

1 **Using geophysical subsurface data for the reconstruction of valley-scale spatio-temporal floodplain evolution:**
2 **Implications for upland river restoration.**

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11

12 **Abstract**

13 The use of analogues of previous river styles is highly significant for successful river restoration, yet some existing
14 techniques available to assist practitioners are still not widely applied. We explore the use of Ground Penetrating
15 Radar (GPR), to explore past river styles in an upland river valley in the UK, and explore the potential of the
16 approach to reconstruct former channel pattern. Post-glacial evolution of upland floodplains has been influenced
17 by temporal changes in vegetation, sediment supply and hydrological regime. Channel-floodplain
18 morphodynamics over the Holocene were conditioned by glacial deposits, lateral interaction with slope processes
19 and fluvial sediment reworking, changes in flow and sediment supply regimes driven by climatic change, and
20 more recently direct and indirect anthropogenic activities, e.g. deforestation, floodplain land use and channel
21 modification. Current drives towards river restoration, often use floodplain topography as a guide to appraise
22 such a planform state, however, reconstruction of former channel state is often restricted to surface features
23 visible on historic maps and aerial photographs. This research focuses upon the floodplain of the upper Swindale
24 Beck, Lake District, UK, which was recently restored to a planform design based on the recent meander pattern
25 visible in floodplain topography. We show the potential of GPR to reconstruct a wider array of past channel

26 pattern and evolution at a site characterised by largely aggradational conditions and consistent sediment supply
27 from glacial deposits at the valley head. Analysis of GPR data from 40 intersecting GPR survey lines revealed
28 several stratigraphic units, including gravel braidplains, berms, chutes and bars, several levels of larger channels
29 and their layered fill as well as backwater deposits. These were interpreted as braided systems, dynamic
30 wandering planform and single-thread meandering systems with spatial transitions conditioned by tributaries and
31 valley slope. Optically Stimulated Luminescence (OSL) dates in combination with GIS analysis of valley slope,
32 channel gradient and local valley floor aspect allowed the interpretation of individual evolutionary stages of river
33 and floodplain development at Swindale over at least the last millennium and provides links to processes in the
34 wider environment including the role of alluvial fans in supplying sediment and forcing channel migration. Such
35 information can be particularly valuable for restoration projects to aid design of channel dimensions, planform
36 configuration, channel gradient, substrate characteristics and connection with tributaries. While restoration
37 generally aims to resemble a more natural reference state, specific targets may seek to improve a particular set of
38 functionalities (e.g., ecological, flood and sediment management, recreational) which should be resilient to the
39 consequences of ongoing climatic changes and should be achieved sustainably (e.g. locally sourced gravel). Here,
40 GPR-based floodplain analysis provides a non-invasive approach to understand possible evolutionary trajectories
41 and to appraise a wider range of restoration options and sustainable resources.

42

43 **Keywords**

44 Ground Penetrating Radar; Alluvial stratigraphy; Paleochannel reconstruction; River realignment;

45 Geomorphological tools; Optically Stimulated Luminescence Dating

46

47 **1. Introduction**

48 River restoration design and construction predominantly focuses upon considerations of planform pattern and
49 channel three-dimensional shape. Initial efforts at river manipulation for aesthetic and recreational purposes has
50 been conducted for over a century (Wohl et al., 2015; Podolak and Kondolf, 2016), with creation of single-thread
51 channels with riparian woodland (Kondolf, 2006) and introduction of instream structures to improve trout fishing

e.g., in the US (Van Cleef, 1885; Hubbs et al., 1932; Thompson and Stull, 2002). During the 1980s river restoration primarily concentrated on fish habitat improvements (e.g., Gowan and Fausch, 1996), which involved introduction of plants, dead wood or morphological modification (Wohl et al., 2015). There has been an intensification in river re-naturalisation and restoration over the last three decades, in an attempt to restore physical and ecological functioning. In Europe, this in part has been driven by European legislation (EU Water and Habitats directives), and more recently in the UK through Natural Flood Management. During this period there has been an increasing realisation that successful restoration needs to be informed through geomorphological, hydrological and ecological concepts, requiring an interdisciplinary and catchment-scale approach (Harper et al., 1999; Sear, 1994). River restoration is a form of management that seeks to improve hydrologic, geomorphic and ecological processes (Wohl et al., 2005), however, often the design includes additional functionality and targets such as natural flood management, sediment management, habitat quality, ecological diversity or recreational and aesthetic considerations (Wohl et al., 2015). It is increasingly thought that restoring full functionality to river systems is a way forward, using both hydrological and geomorphological knowledge and principles, to improve channel dynamism through reinstating former channel form (e.g., Newson and Large, 2006; Sear, 1994; Soar et al., 1998; Walker et al., 2007). This often allows other targets to be met, such as improving floodplain connectivity, hydraulic diversity, water quality and sediment transport, thus often leading to improved habitat condition.

Although the problems within fluvial systems are often catchment-wide, river restoration has tended to focus on ad-hoc reach-scale efforts, often addressing the most severely degraded sections of river e.g., channelised areas (Harper et al., 1999). Current river restoration projects are wide-ranging, and may involve channel redesign (Prior, 2016), usually informed by past channel styles, including restoration to a meandering planform (Kondolf, 2006), anabranching (Medel et al., 2022), or braided channels (Brousse et al., 2021). Other projects have included reconnection of the channel with the floodplain recreating wetlands (Tockner et al., 1999; Gumiero et al., 2013), replanting forest in upland areas (to increase interception and evapotranspiration) and on floodplains to increase hydraulic roughness (Harper et al., 1999; Antonarakis and Milan, 2020), introducing large wood to rivers systems (Grabowski et al., 2019), re-introducing beavers (Curran et al., 2014), removing dams and weirs to improve longitudinal connectivity (Sneddon et al., 2017; Garcia de Leaniz, 2008), gravel augmentation (Milan et al., 2000; Arnaud et al., 2017), blocking artificial drainage systems e.g. upland grips (Ramchunder et al., 2012),

80 consideration of hyporheic function (Hester and Gooseff, 2011), and more recently 'stage zero' approaches
81 (Powers et al., 2019). All of these are informed to varying degrees by geomorphological, hydrological and
82 ecological understanding and concepts.

83 Reach-scale channel restoration is often focused upon diversifying hydraulic habitat, and reintroducing such
84 features as pool-riffle morphology, barforms and spawning gravels for fish, and reconnecting the channel to the
85 floodplain. Usually there is an attempt to recreate the channel morphology prior to the channel being engineered.
86 Often the challenge to the river restoration practitioner is defining a reference state, and the channel morphology
87 that should be restored (Newson and Large, 2006). The solution is not always straightforward. Many early river
88 restoration designs arguably were strongly steered towards the public perception view of what an ideal river
89 should look like; usually single-thread meandering (e.g. Prior, 2016), which for the UK at least we now know this
90 not necessarily to be the case (Lewin, 2010). However, river morphodynamics and planform configuration
91 respond to changes in climate and land use and as such sustainable river management needs to incorporate
92 planning for anticipated future changes (Church and Ferguson, 2015). Intense precipitation has increased globally
93 in the 20th century and is predicted to become more frequent in future, across many places (Groisman et al.,
94 2005; Beniston, 2009). In the UK there is some evidence for increased flood magnitudes that may be associated
95 with this climate change (Wilby et al., 2008; Hannaford and Buys, 2012; Dadson et al., 2017). The consequences
96 for river systems are not only frequent extreme floods and related sediment fluxes, but also the reduced potential
97 of river reaches to recover (e.g., maintain their previous planform configuration) from such events (Milan and
98 Schwendel, 2021).

99 A number of studies advocate the use of fluvial audit approaches (Sear et al., 1995; Sear et al., 2009) to guide the
100 practitioner towards sustainable approaches to river restoration. This usually will include a historical assessment
101 of former channel pattern using historic aerial images, maps and LiDAR data to reconstruct former channel
102 pattern and planform morphology (e.g. Sear, 1994). However, the use of analogues of past river styles tends to be
103 underused or sometimes ignored, partly due to the target of the river restoration but also due to the limited
104 information or technology available. Although there have been recent advances in the availability and application
105 of remote sensing data for fluvial environments including its use in river restoration (Entwistle et al., 2018), far
106 less attention has been paid to the potential of subterranean information for river restoration.

107 Despite repeated calls for a more process-based approach to river restoration (Beechie et al., 2010), it is relatively
108 uncommon for an understanding of sub-surface sedimentology and stratigraphy to be used in river restoration but
109 it has twofold potential. First, assessment of valley floor stratigraphy can give insight into past river and floodplain
110 configuration, and associated evolutionary histories. However, simply attempting to create the previous channel
111 morphologies, that functioned under a different hydrological and sediment supply regime, controlled by past
112 climatic conditions and land-use, is not always a sustainable solution. Hence any re-designed channel must not
113 only be based on contextual understanding of past channel form, but must also take into consideration of
114 contemporary and future trajectories in environmental forcing conditions. The utilisation of geophysical
115 approaches coupled with dating methodologies have potential to address this gap and to shed light on the likely
116 trajectory of past channel form development and suitability as a target for design (Słowik, 2015). Second, when
117 process-based restoration is designed to give a river space to move (Biron et al., 2014; Piégay et al., 2005) and a
118 river is expected to scour its bed and erode its banks (Williams et al., 2020) to adjust to variations in water and
119 sediment discharge, understanding of sub-surface sedimentology can give insight into how vertical hydrologic
120 connectivity may change (Sparacino et al., 2019; Howard et al., 2024) and the calibre of material that may be
121 entrained and transported downstream. The primary methodological approach to map subsurface sedimentology
122 is Ground Penetrating Radar (GPR). Its application in river restoration is uncommon but there are examples where
123 surface GPR has been used to inform on past channel patterns as a basis for restoration (e.g. Słowik, 2015),
124 identify boundaries in sediment stratigraphy (Schneider et al., 2011), for the estimation of fine sediment depth
125 and underlying coarse bedforms upstream of weirs using boat-based GPR (Schwendel, 2019) and borehole and
126 surface GPR for the characterisation of gravel aquifers (Doetsch et al., 2010; 2012).

127 Here we argue that successful river restoration design can be informed by a full chronology of channel response
128 over time. To achieve this, river restoration practitioners should have a variety of tools available at their disposal
129 to make informed decisions. In this paper we explore the use of Ground Penetrating Radar (GPR), as an additional
130 tool to the river restoration practitioner, to inform on historic channel pattern and evolution. The specific aims of
131 our investigation were:

- 132 1) Reconstruction of stages of channel planform development and floodplain evolution based on GPR data
133 in the context of local conditioning influences (e. g. alluvial fans, moraines);
- 134 2) Appraisal of the temporal scale of such development ;

- 3) Contextualisation of more recent anthropogenic alterations (straightening and realignment during restoration) with past river styles;
- 4) Evaluation of the benefits of GPR floodplain survey as a tool for river restoration practitioners.

2. Study site

2.1 Site context

The common channel morphology during the mid Holocene in the UK was multi-thread anastomosed (Brown and Keough, 1992). Human impacts upon UK rivers date back to the Bronze Age when human activity significantly altered this channel form and associated riparian forest, and with evidence of landscape-scale deforestation detectable in pollen record (Brown, 1997; Heritage et al., 2021). As farming practices improved through the Iron Age, tree felling became more widespread altering catchment-scale hydrology and sediment supply, with subsequent effects on channel and floodplain form and function. Low intensity farming existed alongside unconstrained rivers until the Middle Ages, after which channel modification became more common and significant areas of wetland were drained to increase productivity. Anastomosed channels possibly in fossilised form are thought to have been the dominant channel type up to late Medieval period (Lewin, 2010). Human impact accelerated after the 15th century, where rivers were impounded to provide water for agriculture and power (Bravard and Petts, 1996), and floodplains in the UK changed drastically (Lewin, 2013). By the 1900s rivers in industrial Britain had been extensively channelised, restricting movement and natural morphological development (Johnson, 1954). Modified straight channels often had levees along the bank tops, effectively disconnecting the channel from the floodplain. Over the 20th century, land drainage and flood relief saw further loss of natural river and floodplain form and function. More recently, post-World War 2 agricultural intensification saw artificial drainage systems installed in large areas of land in catchments, intensifying runoff of both water and sediment, and altering hydrograph shapes (Holden et al., 2006). Rapid urbanisation also influenced hydrograph character and sediment supply (Miller et al., 2017). As floodplain productivity increased and land values rose, these areas were protected from flooding by embanking, decreasing channel-floodplain connectivity, and increasing flood risk downstream.

162 2.2 Site description

163 The study site at Swindale is situated at 265 m a.s.l. on the eastern edge of the English Lake District in a glacial
164 trough valley with a tributary hanging valley (Mosedale) and a cirque-shaped valley head (Evans and Cox, 1995;
165 Wilson and Clark, 1998) approximately 800 m upstream (Fig. 1). Swindale Beck drains in a north-westerly
166 direction to the River Lowther. The catchment upstream of the study site comprises 15.3 km² of acidic grasslands
167 with heather and bracken in the uplands and improved grassland with patches of woodland in the valley. Late
168 Ordovician volcanoclastic sandstones and breccia of the Borrowdale Volcanic Group are overlain by till, peat and
169 alluvial deposits (Millward, 2003). Andesitic sills are cropping out in the channel downstream of the site (BGS,
170 2013) where a water intake is located, and provide a local base level, thus creating a largely aggradational setting
171 at the site. The same sills appear as a *roche moutonnée* near the valley head (Wilson and Clark, 1998), where
172 Swindale Beck, coming from the hanging valley of Mosedale, erodes into a cluster of Younger Dryas moraines
173 (Lunn, 1990; Wilson and Clark, 1998; Bickerdike et al., 2016) (Fig. 2). McDougall (2013) suggests the possibility
174 that glaciation extending from summit icefields could have extended further down-valley across the study site
175 during this stadial and indicates associated moraines (Fig. 1c). Other geomorphological features in the valley
176 include talus cones and alluvial fans associated with high gradient tributaries (BGS, 2013) (Fig. 2).

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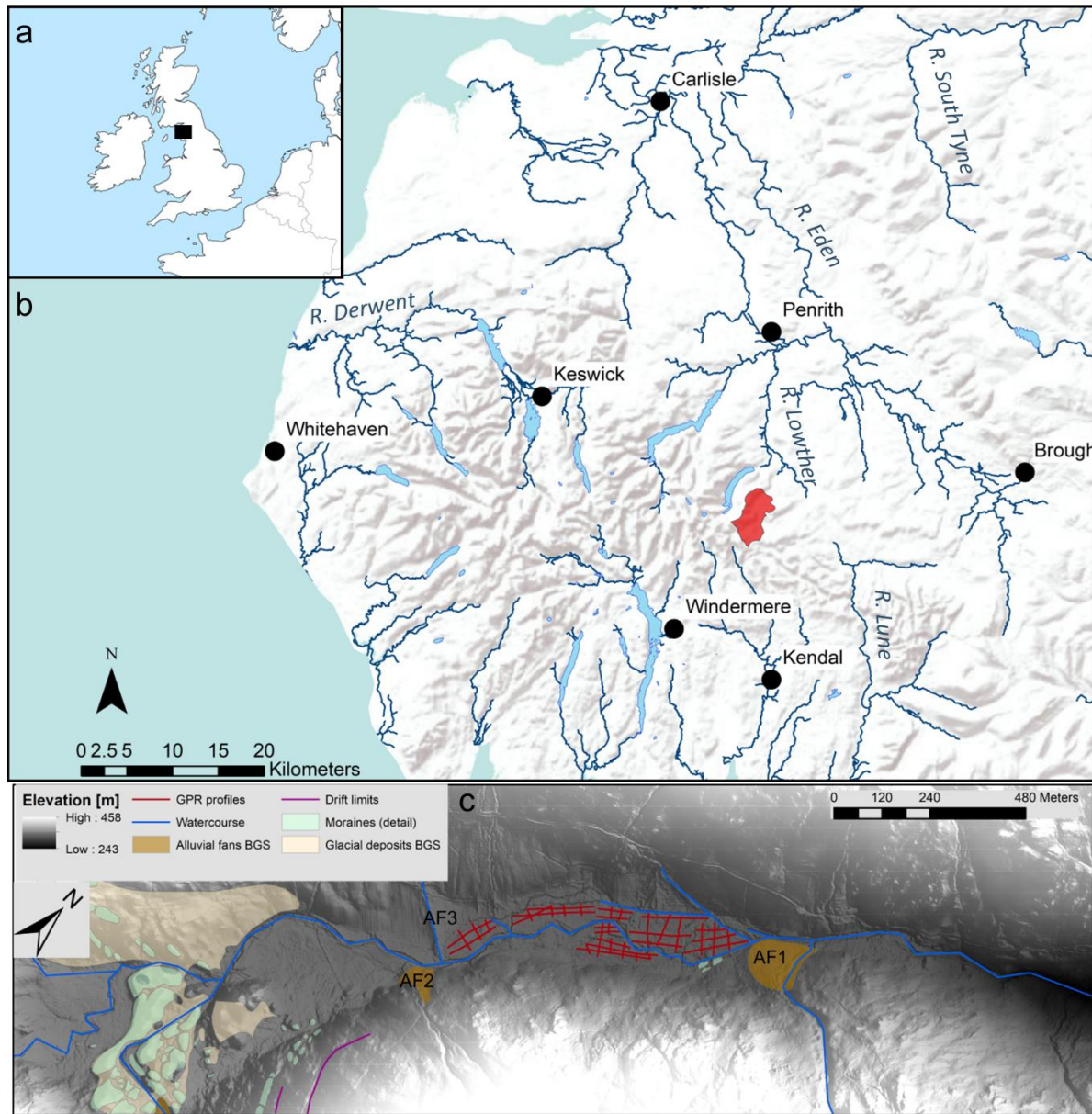


Figure 1. Location of the study site a) within British Isles (data source: Natural Earth) and b) the English Lake District. The catchment at the downstream end of the study site is shaded in red (data sources: CEH, ESRI, Natural Earth). Panel c) shows a 2020 lidar DTM of the glacial trough valley of Swindale with the study site indicated by GPR profiles (Data source: National Lidar Programme, 2021, Open Government Licence). Valley confinement due to bedrock outcrops is visible downstream of the site. Glacial deposits, as mapped by the British Geological Survey (BGS, 2013) and in detail by Bickerdike et al. (2016), and alluvial fans (AF) provide sediment and lateral constraint.

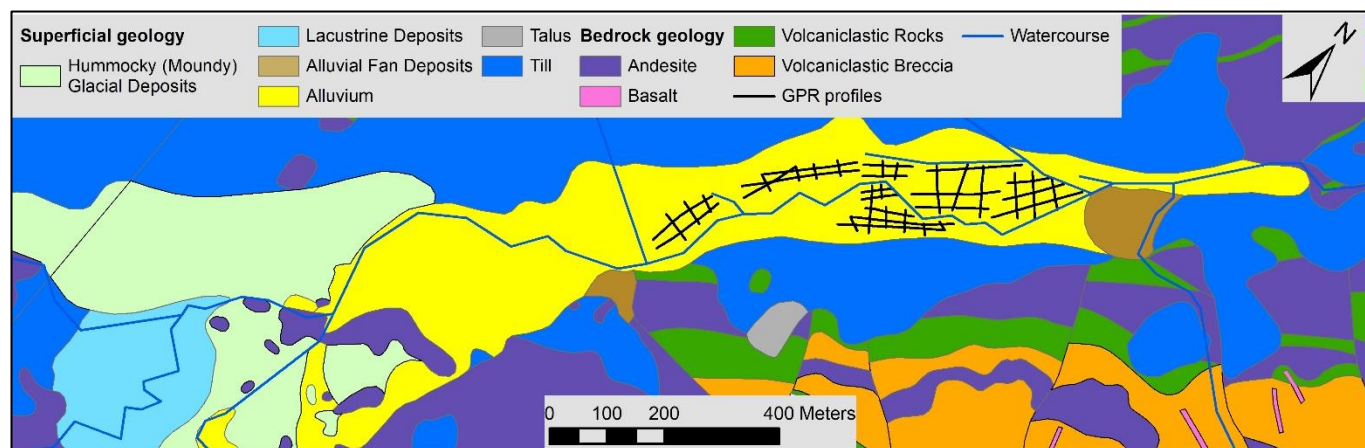


Figure 2. Geology of Swindale (Data source: DiGMapGB-10, 1:10000 using EDINA Geology Digimap Service). The studied area is indicated by GPR profiles. Andesite outcrops in the valley downstream of the study site (shown by the location of GPR profiles) provide base level control for floodplain evolution.

At the study site the channel had been straightened prior to the first edition of the Ordnance Survey map for this area (surveyed 1858 to 1859, published 1863) for improved agricultural use of the floodplain with the latter also being drained. The banks of the straightened channel were protected by a boulder toe in places (Reid, 2015). The substrate was dominated by cobbles with some gravel with median grain size ranging from 82 mm upstream to 133 mm downstream (Reid, 2015). In 2016 a United Utilities and RSPB led two-phase restoration scheme was implemented that aimed to improve river habitat quality and wildlife recovery while maintaining farm operations (hay meadows) on the floodplain (Wightman and Schofield, 2021). The design of Phase 1 was guided by palaeochannel mapping, channel coring to determine the existence and elevation of gravel deposits, interpretation of floodplain elevations to determine flowpaths across the floodplain, and involved designing channels using hydraulic geometry principles. The Phase 1 restoration realigned a previously straight, incised channel to a meandering planform, guided by the sinuosity observed in well-defined palaeochannels on the floodplain in the downstream segment of the study site. Phase 2 involved remeandering the upstream segment of the scheme. Compared to Phase 1, the scheme design had a lower sinuosity. The phases were joined where the Beck was previously straight. The former straight channel was in most places backfilled with spoil from excavation of the new channel (Fig. 3). The scheme is part of a broader set of nature-based catchment management approaches that have been implemented throughout the catchment (Hankin et al., 2019). The floodplain is largely flat with some partially infilled channels of lower width than the current single-thread channel. It was used at the

time of investigation as a hay meadow. The study site forms part of the Site of Special Scientific Interest (SSSI) Swindale Meadows and is designated a Special Area for Conservation.

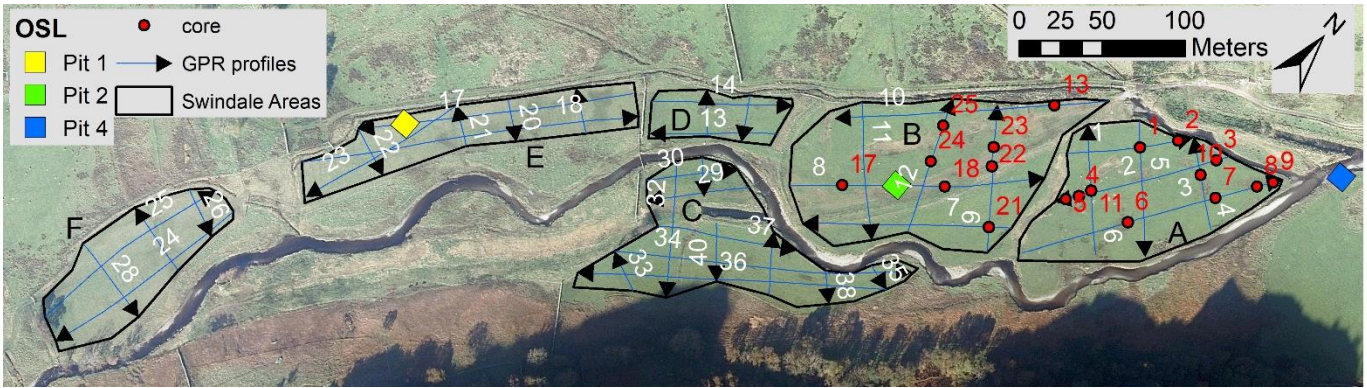


Figure 3. Detailed location of GPR profiles, OSL samples and cores in Swindale. The survey was carried out in 6 areas (A to F) separated by the current channel of Swindale Beck, dry stone walls or deep palaeochannels that could not be traversed by the GPR cart. The river channel has been realigned in 2016 but the course of the former straight channel can still be seen as a faint pattern in the grass east of areas E and F and traversing areas C and B (see also facies VI in Fig. 5d). High resolution (25 cm) vertical aerial imagery from 2018 has been sourced from Getmapping using EDINA Aerial Digimap Service.

3. Methods

3.1 Ground Penetrating Radar and coring

At Swindale the floodplain stratigraphy was explored in July 2018 with Ground Penetrating Radar (GPR) at 40 intersecting survey lines (Fig. 3) with a total length of 3283 m, covering an area of approximately 5.1 ha. The grassland had been recently mown apart from deep depressions which were not surveyed across. A PulseEkko Pro GPR (Sensors&Software, Mississauga, Canada) with a 100 MHz antenna and constant separation of 1 m from the receiver was used in reflection mode (time window 200 ns, step size: 0.25 m, 250 samples per trace and a stack height of 32). These settings are suitable for investigations in floodplains with potentially conductive soil (Vandenberghe and van Overmeeren, 1999; Słowik, 2012b) and have been successfully applied in similar settings (e.g. Bridge et al., 1995; Gourry et al., 2003; Kostic and Aigner, 2007; Hickin et al., 2009; Dara et al., 2019; Słowik

et al., 2021; Elzníková et al., 2023). Signal velocity was assessed from diffraction hyperbolas at several profiles and depths. As the identified velocities were similar across profiles and depths a mean value of 0.075 m/ns was subsequently adopted for all profiles for consistency. This allows a nominal vertical resolution (separation between two reflectors) of approximately 0.19 m (Neal, 2004). Depth penetration of the radar signal was limited by material of high electric conductivity at a depth of 1.5 – 4 m which is most likely associated with glacial deposits.

The start, end and some intermediate points of each profile were recorded in the field with a Leica 1200 dGNSS system (Leica Geosystems, Heersbrugg, Switzerland). Surface topography was measured in a GIS (ArcMap 10.5.1, ESRI, Redlands, USA) from a publicly available 1-m aerial lidar DTM from 2021 (Environment Agency, UK).

GPR data processing was carried out in ReflexW 9.1 (Sandmeier, Karlsruhe, Germany) and involved Devow, background subtraction, application of an exponential gain function and topographic correction (Neal, 2004).

Further processing such as bandpass filtering or dynamic correction did not result in improved radargrams and was therefore not used. Some profiles benefitted from the application of the calculation of instantaneous amplitudes (envelope filter) in order to simplify reflections and to show their true resolution.

After preliminary analysis, 19 locations for ground truthing (Fig. 3) were selected and cored up to a maximal depth of 2.1 m using an Eijkelkamp hand auger. The depth of coring was limited by the presence of coarse layers that were either impenetrable or caused collapse of the bore hole. Additional information was derived from cutbank exposures of the new river channel, OSL pits, information obtained during the restoration works and earlier explorative pits (Lee Schofield, RSPB, personal communication 7/08/2019).

Electromagnetic radar waves are reflected on subsurface discontinuities characterised by variations in dielectric permittivity, electrical conductivity and magnetic permeability. These material properties allow conclusions on water and ion content, porosity, grain size assemblage, and grain packing and orientation (Neal, 2004; Cassidy, 2008). Thus, the radargrams and core data allowed identification of several facies types and surfaces at a range of scales corresponding to sedimentary and morphological units which were mapped in GIS. The spatial distribution of these facies, their absolute elevation and their depth relative to the current floodplain surface were used to reconstruct planform styles and stages of floodplain evolution. Projected gradient of channels and surfaces, their aspect as well as their geometry and dimensions were employed to infer flow directions and continuity. The latter

256 was challenging due to the spacing between GPR lines relative to the dimensions of the identified units and the
257 gaps in the survey associated with the current channel.

258

259 3.2 Optically stimulated luminescence (OSL) dating and dose rate determination

260 A total of six samples for optically stimulated luminescence (OSL) dating were collected in March 2023 from fine-
261 grained (silt and sand) alluvial units and sandy interbeds within coarser-grained units exposed in two pits
262 excavated into the floodplain and a NW-facing cutbank at the toe of an alluvial fan (Fig. 3). The locations were
263 chosen after analysis of the GPR data to provide age constraint for radar facies II and III as well as lateral fan
264 activity. Sampling was carried out at several depths in pits 1 and 2 in alignment with the encountered stratigraphy
265 whereby sampling of the coarsest units was limited by the aperture size of the tubes. Following the approach of
266 Pope et al. (2008), sections were cleaned back with a trowel prior to light-tight stainless-steel tubes (3 cm in
267 diameter and 20 cm in length) being gently tapped horizontally into targeted fine-grained units. Once filled with
268 sediment, the tube was capped on the outer side to prevent accidental light exposure before being carefully
269 extracted, followed by plugging also its inner end with a plastic cap and sealing with duct tape. Each tube was
270 then given a unique identification code and placed in airtight sample bags to preserve field moisture content.
271 Following the extraction of each tube, a background sample (~300 g) of sediment representative of a 50 cm three-
272 dimensional sphere was collected directly around the sampling point for dose rate determination. Finally, key
273 sample details including longitude, latitude, altitude and burial depth from the top of the section and unique
274 identification code were recorded.

275 All luminescence samples were processed at the Nordic Laboratory for Luminescence dating (Risø, Denmark),
276 following the methodology outlined by Murray et al., (2021). Environmental dose rate measurements were
277 performed using high resolution gamma spectrometry (Murray et al., 1987; 2018), on sub-samples cast into a
278 fixed geometry. Radionuclide concentrations can be found summarised in Table S1. OSL measurements were
279 performed in a Risø OSL Reader (Bøtter-Jensen et al., 2010), on coarse grains, using a standard SAR protocol for
280 quartz (Murray and Wintle, 2000; 2003) as illustrated by Table S2, and a post-IR IRSL SAR protocol (Thiel et al.,
281 2011) for k-feldspar as shown in Table S3. The De estimates and ages obtained from the k-feldspar are presented

282 in Table S4, while those for quartz can be found in section 3.2 (Table 1). The full details of the analysis are
283 outlined in the supplementary information file.

284

285 3.3 Planimetric channel change

286 A sequence of Uncrewed Aerial Vehicle (UAV) flights were undertaken before restoration, in 2015, and after
287 restoration in 2016, 2017, 2018, 2020 and 2023 to acquire sets of oblique, overlapping Red Green Blue (RGB)
288 images at low flow. A variety of DJI UAV platforms were used, including a Phantom 2 Vision (Williams et al., 2017),
289 Phantom 4, Phantom 4 RTK and an L1 sensor mounted on a Matrice 300 (MacDonell et al., 2023). For each survey,
290 a set of targets were distributed across the site and observed using Real Time Kinematic Global Navigation
291 Satellite System (RTK-GNSS) survey. The images were processed using structure-from-motion photogrammetry
292 (Smith et al., 2015), using Pix4D software. For each survey, the three-dimensional root mean square error at
293 check point targets was <0.1 m. The extent of the wet channel was digitised from the orthomosaic that was
294 produced from each survey.

295

296 4. Results and interpretation

297 4.1 GPR facies

298 Analysis of the radargrams revealed several facies across the study area, many of which could be identified in core
299 logs. These were then classified into 10 classes based on their spatial relation and dimensions (Fig. 4). While some
300 cores terminated in wet fills of former channels, none reached the groundwater table and thus all surfaces are
301 interpreted as dominantly changes in stratigraphy.

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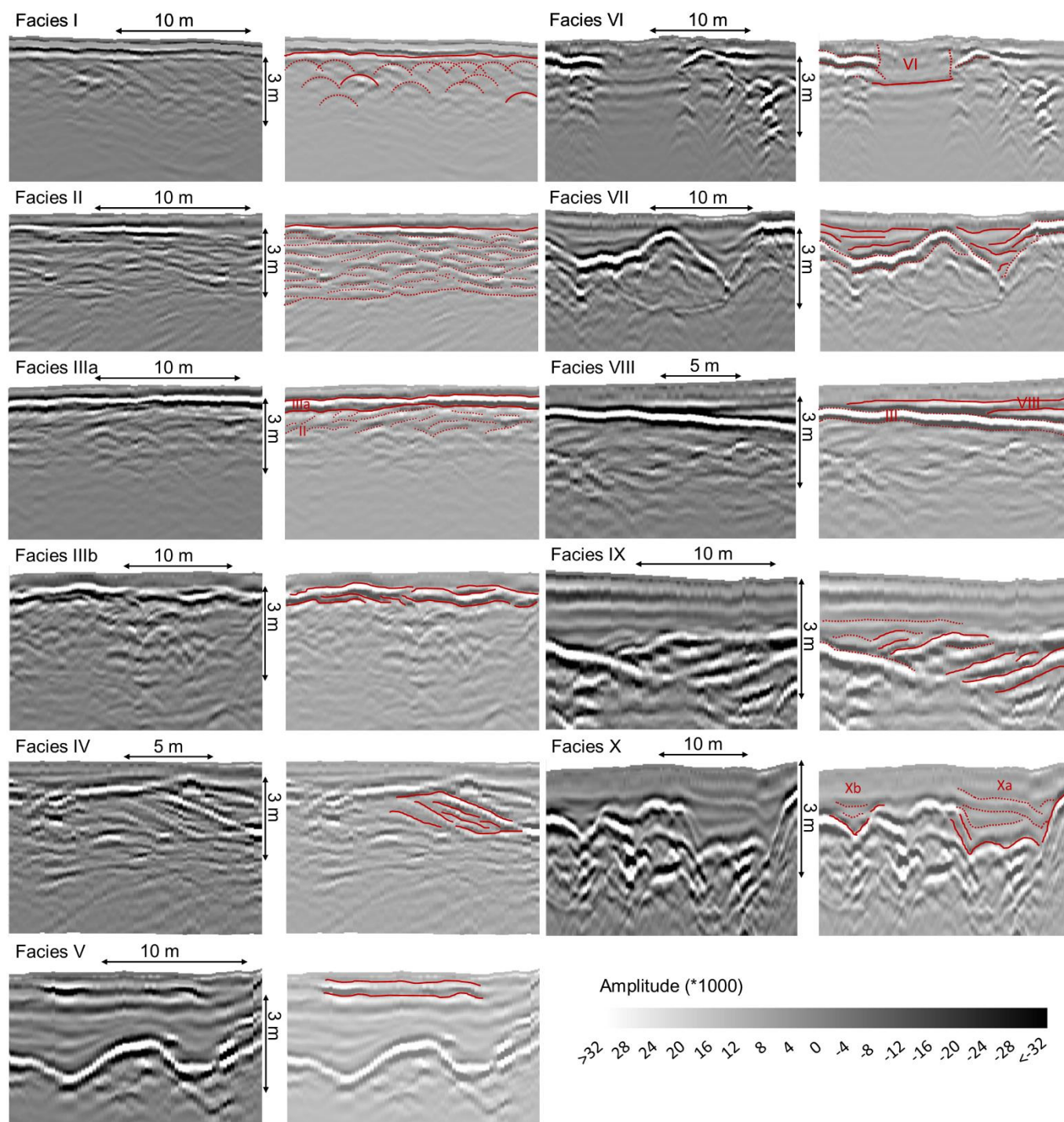


Figure 4. Radar facies identified at The Swindale Beck floodplain. Solid lines are associated with the facies in question while dotted lines show neighbouring or internal reflections.

4.1.1 Facies I – Till

This radar facies is laterally continuous with an undulating upper surface (Fig. 4). The latter can be indistinct but is often indicated by diffraction hyperbolae near the upper boundary which may represent glacial cobbles and

310 boulders. This facies can contain point reflectors at greater depth but is otherwise relatively unstructured and
311 signal attenuation is high. It can be found at depth across the entire floodplain, although in the upstream area F
312 its upper boundary can be up to only one metre below the surface (Fig. 5).

313 Słowik (2011) suggests such zones of dense diffraction hyperbolae align with changes in lithology within glacial
314 deposits while others have associated them with glacial boulders and cobbles within till (Bridge et al., 1995;
315 Pedersen and Clemmensen, 2005) where the clay matrix can result in high signal attenuation (Ékes and Hickin,
316 2001). Thus, radar facies I has been interpreted as till of the Dimlington or Loch Lomond Stadials, consisting of
317 silty clay with gravel to boulders (Millward, 2003). While this facies has not been cored, it has been encountered
318 at depth during the restoration works (George Heritage, personal communication 26/06/2019).

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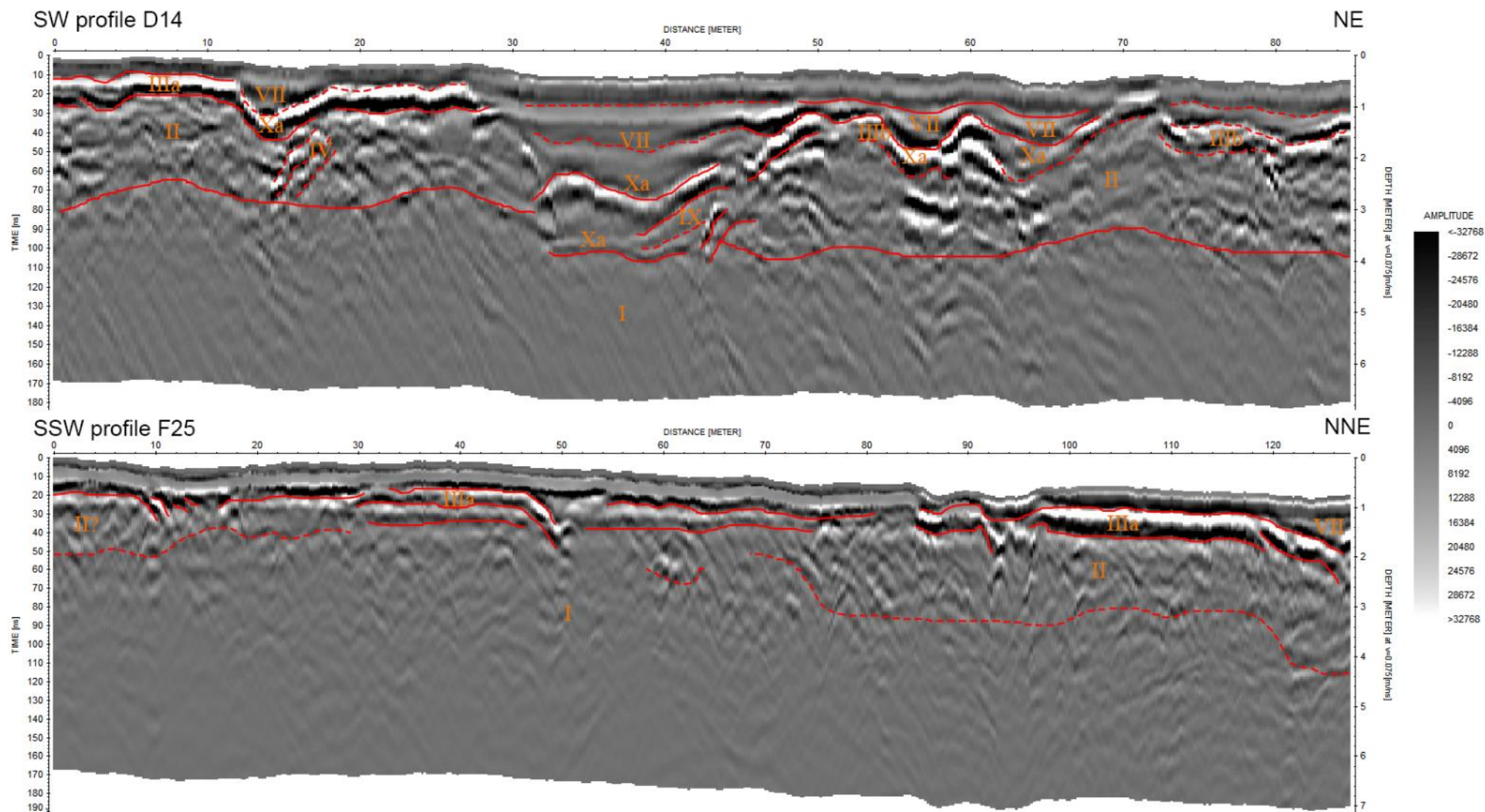


Figure 5. Radargrams of profiles D14 (top) and F25 (bottom) with radar facies annotated in Roman by numerals. Broken lines indicate less clear boundaries between facies or internal structures within a radar facies.

323 4.1.2 Facies II – Braidplain

324 This is a laterally continuous radar facies consisting of subparallel reflectors with a wavy appearance and some
325 crossbedding (Fig. 4). In places it includes discontinuous concave reflectors and sub parallel dipping reflections.
326 The facies' upper boundary is undulating and concordant, and appears to be notably lower (e.g. in places more
327 than 1m) below the present floodplain surface in area C compared to the rest of the site (Fig. 6). Its vertical extent
328 typically varies between 2 to 3.5 m with a distinct increase in upvalley direction in area E (Fig. 7). Apart from the
329 western part of the upvalley area F, it is present throughout the study site (Figs. 5 and 8a).

330 Radar facies II has been interpreted as an aggrading braidplain with medium-sized channels, lateral accretion and
331 channel fills over several storeys. In area D channels are larger (e.g., > 5 m) which might indicate a transition to a
332 wandering planform. Based on depth correlation this facies has been just reached in cores such as 1 or 7 which
333 suggest composition of sandy subrounded gravel (Fig. 9). Very similar radar facies collected by other studies on
334 floodplains have been confirmed as being associated with braidplains (Vandenberghe and van Overmeeren, 1999;
335 Baines et al., 2002; Słowik, 2012a).

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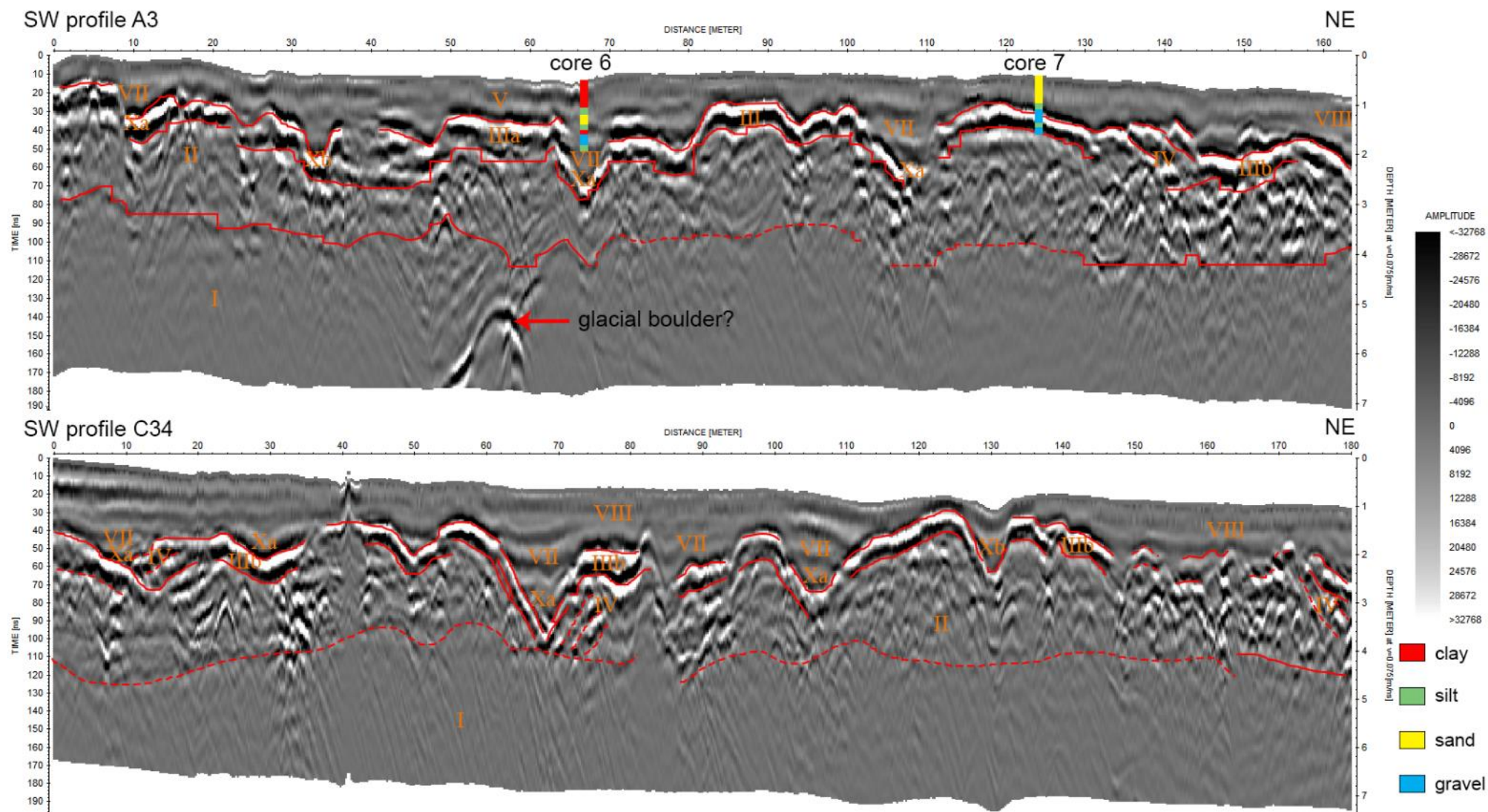
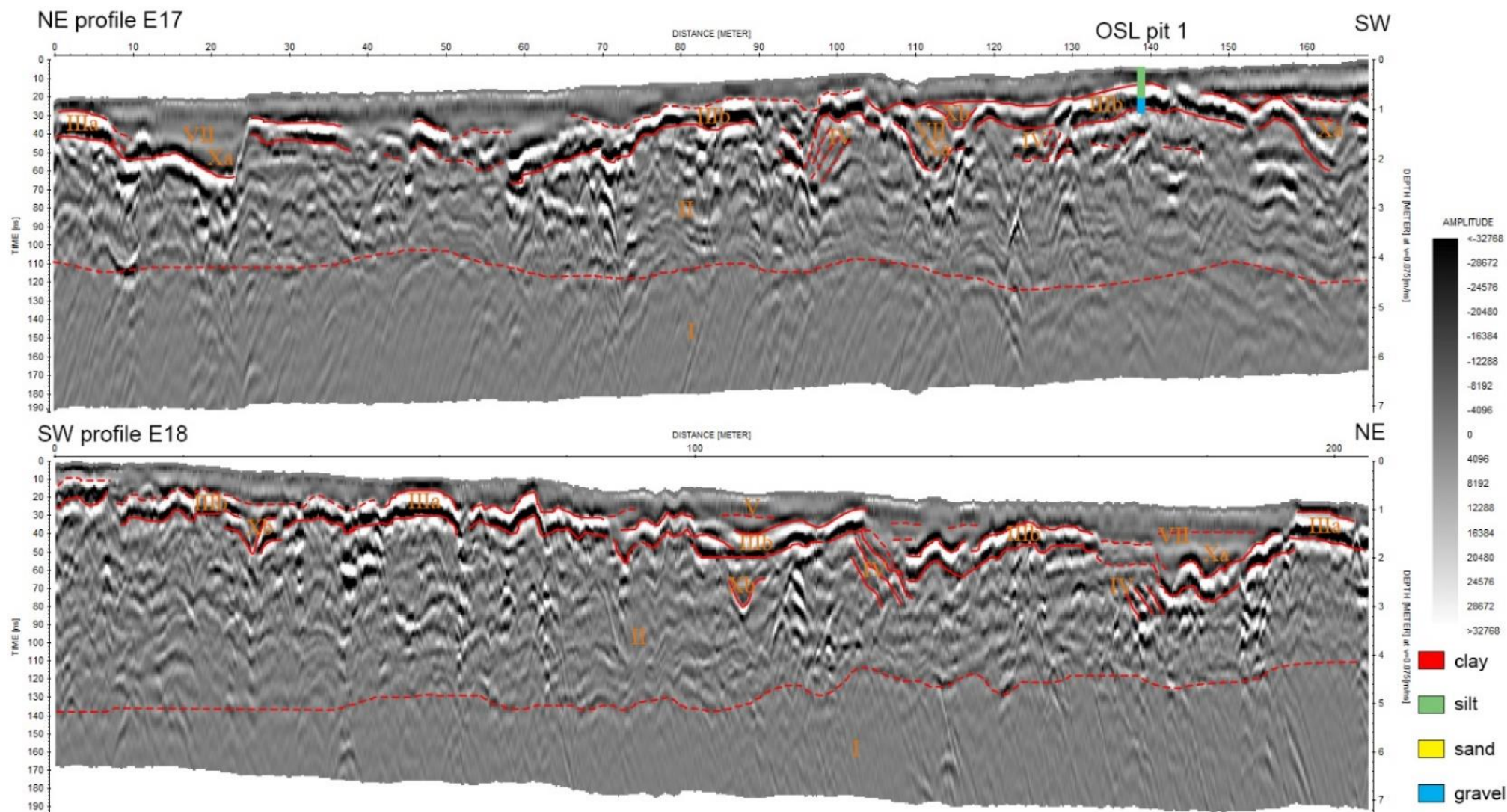


Figure 6. Radargrams of profiles A3 (top) and C34 (bottom) with radar facies annotated in Roman by numerals. Broken lines indicate less clear boundaries between facies or internal structures within a radar facies. Where applicable the location of cores is shown with simplified indication of grainsize. For more details on the cores, see Figure 9.



341

342 Figure 7. Radargrams of profiles E17 (top) and E18 (bottom) with radar facies annotated in Roman by numerals. Broken lines indicate less clear boundaries between

343 facies or internal structures within a radar facies. Where applicable the location of OSL pits is shown with simplified indication of grainsize. For more details on the

344 stratigraphy, see Figure 11.

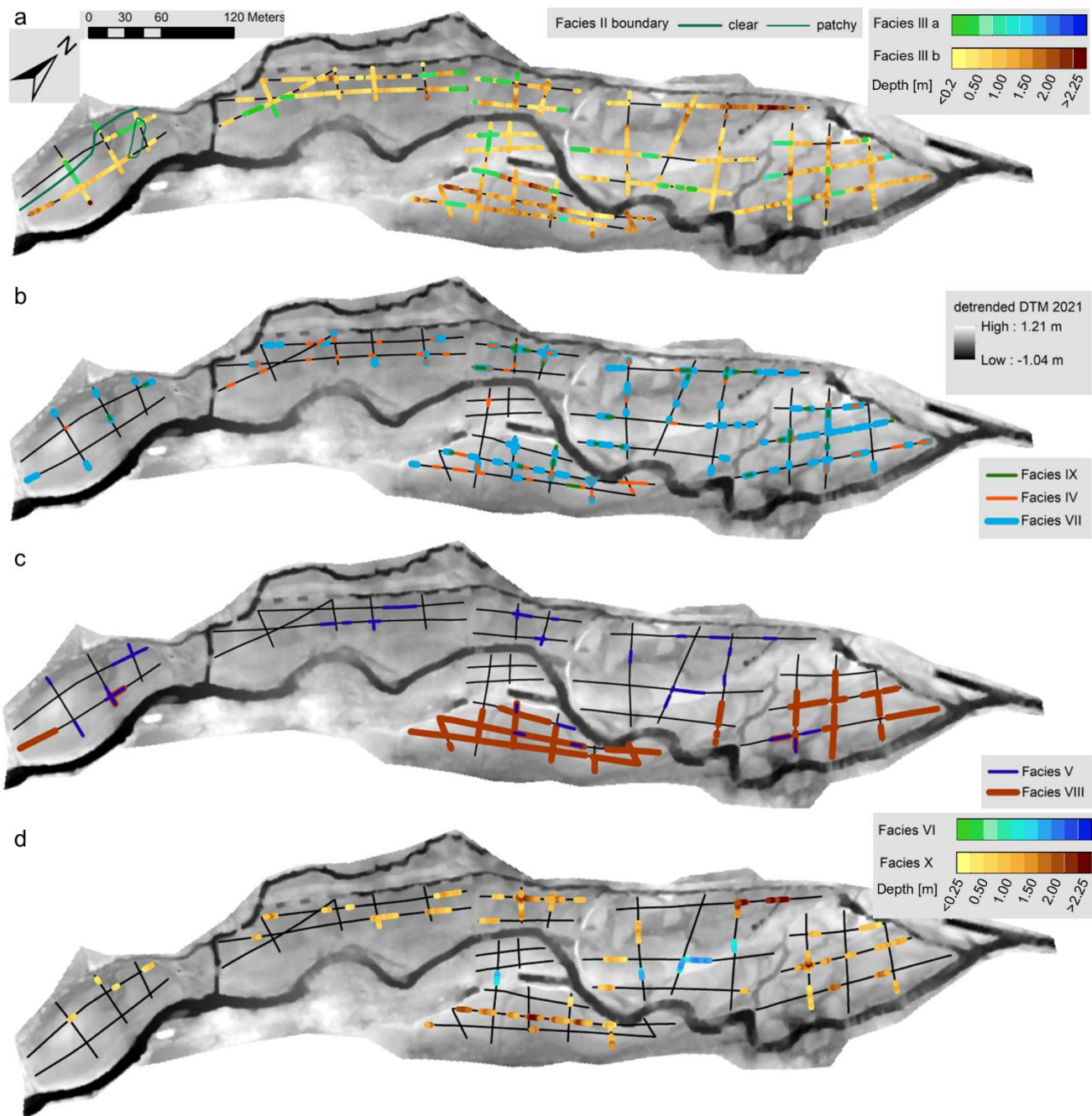


Figure 8. Spatial distribution of radar facies across study site in Swindale. a) Depth of upper boundary of facies III and southwestern limit of the underlying facies II. Location of facies VII, IV and IX, and facies VIII and V are shown in b) and c) respectively. d) Depth of upper boundary of facies associated with channels (X and VI). Where facies are stacked only depths for the uppermost facies are given. Background DTM detrended for valley slope is based on data from the National Lidar Programme 2021 (Open Government Licence).

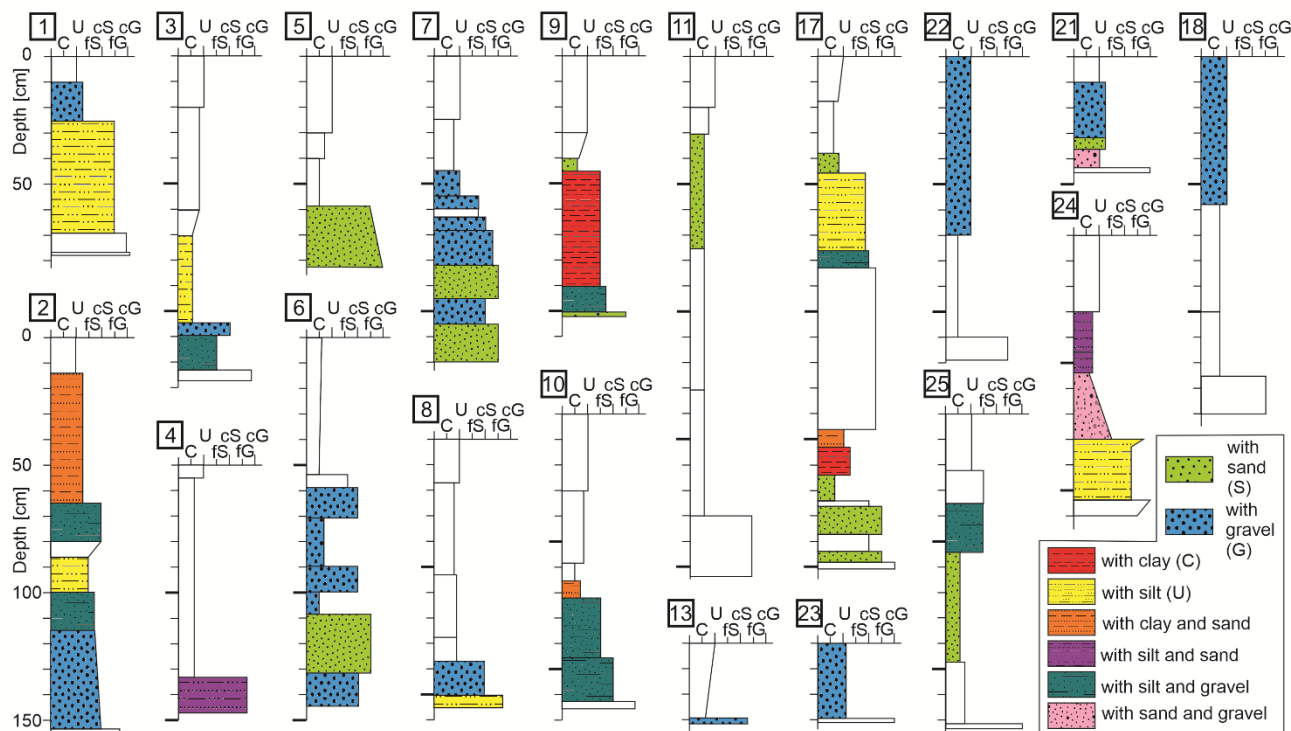


Figure 9 Stratigraphic profiles of 19 soil cores taken along radar profiles at Swindale in August 2019 (for the precise location see Fig. 3). All cores are displayed with the same depth scale. Grainsize is shown on the horizontal axis as C – clay, U – silt, fs – fine sand, cS – coarse sand, fG – fine gravel and cG – coarse gravel. Where the dominant grain size is mixed with substantial amounts of other grain sizes this is indicated by patterns (see legend).

4.1.3 Facies III – Wandering planform deposits

This facies is characterised by a laterally moderately continuous (from several metres to tens of metres), strong reflector which forms the mostly concordant upper boundary (Fig. 4). It can be subdivided into two sub-facies, a) radar facies IIIa which is largely planar or lightly undulating and b) the more undulating radar facies IIIb. Facies IIIa is 0.5 - 1.25 m thick and is laterally terminated by downlap or onlap on other type III facies. Vertical stacking of this facies happens occasionally, e.g. at profile E18 (Fig. 7). Facies IIIb typically has a thickness of less than one metre and is laterally consistent with other type III facies while the lower boundary commonly baselaps onto radar facies II. Both sub-groups of radar facies III are widespread across the entire study site with their deepest occurrence in area C as well as in association with deep palaeochannels in area D and C (Figs. 5, 6 and 8a).

367 These facies have been reached by numerous cores which indicate a mixture of gravel of various calibre with sand
368 and small cobbles for facies IIIa (cores 1, 5, Fig. 9) while facies IIIb is dominated by coarse sand and fine to
369 medium, subrounded gravel, in places well sorted and layered (cores 3, 7, 9, 10). Comparable radar signals have
370 been interpreted as bedload sheets (IIIa) or low-angle downstream accretion deposits (IIIb) in wandering rivers
371 (Wooldridge and Hickin, 2005). Bridge et al. (1995) found similar facies to our facies IIIb and associated them with
372 lateral accretion of point bars. These facies resemble the morphology and grain size characteristics of
373 contemporary wandering single-or multithreaded rivers (e.g. Wooldridge and Hickin, 2005; Schwendel et al.,
374 2010). Hereby radar facies IIIa represents flat-topped gravel berms with down valley propagation of individual
375 units while radar facies IIIb represents associated small-sized chute channels and bar deposits.

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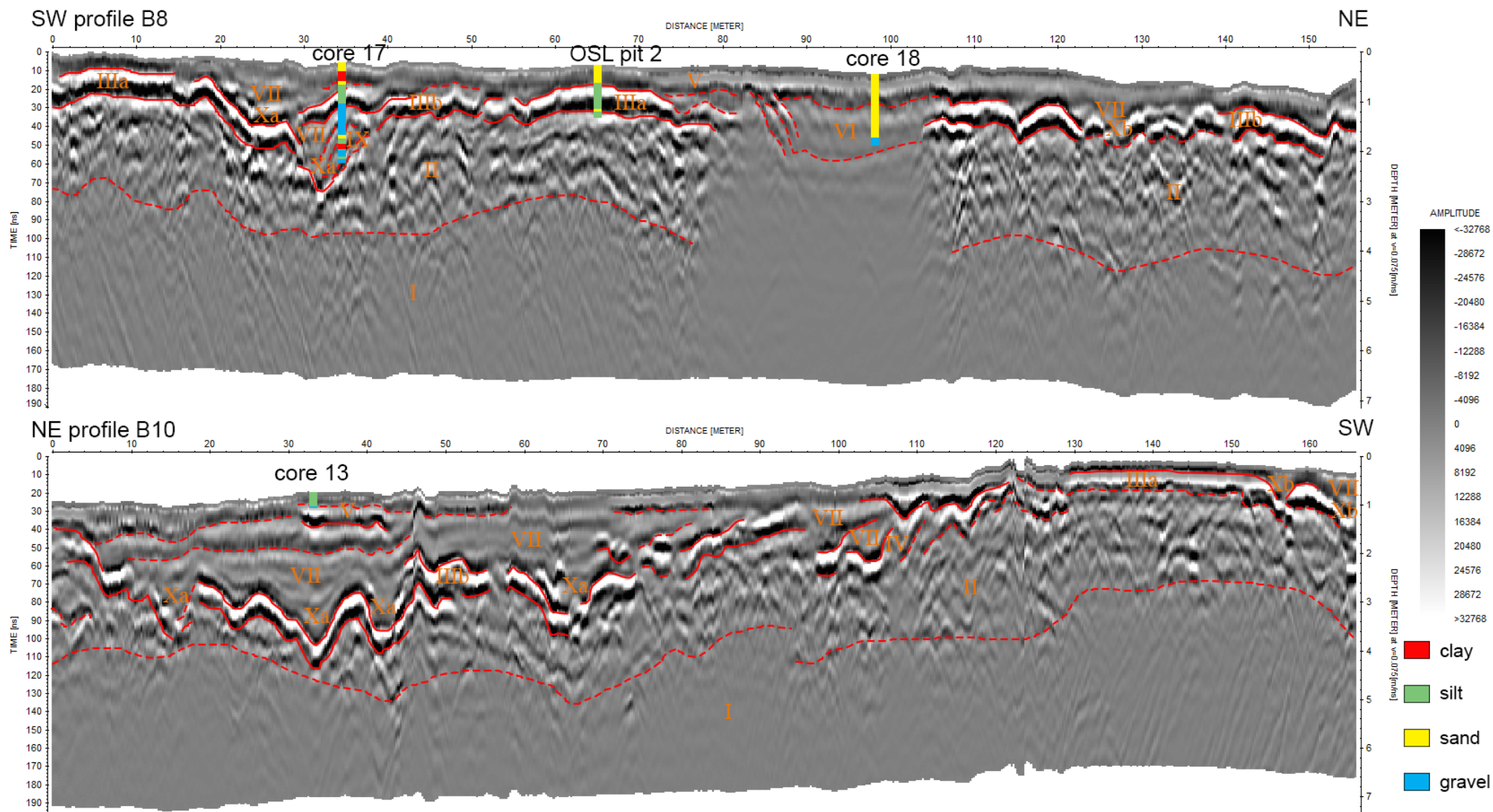


Figure 10. Radargrams of profiles B8 (top) and B10 (bottom) with radar facies annotated in Roman by numerals. Broken lines indicate less clear boundaries between facies or internal structures within a radar facies. Where applicable the location of cores and OSL pits is shown with simplified indication of grainsize. For more details on the stratigraphy, see Figures 9 and 11.

381 4.1.4 Facies IV – Bar margin lateral accretion deposits

382 This radar facies consists of multiple oblique parallel reflectors which dip at low angles (10°-20°), often towards
383 concave reflectors in radar facies II (Fig. 4). It has been interpreted as a series of lateral accretion sediments on
384 bar edges, e.g. a point bar in profile E17 (Fig. 7), associated with medium-sized channels particularly common in
385 areas C, D and E (Figs. 5, 6 and 8b).

386 Such radar facies have been found to form accretion surfaces of compound bars in braided systems (Lunt et al.,
387 2004; Hickin et al., 2009; Słowik, 2012a) while Bridge et al. (1995) identified similar dipping low-amplitude
388 reflectors as lower point bar gravels and sands of a single-thread meander bend.

389

390 4.1.5 Facies V – coarse splay/ compaction

391 Radar facies V is characterised by a planar, horizontal low-amplitude, near-surface reflector with a concordant
392 upper boundary (Fig. 4). The lower boundary is concordant or onlapping onto a variety of other facies. Lateral
393 extent is limited although this facies may be in places disguised by the ground wave. It can be considered of small
394 vertical extent (<0.5 m) and contains well rounded particles up to coarse gravel calibre as evident in cores 13 and
395 18 (Fig. 9). Facies V can be found in all areas of the study site (Fig. 8c).

396 Based on the core data, two interpretations are possible. This facies can be interpreted as coarse, superficial flood
397 splay deposits forming a coherent layer of gravel, perhaps thickening in topographic depressions as in profile B10
398 and core 13 (Figs. 9 and 10). On the other hand, profile B7 and core 18 show a much less coherent distribution of
399 medium gravel clasts but the surface has been artificially compacted during artificial infilling of redundant
400 channels (see facies VI below). Słowik (2014a) identified horizontal and wavy reflectors as being associated with a
401 “toughened cartroad” surface and associated construction works (Słowik, 2012a). While this documented radar
402 signal bears some similarity to radar facies V, the coarse grain size of this layer makes it more distinguishable from
403 the facies below which is not the case at Swindale.

404

405 4.1.6 Facies VI – artificial channel fill

406 This facies, apart from some ringing noise, is largely reflection free. Its upper boundary is concordant, often with
407 radar facies V, while the planar lower boundary is identified by a high-amplitude, concordant, planar reflector
408 (Figs. 4 and 10) although signal attenuation can be high. The facies has a distinct lateral boundary with various
409 other facies suggesting an erosional contact. This facies is restricted to locations in areas B and C where the
410 straight channel, infilled in during the restorations works, was situated (Fig. 8d).

411 Given this information interpretation as artificial channel fill comprising fine material intermixed with organic
412 matter, gravel and individual cobbles is straightforward. The lack of internal structure and strong attenuation is
413 typical for highly conductive material (Ékes and Hickin, 2001). Cores 18 and 22 (Fig. 9) indicate a tendency of
414 fining with depth until the coarse, armoured former channel bed is reached at depths of 1.1 m and 1.25 m
415 respectively.

416

417 4.1.7 Facies VII – Channel fill

418 Radar facies VII consists of planar to lightly undulating or dipping, weak parallel reflectors with an onlapping lower
419 boundary (Fig. 4). The facies is laterally constrained by high-amplitude, concave reflectors (typically facies X, e.g
420 Figs. 5, 6 and 10). It can be found across the entire study site but is most common in the down-valley areas A, B
421 and C (Fig. 8b). It has been reached by numerous cores which indicate that alternate layering of mostly
422 moderately sorted coarse (e.g., fine gravel, coarse sand) and dark fine sediments (e.g., silt, fine sand) whereby the
423 coarser fractions are mostly angular and the finer layers may contain substantial organic matter or show signs of
424 hydromorphy such as mottling and nodules (cores 2, 6, 10, 17 in Fig. 9). Core 8 revealed some weak laminations
425 within the depth range of this radar facies.

426 This facies has been interpreted as fill of topographic depressions such as inactive channels with fine backwater
427 deposits and episodically coarser bedload from floods but may also include some bar sediments near the channel
428 edges. The presence of organic matter is typical in such a setting (Bristow et al., 1999), likely contributing to
429 anoxic conditions in stagnant waters. This interpretation coincides with that of other studies of very similar facies,
430 e.g. Słowik (2012a) and Dara et al. (2019) interpreted them as channel fill sediments although in the latter they
431 were lacking the distinct internal structure found here.

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4.1.8 Facies VIII – Backwater deposits

This facies is characterised by laterally extensive planar horizontal parallel reflectors of low amplitude (Fig. 4). Its upper boundary is often not clear in the radargrams but cores indicate a clear boundary to mostly coarser sediments above at 0.15-0.25 m depth (e.g., cores 3, 4, 7, 11, Fig. 9). At the respective depth these cores show grain sizes from silty clay to silt. The lower boundary of radar facies VIII onlaps onto other facies (e.g., type III) or is concordant with radar facies VII (Fig. 6). Thickness of this facies is greatest in area C where it is also most widespread while its vertical extent is less and more variable in the other areas (Fig. 8c).

The fine nature and horizontal stratigraphy suggest a low energy depositional environment without episodic coarse flood deposits such as backwaters or a temporary lake. The coarsening and thinning of this facies away from area C and the southern part of area A suggests approach of the margins of such a backwater basin. Similar radar facies but with higher proportion of organic material were interpreted by Słowik (2013) and Słowik (2014b) as organic fill of a stagnant water basins on the Obra River and as homogeneous fine palaeochannel fill by Elznicová et al. (2023).

4.1.9 Facies IX – Prograding channel deposits

Radar facies IX consists of gently inclined oblique sigmoidal reflectors downlapping and onlapping onto other radar facies, e.g. facies X and facies III respectively (Fig. 4). Spatially it is often associated with facies VII and X (Figs. 8 and 10). The facies has been reached by cores 2, 11, 17 and possibly 24, which all agree on grain sizes in the gravel range (Fig. 9). Core 17 suggests some layered strata but dipping angle could not be measured.

Based on the radargrams this facies has been interpreted as prograding channel deposits possibly associated with lateral or downstream accretion or bar slipface deposition in the larger channels. Similar radar facies have been interpreted as pointbar migration (Vandenberghe and van Overmeeren, 1999; Dara et al., 2019), downstream bar accretion deposits (Wooldridge and Hickin, 2005; Elznicová et al., 2023) or prograding splay deposits (Bristow et al., 1999).

458 4.1.10 Facies X – Erosional surfaces

459 Radar facies X is characterised by laterally discontinuous, concave high-amplitude reflectors (Fig. 4). Symmetric,
460 asymmetric, more semi-circular or trapezoidal as well as compound forms have all been grouped into this facies,
461 but it has been sub-divided into two sub-facies based on lateral extent: sub-facies Xa with more than 5 m and sub-
462 facies Xb for smaller features. This radar facies is laterally mostly associated with facies II and III.

463 Sub-facies Xa is present in all areas but particularly frequent along profiles C34 (Fig. 6), B10 (Fig. 10), E17 and E18
464 (Fig. 7) while sub-facies Xb is equally distributed across the site apart from area B (Fig. 8d). Areas B, C and D
465 harbour the deepest examples of the facies while they are rather shallow below the contemporary floodplain
466 surface in area F (Fig. 5). Core data indicates that it consists of gravel deposits (cores 3, 10 and 11 in Fig. 9).
467 Geometry and grain size lead to the interpretation of this facies as erosional surfaces such as channel bottom and
468 coarse bar deposits which corresponds with interpretation of similar radar facies by others (Ékes and Hickin, 2001
469 (facies 8); Heinz and Aigner, 2003; Hickin et al., 2009; Słowik, 2012a; Dara et al., 2019 (facies 5)).

470

471 4.1.11 Vertical floodplain accretion

472 Apart from the cores situated in artificial channel fill, all cores show vertical accretion sediments from the surface
473 to a depth ranging from 0.05 m to 0.3 m (Fig. 9).

474

475 4.2 Luminescence dating

476 The measured OSL ages for the sample from the toe of the alluvial fan AF1 shows the oldest age with
477 5.22 ± 0.35 ka (Table 1). It comes from the fourth lowest distinctive layer within this profile (Fig. 11) and so may
478 not represent the newest date of fan activity at this point, however, the calibre of coarse clasts in the layers
479 above prevented sampling for OSL. The ages of samples representing radar facies III (OSL1.2 and OSL2.3: ~ 1.7 ka)
480 and the transition between facies II and III (OSL1.1 and OSL2.2: ~ 3.65 ka) correspond well between the two pits.
481 However, given the discrepancy between feldspar and quartz ages as expressed in high IR_{50}/OSL ratios (Table 1)
482 this is likely coincidental.

484 Table 1: Quartz equivalent doses, total dose to quartz and luminescence ages for the Swindale samples ((n_a)

485 number of aliquots accepted; (n_r) number of aliquots rejected; w.c. -water content)

Lab./ sample code	Location	Depth (cm)	w.c. (%)	Quartz OSL			Total dose rate	Final age	Signal resetting	
				D _e (Gy)	(n _r)	(n _a)	Gy/ka	(ka)	IR ₅₀ /OSL	Sufficiently well bleached
231401 OSL 1.1	Pit 1	81	26	9.69 ± 0.62	1	26	2.68 ± 0.11	3.61 ± 0.29	3.66	
231402 OSL 1.2	Pit 1	50	18	5.03 ± 0.68	0	13	2.93 ± 0.13	1.72 ± 0.25	7.18	
231403 OSL 2.1	Pit 2	98	26	2.72 ± 0.49	2	17	2.98 ± 0.14	0.99 ± 0.19	1.11	✓
231404 OSL 2.2	Pit 2	76	19	11.3 ± 0.9	2	15	3.08 ± 0.15	3.68 ± 0.36	1.45	
231405 OSL 2.3	Pit 2	54	18	5.44 ± 0.86	1	20	3.19 ± 0.15	1.71 ± 0.28	n.a.	

231406				16.1 ±			3.08 ±	5.22 ±		
	Pit 4	41	23		0	26			1.08	✓
OSL 4.1				0.8			0.14	0.35		

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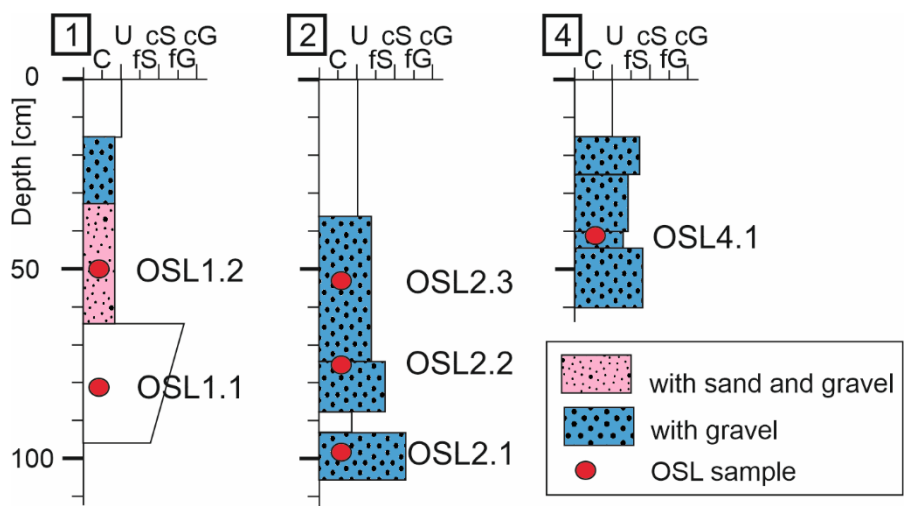
487 It has been well documented that partial bleaching of the luminescence signal prior to deposition can lead to
488 significant age overestimation in some fluvial settings (Jain et al., 2004; Wallinga, 2008; Murray et al., 2012;
489 Weckwerth et al., 2013; Colarossi et al., 2015; Chamberlain and Wallinga, 2018). This problem results from the very
490 rapid attenuation of light spectra through the water column, leaving insufficient energy to fully reset the
491 luminescence signal (Berger, 1990); the addition of suspended sediment further enhances the effect (Berger and
492 Luternauer, 1987). The potential for bleaching of sand sized grains in fluvial settings is known to be further reduced
493 by short transport distances as opportunities for light exposure are limited (Cunningham et al., 2014). Bleaching
494 would appear to be enhanced by longer transport distances, possibly due to the potential for numerous subaerial
495 exposures during ephemeral storage episodes on the surface of river bars (Stokes et al., 2001). However, this does
496 not appear to be universal guarantee of sufficient signal resetting, as mixing with older or poorly reset material
497 remobilised from localised terrace erosion during high discharge storm events is also more likely to have taken
498 place over longer transport distances (Rittenour, 2008; McGuire and Rhodes, 2015).

499 With no absolute assurance of sufficient signal resetting in fluvial environments it is vitally important to not take
500 luminescence ages obtained from fluvial sediments at face value. Of the variety of methods routinely used to assess
501 the bleaching status of such samples we have opted to employ the unambiguous bleaching properties of the quartz
502 and potassium feldspar signals to test the validity of the ages presented in this study.

503 It has been observed that the post-IR signals in feldspar bleach much more slowly and are therefore much more
504 difficult to reset than the IR₅₀ signal, and that the IR₅₀ resets much more slowly than the OSL signal in quartz (Poolton
505 et al., 2002; Jain and Ankjærgaard, 2011; Murray et al., 2012). It is therefore possible to draw conclusions regarding
506 the completeness of signal resetting at the time of deposition in the quartz by comparing it to the harder to bleach
507 feldspar. This is an approach that has been successfully demonstrated by Möller and Murray (2015), Alexanderson
508 et al. (2024) and Thompson et al. (2024). The post-IR feldspar ages significantly overestimate the corresponding
509 quartz OSL ages in all samples with the exception of OSL 2.3 (Table 1). Unfortunately, this sample yielded no usable

feldspar, and it is not possible to make any further comment on the signal resetting in this case. A comparison of the IR₅₀/OSL ages for samples OSL 1.1 and OSL 1.2 (Pit 1) also shows a significant overestimation, and therefore we cannot comment conclusively on the degree of signal resetting in these samples. The two samples are however stratigraphically consistent with each other. In Pit 2 there appears to be a stratigraphic inversion in the quartz ages. Comparing the IR₅₀/OSL ages for the samples that yielded K-rich feldspar, we see that in OSL 2.1 this ratio is 1.11; as this is within 2σ of unity we can conclude that the OSL signal in this sample was sufficiently well reset prior to burial. For sample OSL 2.2 the IR₅₀/OSL age ratio is 1.45, indicating that the quartz signal might not be as well bleached in this instance, and we should trust the age of the deepest sample OSL 2.1 more (Table 1, Fig. 11). In the case of sample OSL 4.1 the ratio is much closer to unity (1.08), and we can be confident that the OSL signal was fully reset.

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Figure 11. Stratigraphic profiles of the three pits (1, 2 and 4) dug to extract OSL samples at Swindale in March 2023 (for the precise location see Fig. 3). All profiles are displayed with the same depth scale. Grainsize is shown on the horizontal axis as C – clay, U – silt, fs – fine sand, cS – coarse sand, fG – fine gravel and cG – coarse gravel. Where the dominant grain size is mixed with substantial amounts of other grain sizes this is indicated by patterns (see legend).

527

5. Floodplain development

528

529 Based on the combination of core, radar data and chronometric data several stages of floodplain development
530 can be reconstructed (Fig. 12). In the localised context of upper Swindale such development is conditioned by the
531 outcrop of an andesitic sill downstream of the study site (Fig. 2) which provides local base level control. This limits
532 erosion within at least parts of the study site and has preserved stratigraphic evidence of floodplain evolution.
533 Furthermore, moraines and alluvial fans in the valley are sources for sediment supply to the studied reach (Fig.
534 1c).

535 Radar facies I forms the base of the floodplain across the entire study site and is very likely associated with till.
536 The depth of its upper boundary increases down-valley to up to 5 metres below the current floodplain surface.
537 The age of this till is not known precisely, although McDougall (2013) suggests that during the Loch Lomond
538 Stadial (12.9-11.7 cal. ka BP) glaciers extended as far as the study site as evidenced by moraines preserved to the
539 east of area A (Fig. 1c).

540 5.1 Stage 1

541 After the Loch Lomond Stadial (12.9 -11.7 cal. ka BP), the initial stage of floodplain development coincided with
542 the partial incision and concomitant burial of glacial till (facies I) by an evolving braided fluvial system. This system
543 occupied almost the entire study site (apart from the northern part of area F) (Fig. 8a) and is characterised by
544 channel and bar sequences (facies II and IV). The chronology of sandy gravels that constitute the basal and
545 stratigraphically lower units of facies II has yet to be fully resolved. Nevertheless, a single OSL age estimate (c.
546 5.22 ± 0.35 ka) from an alluvial fan (AF1) suggests that deposition of the basal and lower sandy gravel occurred
547 before the middle Holocene. Assuming this is the case, the oldest sandy gravel units are potentially analogous to
548 radiocarbon dated terraced gravels in Bowderdale, in the Howgill Fells which were emplaced before 6763-7005
549 cal. BP (6070 ± 70 BP: SRR-318) (Miller, 1991) and the Upper Langden, in the Bowland Fells where deposition
550 occurred prior to 5316-5573 cal. BP (4680 ± 80 BP: WIS-1614) (Miller, 1991).

551 The delivery of coarse-grained sediment to the valley floor via steep tributary valleys culminated in the
552 progradation of alluvial fans across the margins of the braidplain (profile F25, 63-71 m, Fig. 5) during the mid-
553 Holocene (c. 5.22 ± 0.35 ka). This phase of fan progradation appears to have initiated the local development of a
554 wandering planform in the lower area E and area D of the braidplain evidenced by deep (buried) larger, possibly
555 more permanent channels with point bars and associated accretion structures (facies IV, Fig. 8b). It is not known if

the floodplain environment was characterised by sustained aggradation or spatially selective reworking during the post-middle Holocene to late Holocene period. Following the middle Holocene, aggradation varied significantly in regional catchments. At Colley Moor, Northumbria, a radiocarbon date from a silty sand unit inset within soliflucted till suggests that in Coe Burn, a phase of fine-grained alluvium took place after 4259-4584 cal. BP (3920±70 BP:BETA-28982) (Macklin et al., 1991). By comparison, there is no compelling evidence of mid-Holocene aggradation in catchments in the Howgill Fells, Bowland Fells, and the Southern Uplands (Chiverrell et al., 2007 and references therein). The scale of human activity and land use-change within the study area during the late Holocene is not known. Nevertheless, palaeolimnological evidence indicates that woodland clearances across the Lakeland Fells were minor and localised until c. 5200 cal. BP (Pennington, 1991), whereas the late Bronze Age to early Iron age (2700-2800 cal. BP) and particularly the late Iron Age and Romano-British periods (2300-1500 cal. BP) witnessed a significant increase in the distribution and intensity of clearances (Pennington, 1978; Hodgkinson et al., 2000; Chiverrell et al., 2004). It is possible that the combination of localised clearances and the shift to wetter conditions around 5.2 ka, 4.2 ka, 3.6 ka, and 2.8 ka (Charman, 2010; Roland et al., 2015) led to intermittent aggradation in the braidplain environment in the period between the post-middle Holocene and start of the late Holocene.

A single OSL age (0.99 ± 0.19 ka) from the lower sandy gravel unit of Facies II and the presence of several storeys of braidchannels suggest that a significant aggradation occurred across the floodplain started approximately 1000 years BP. Assuming this is the case, the lower sandy gravel unit is potentially analogous to lower terraced gravels that were the emplacement in the lower Ribble catchment c.1000 cal. BP (Chiti, 2004) and in Kirtle Water, Southern Uplands between 1000 and 750 cal. BP (Tipping, 1995). The scale of human activity and land use-change occurring within the study area during this period is not known. Nevertheless, palaeolimnological evidence and pollen data indicate that significant woodland clearances occurred across the Lake District (Pennington, 1991; 1997; Dumayne-Peaty and Barber, 1998), the Howgill Fells (Cundill, 1976), and Rotten Bottom in the Southern Uplands (Tipping, 1999) in response to a growing rural economy that coincide with the Norse settlement period (1200-950 cal. BP) (Winchester, 1987). With woodland clearance from upland areas around Swindale, the steep tributary systems are likely to have transferred coarse- and fine-grained sediment to the floodplain zone (cf. Harvey, 2002). Nevertheless, the sustained rates of floodplain aggradation almost certainly reflect the progressive reworking and remobilization of glacial, paraglacial, and fluvial sediment stored upstream

coupled with the downstream base level control exerted by the andesitic sill downstream of the study site and sediment storage opportunities linked to valley-floor configuration at Swindale (cf. Passmore and Macklin, 2000; Chiverrell et al., 2007).

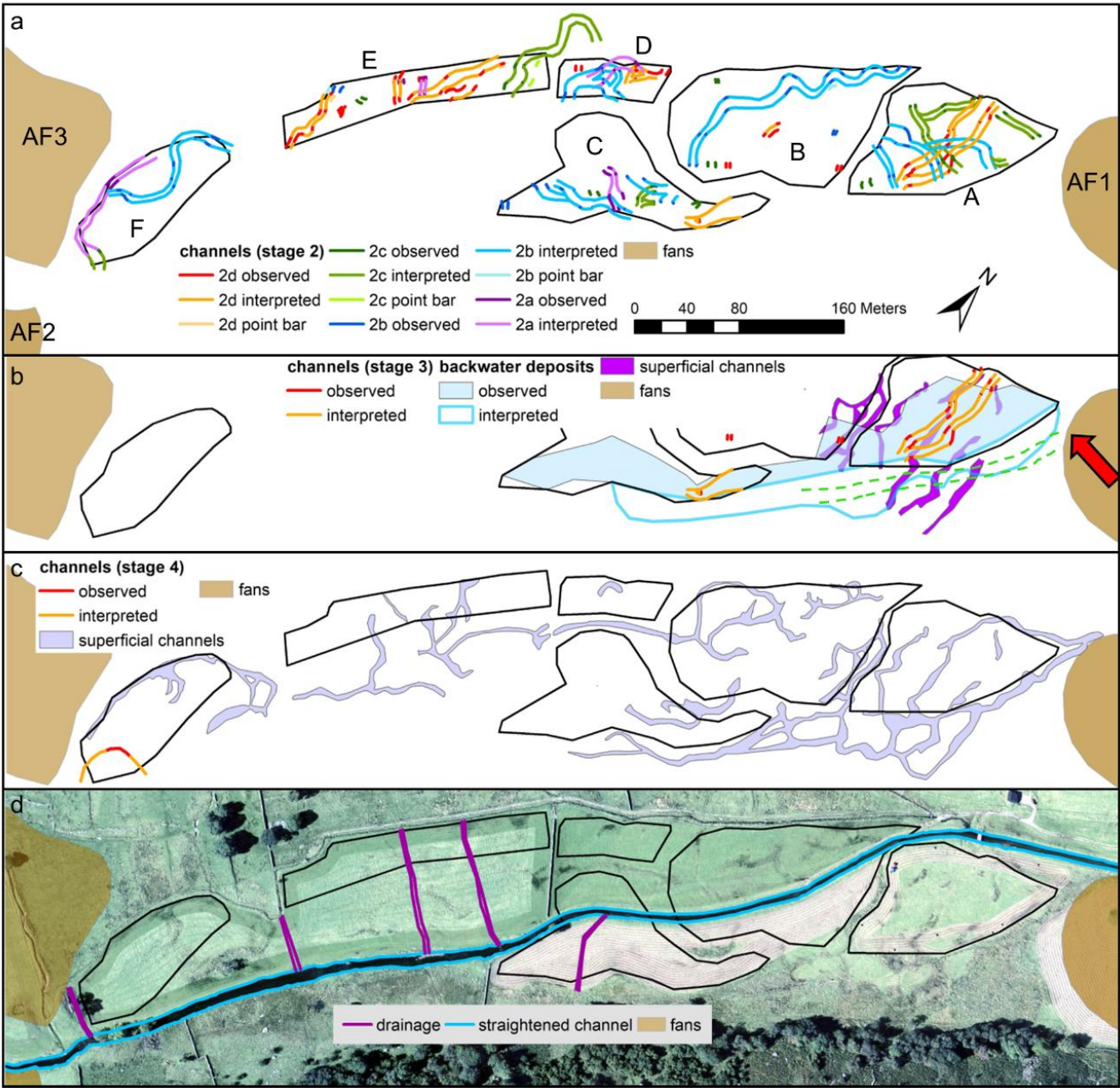


Figure 12. Stages of sequential floodplain and channel development at Swindale based on radar analysis. A) Stage 2, a multi-thread or wandering planform with several channel system stacked. Identified substages indicate depth below the contemporary floodplain with substage 2a being the lowest. These substages are not necessarily correlated between the different areas (labelled A-F). Alluvial fans (AF) are potential sources of sediment. B) Stage 3 characterised by northerly drainage across areas A and B, likely caused by blockage of a

channel on the south-eastern valley side (indicated by green dotted lines) for example by activity of an alluvial fan 1 (red arrow). Backwater deposits have been found in areas A, B and C but have likely extended further South-east. C) Stage 4, a single thread or low-order multi-thread planform with its main channel largely outside the surveyed areas. Erosion along the toe of AF1 has enabled a shift to a south-easterly drainage route in the lower study area. Many superficial channels on the associated floodplain are still detectable from aerial imagery, constituting tributary channels and remnants from earlier stages. D) Stage 5, an artificially straightened channel with floodplain drainage. High resolution (25 cm) vertical aerial imagery from 2009 has been sourced from Getmapping using EDINA Aerial Digimap Service.

602

603 5.2 Stage 2

604 The second stage of floodplain evolution is characterised by a low-order multi-thread or single-thread wandering planform (Fig. 12a). It is mainly associated with radar facies III, representing bedload sheets, bars and transient chute channels, as well as lateral accretion deposits (IV). In addition, channels of various size are preserved (X) together with prograding coarse bar migration sediments (IX) and fine channel fills (VII). A geochronology for this stage of floodplain development is lacking. Nevertheless, an OSL age of 0.99 ± 0.19 ka generated for facies II places the formation of facies III and hence the second stage of floodplain development within a late Holocene timeframe.

611 The shift from stage 1 to stage 2 is distinct in the GPR data and in places associated with considerable coarsening of the sediment calibre, e.g. facilitated by deposition of coarse bedload sheets over finer bar top sediments (Figs. 612 5, 6, 7 and 10). However, whether these bars have been created in a braiding or wandering environment is often 613 not resolved and thus the transition between the two stages might have been much more gradual. Such a shift 614 could be attributed to changes in sediment supply due to climatic alterations as well as anthropogenic land use 615 change, albeit with some lag time (Chiverrell, 2006; Larsen et al., 2013). However, given the limited dating 616 control, it is not known if changes in sediment supply occurred during the 9th century AD (c.1000 cal. BP) or the 617 following centuries AD. The erosion of soils and sediment in several Lakeland catchments is evidenced by the 618 increased delivery of fine-grained sediment to several Lake systems c. 1000 cal. BP and 500 cal. BP (Chiverrell, 619 2006 and references therein; Shen et al., 2008; Hatfield and Maher, 2009). In other areas of the Lake District, 620

621 significant gullying and erosion linked to the exploitation of hillslopes culminated in alluvial fan progradation in
622 Blind Tarn Moss (Easedale) and Derwent catchment, Borrowdale after 895-725 cal. BP (860 ± 40 BP: SUERC-6686)
623 (Chiverrell et al., 2007) and 621- 515 cal. BP (534 ± 45 BP: OXA-7751) (Wild et al., 2001), respectively. By
624 comparison, in the central Southern Uplands, erosion resulting from the exploitation of hillslopes led to fan
625 aggradation in Dalveen Pass (Lowther Hills) and Nitties Burn after 485-520 cal. BP (466 ± 25 BP: SUERC-4704) and
626 310-460 cal. BP (330 ± 70 BP: BETA-95478) (Chiverrell et al., 2007), respectively. The temporal variability in
627 sedimentation between catchments is likely to reflect differing levels of landscape (in-)stability within catchments
628 (Chiverrell et al., 2008) coupled with variable preservation potential of stream deposits and alluvial fan gravels in
629 valley floor environments (e.g. Lewin et al., 2005). Within Swindale, the continued availability of (glacial,
630 paraglacial, and fluvial) sediment in upstream storage zones coupled and localised reworking of floodplain and
631 alluvial fan sediments offers a potential explanation for aggradation spanning the 9th and 15th centuries AD
632 (1000-500 BP). Additionally, the valley configuration created a 'buffer' effect leading to significantly greater reach-
633 scale storage and preservation of floodplain sediments (e.g. Lewin et al., 2005).

634 Multiple levels of channels of varying dimensions have been found across the entire study site which allows
635 distinction of several storeys. These substages indicated in Figure 12a refer to the depth below the contemporary
636 floodplain surface of identified channel systems within each area. Elevation and channel dimensions were used to
637 attempt the matching of substages between neighbouring areas. However, spatial gaps in the survey prevent
638 correlating these systems across the entire study site but they nevertheless indicate floodplain architectures and
639 possible channel planforms. Changes in channel dimensions may be attributed to variation in sediment supply,
640 e.g. the incision of a deep channel along the toe of the fan AF3 (stage 2a in Fig. 12a), and thus the ratio between
641 transport capacity and sediment supply of the system (Harvey, 2002). Large channels at depth (Fig. 8d) have been
642 recognised on both sides of the valley (areas D, C and B) implying a dynamic system that used the full width of the
643 valley. Erosion in more recent stages and anthropogenic interventions such as the excavation of the straight
644 channel and, more recently, the re-meandered channel have removed evidence of floodplain development in the
645 central parts of the valley. However, it is remarkable that no traces of deep or large channels have been found
646 along the valley axis, e.g. in the western part of area C (profiles C29-32) and the eastern part of area B (profiles
647 B7-9, B11 and B12; e.g. Fig. 10). The detrended DEM (Fig. 8) indicates a topographic high along this axis in areas B
648 and C and radar facies III is consistently deepest below surface level along the edges of the valley (profiles C36,

649 C34, B10 and D14 respectively; Figs. 5, 6 and 10), hereby indicating a gradient away from the valley centre axis.

650 While the contemporary topographic high in the valley centre may be associated with levees and overbank

651 deposition along the former straight channel (Reid, 2015; Wightman and Schofield, 2021), an explanation for such

652 an arrangement at depth is less straightforward. Potentially differential till deposition at the margin of the

653 Younger Dryas glaciation might have favoured drainage along the valley edges where lateral fans allowed. As a

654 result, the main channels may have shifted at intervals between a train of channels on either the NW or SE edges

655 of the valley downstream of the narrow point created by the alluvial fans AF2 and AF3 at the up-valley end of the

656 study site (Fig. 1c). Only in area A, likely beyond the margin of Younger Dryas glaciation (Fig. 1c), there is clear

657 evidence of cross-valley drainage as well as potentially one substantial channel in area B (stage 2d in Fig. 12a). The

658 reconstruction of drainage in area A suggests roughly E and NE drainage direction for most of this stage with a

659 distinct shift to a northward drainage for the uppermost channels. This might be the result of blockage of a main

660 channel at the eastern valley edge, for example by activation of alluvial fan AF1 there. Such an episode could have

661 led to rerouting of drainage and temporary impoundment there, which is supported by apparent fading and

662 fragmentation of radar facies III at the down valley end of profiles C34 (Fig. 6), C35 and C36 and leads to

663 development stage 3 in the downstream part of the study site.

664

665 5.3 Stage 3

666 The third stage is characterised by backwater deposits (radar facies VIII) extending across much of areas C and A

667 and several N-S aligned channels across area A some of which are still evident in the contemporary floodplain

668 surface (Fig. 12b). Such a shift to a northerly direction of the drainage in the lower study area may be explained

669 by bio-geomorphic alterations (e.g. beaver dams) or the mentioned westward extension of the alluvial fan AF1

670 and the resulting blockage of the previous southeastern alluvial drainage route. While the age of deposition at the

671 toe of AF1 (pit 4) is much older than the developments in stage 3, other parts of the fan may have been active at

672 different times. The ensuing impoundment would have rerouted channels to the North and created the

673 substantial downvalley thickening deposits associated with radar facies VIII. It is possible that gradual fill of the

674 basin has led to avulsion further upstream and reoccupation/ scour of a large channel through area B (stage 2b in

675 Fig. 12a). Whether this episode was triggered by a general reactivation of fan AF1, bio-geomorphic agents or
676 avulsions and drainage changes within is unclear.

677 Channel fills (radar facies VII) as part of channel migration and abandonment within a wandering river floodplain
678 are present as well during this stage, however, this facies varies from the backwater facies VIII in its smaller
679 horizontal extent, lateral onlap on channel facies X and presence of alternating layers. In contrast, facies VIII is
680 less structured, fine throughout (at least in area A) and often situated over channel fills (Fig. 6).

681

682 5.4 Stage 4

683 After incision of a new south-eastern channel along the toe of AF1, it is likely that the main channel reversed to
684 the SE valley side again (Fig. 12c). The previous N-S aligned spill channels across area A of stage 3 were filled
685 (radar facies VII) or drained the floodplain. More recently after the channel restoration works some of these
686 channels have been reactivated, albeit with a reversed flow direction towards the newly excavated channel,
687 which they meet at an obtuse angle (Fig. 8).

688 The radargrams show only the margins of the stage 4 main channel system (e.g., profiles B9, A5, C33, E19 and
689 F27) suggesting a spatially constraint, likely single-thread or low-order multithread channel system and associated
690 floodplain development. The reconstructed large bend on the SW end of area F (radar facies X on profile F24, Fig.
691 8d) shows erosional contact with adjacent facies and may be associated with this system. During this stage
692 vertical accretion sediments have been deposited across the study site suggesting a reduction in coarse sediment
693 supply and channel stabilisation. Some superficial coarse splay deposits laid down during floods are found across
694 the entire site (radar facies V, Fig. 8c).

695 Figure 12c also shows an array of superficial channels evident only from radargrams as well as those also visible
696 from aerial photography. These are likely to have linked tributaries to the main channel prior to floodplain
697 drainage.

698

699 5.5 Stage 5

700 The last stage that is evident from the GPR survey is the anthropogenic realignment of Swindale Beck (Fig. 12d)
701 and the insertion of drainage pipes in the floodplain. The fill of the straightened channel during the restoration
702 works 2016 can be clearly seen as radar facies VI (Fig. 8d). Some sediments associated with radar facies V (Fig. 8c)
703 may present compacted soil as a result of the use of heavy machinery.

704

705 5.6 Stage 6

706 Figure 13 shows the planform dynamics of the extent of the active channel, defined as the mosaic of the low flow
707 wet channel and unvegetated bars, since the 2016 channel realignment. Bank erosion at the outer banks of
708 meander bends has resulted in channel migration, with the highest rates along the more sinuous set of meanders
709 along Phase 1 (downstream segment of the scheme). The 2023 survey shows one area of bifurcation, upstream of
710 the point where the realigned channel crosses the pre-restoration channel. Here, the realigned channel
711 bifurcated during a high-flow event and a knickpoint worked upstream from the pre-restoration channel, which
712 had not been infilled along this reach of former channel. However, during low flows this new channel remains dry.
713 Overall channel adjustment has been gradual and during the seven-year post-realignment monitoring period.

714



715

716 **Figure 13. Planform change at Swindale Beck following restoration. Channel extent for each year is based on**
717 **low-flow, wet channel extent from orthoimages produced using UAV acquired images that were processed**
718 **using SfM photogrammetry. The polygons showing the extent of the active channel are each partly**
719 **transparent; where they overlay a mix of colours from different years is shown.**

720

721 **6. Implications for river management**

722 The analysis of floodplain stratigraphy using GPR has been shown to allow reconstruction of key stages of the
723 evolution of river styles and floodplain formation at Swindale. River management, including the planning and
724 design of river restoration projects can draw various benefits from such an approach. In this context, GPR
725 provides a spatially extensive, time and cost-efficient appraisal of floodplain stratigraphy using a non-invasive
726 approach (Szuch et al., 2006) suitable for sensitive habitats, like the 'Site of Special Scientific Interest' at Swindale.
727 Knowledge of stratigraphy provides opportunities to facilitate sustainable river management approaches in
728 several ways. Firstly, it allows the identification of past river styles and their interpretation in relation to sediment
729 sources and lateral constraints (e.g., alluvial fans). Słowik (2015) showed how geophysical floodplain investigation
730 along with analysis of historical maps and aerial imagery can lead to the design of sustainable restoration
731 schemes. Knowing the sensitivity of a fluvial system to local influences and the ensuing effects on planform
732 change in the past are important to be considered for designing a restored system that will fulfil the restoration
733 objectives in a changing climate. Not all restoration schemes aim to emulate a past river style and channel
734 configuration but are driven by specific objectives. At Swindale, the restoration design aimed to primarily increase
735 biodiversity in the valley while preserving the protected hay meadows and associated grassland plant
736 communities (Wightman and Schofield, 2021) and thus opted for a single-thread channel form with increased
737 channel sinuosity, creation of point bars and pool-riffle morphology. A secondary objective was not to increase
738 sediment flux downstream, due to drinking water abstraction from the Beck in the reach immediately
739 downstream of the realignment scheme (Wightman and Schofield, 2021). The development of a secondary high-
740 flow channel in 2022 (Fig. 13) shows that under current climate and sediment supply conditions a wandering
741 planform could develop and that implementation of such a system during the restoration design process may
742 have been feasible, had the objectives been different. This study has demonstrated that at Swindale over the last
743 1000 years there was a trajectory of river styles from braiding over a wandering planform to single-thread with
744 episodes of impoundment which can be linked with historic changes in climate and sediment supply. Hence, this
745 fluvial system appears to be relatively responsive to extrinsic changes. This is an important consideration when
746 creating channel configurations that are sustainable and functional in predicted climate change conditions. While
747 the gaps in our survey, largely imposed by recent engineering works, prevented the reconstruction of a coherent
748 channel network for the individual stages of development, GPR surveys can be used to create three-dimensional

749 subsurface models (e.g. Heinz and Aigner, 2003; Kostic and Aigner, 2007) which may serve as a basis for hydraulic
750 modelling of flow and sediment conveyance for comparison with planned restoration options. Whilst our results
751 demonstrate clear evidence for a former multi-thread channel system at the study site, we do not claim that this
752 is necessarily suitable for the current river system. However, knowledge of past river styles gained from GPR data
753 may help to select an appropriate channel morphology that is aligned with the contemporary and future flood
754 and sediment supply regime and may go beyond the often aesthetically favoured meandering design (Podolak
755 and Kondolf, 2016). Additionally, in wider river management context, the appreciation of the sedimentary
756 consequences of past anthropogenic actions (e.g., channel straightening in stage 5) for the floodplain may
757 support adaptive planning for prospective works (e.g., water diversions) (Lewin, 2013).

758 Secondly, for river restoration programs knowing the precise position, depth and thickness of gravel/ cobble
759 bodies in the floodplain allows dimensioning and positioning of restored channels (Schneider et al., 2011). The
760 presented GPR data distinguished between grain size fractions of sediment and precisely outlined former
761 channels which could be linked in many instances. This investigation also shows that many of the palaeochannels
762 were not evident from aerial photography or lidar commonly used for their detection, or masked by engineering
763 works of stage 5. At Swindale the routing of the new channel of the restoration scheme was in the lower part of
764 the study site (around areas A, B and C) guided by the presence of channels on the floodplain interpreted from
765 lidar and aerial photography while in the upper part (areas D, E and F) a more sinusoidal course was favoured. The
766 post-restoration channel development (stage 6, Fig. 13) shows for the latter a lower level of channel dynamics
767 and Wightman and Schofield (2021) noted a paucity of gravels in the restored channel. A GPR survey across the
768 site before the restoration works would have enabled identification of the location of single-thread or low order
769 multi-thread channels of stage 4, their connectivity at depth and dimensions and thus may have avoided the need
770 to artificially construct gravel bars.

771 Thirdly, spatially coherent information on floodplain stratigraphy reveals location and quantity of sustainable and
772 local sources of coarse substrate potentially needed during restoration works (e.g., sediment replenishment),
773 which are as cut-and fill structures often not evident from surface topography (Huber et al., 2019). GPR surveys
774 can also indicate suitable conditions for wetlands (Szuch et al., 2006), characterise gravel aquifers (Doetsch et al.,
775 2010; 2012). Furthermore, they allow avoidance of undesired sedimentary bodies (e.g., mining deposits, landfill)

776 and the, often neglected, assessment of vertical hyporheic connectivity (Sparacino et al., 2019; Howard et al.,
777 2024).

778 Floodplain roughness and vegetation have been shown to impose some limits on efficient surveying and thick and
779 clay rich top layers may attenuate the radar signal and reduce penetration depth (Annan, 2008). Nevertheless, our
780 results clearly demonstrate that in suitable conditions and particularly for larger areas, GPR surveys can provide a
781 more spatially coherent, non-invasive imaging of the floodplain compared to coring and can be a valuable tool to
782 guide the river restoration practitioner towards recreating an appropriate channel morphology.

783

784 **7. Conclusions**

785 This study demonstrates the utility of GPR surveys to identify floodplain evolution and spatio-temporal river
786 planform development at Swindale Beck under the influence of various conditioning factors such as bedrock
787 geology, glacial deposits, activity of alluvial fans and anthropogenic activity. This led to the reconstruction of five
788 main stages of floodplain development over the entire study site with an additional stage for the south-eastern
789 part where alluvial fan activity appears to have triggered a more complex drainage pattern. OSL dating placed the
790 transition from a braiding river style to a dominantly wandering planform within the last 990 years. The response
791 of river planform to the conditioning influences in such a timeframe is relevant for river restoration schemes
792 which aim to create sustainable conditions in a changing climate. Thus, we argue that understanding of past river
793 styles, which can be efficiently obtained from GPR surveys, provides practitioners with important information for
794 deciding design options. For the example of Swindale it is demonstrated how subsurface imaging also allows
795 appraisal of hyporheic connectivity, location of gravel resources and identification of depth and geometry of a
796 wider range of palaeochannels than can be obtained from surface data. While a full absolute chronology for each
797 stage of development was not permitted with the design of OSL sampling and thus beyond the scope of this
798 paper, it would be desirable for linking past environmental conditions with the corresponding geomorphological
799 processes at each stage. Furthermore, closer spacing of GPR survey profiles and data acquisition prior to
800 fragmentation of the floodplain by restoration works may allow for better reconstruction of palaeotopography.
801 This may in turn serve as a basis for hydrodynamic modelling in order to test the suitability of past river styles
802 under contemporary sedimentary and hydrological conditions.

803

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812

813 **9. References**

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