing this gap will require intensified research efforts, enhanced diagnostic
281 capabilities, and innovative water treatment technologies. Collaboration among pharmaceutical
282 companies, water industry stakeholders, and academic institutions is essential to develop
283 comprehensive strategies for combating this dangerous central nervous system pathogen and
284 improving public health safety. It is important to mention that the use of patented materials in
285 managing rare diseases like *Naegleria fowleri* may present unique opportunities and challenges.
286 Patents incentivize research into novel therapeutic agents for conditions with limited commercial
287 interest due to their rarity. This is particularly crucial for *Naegleria fowleri*, where the current
288 treatment options are few and often ineffective. However, the high cost associated with patented
289 innovations may pose accessibility challenges, especially in low-resource settings. To address
290 this, mechanisms such as collaborative licensing agreements, public-private partnerships, and
291 global health initiatives may be considered to balance innovation with equitable access. While

292 the rarity of the disease complicates commercial viability, fostering strategic collaborations can
293 ensure that breakthroughs benefit those in need without exacerbating healthcare disparities. The
294 use of patents in treatment must be carefully regulated to balance innovation with patient
295 accessibility and safety, ensuring that any patented materials undergo the necessary approvals
296 from regulatory bodies before being utilized as viable treatments.

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305 **Author's contributions**

306 Naveed Ahmed Khan and Ruqaiyyah Siddiqui conceived the study amid critical discussions with
307 David Lloyd. Ruqaiyyah Siddiqui reviewed the literature together with Naveed Ahmed Khan.
308 Ruqaiyyah Siddiqui prepared the first draft of the manuscript together with David Lloyd and
309 Naveed Ahmed Khan. David Lloyd and Naveed Ahmed Khan corrected the manuscript. All
310 authors approved the final manuscript.

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