

Manuscript Number: RECYCL-D-19-01141R3

Title: Behavioural factors on the adoption of sustainable supply chain practices

Article Type: VSI: Operation

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Abstract: Sustainable supply chain management (SSCM) has become a popular research topic among scholars as evidence suggests it has significantly contributed to achieve more environmentally conscious and socially responsible supply chains. Operational excellence (OE), on the other hand, can be achieved by incorporating SSCM practices within existing supply chain operations. However, due to human expertise, involvement and commitment towards excelling at sustainable and operational performance, the effective deployment of SSCM practices now depends on various human-based behavioural factors (BFs). Human behaviour is dynamic in nature and hence has an effect on the implementation of SSCM practices. Nevertheless, research on BFs in view of SSCM practices is limited. To fill this knowledge gap, this study examines the nature of BFs for SSCM practices towards OE in supply chains, particularly within the context of the footwear industry of Bangladesh. In the first phase, the BFs were identified and determined through a literature review and empirical investigation. In the second phase, the Hesitant Fuzzy DEMATEL method was used to establish the cause-effect relationships among the factors. The influence of group validation by experts and a literature survey, along with managerial implications, was discussed and explained in the third phase of the study. The results suggest that the factor, 'organisation culture' is the most influencing behavioural factor, followed by 'commitment from higher authority'. Both theoretical and practical contributions of the study are drawn from its findings, helping footwear industry managers to more effectively adopt SSCM practices in the supply chain operations of their organisations to achieve OE.

Behavioural factors on the adoption of sustainable supply chain practices

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Research Highlights

- The study identifies behavioural factors that play important role in putting sustainable supply chain into practice.
- The ‘organisation culture’ is the most influencing element, followed by ‘commitment from higher authority’.
- Findings will help the industry managers to more effectively adopt SSC practices to achieve operational excellence.

1 **Behavioural factors on the adoption of sustainable supply chain** 2 **practices**

3 4 **Abstract**

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6 scholars as evidence suggests it has significantly contributed to achieve more environmentally
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8 hand, can be achieved by incorporating SSCM practices within existing supply chain operations.
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10 and operational performance, the effective deployment of SSCM practices now depends on
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23 SSCM practices in the supply chain operations of their organisations to achieve OE.

24
25 **Keywords:** Operational excellence; behavioural factor; sustainable supply chain; footwear
26 industry; hesitant DEMATEL

27 **1. Introduction**

28 The rapid expansion of the number of firms involved in production, along with a subsequent
29 expansion of manufacturing activities, has increased those supply chain activities which directly
30 lead to environmental degradation ([Vachon and Klassen, 2008](#); [Bozarth et al., 2009](#); [Gold et al.,](#)

31 2010; Hofmann et al., 2018; Gardner et al., 2019; Shaharudin et al., 2019). A sustainable
32 approach, integrating environmental, social and economic factors, can help to achieve
33 sustainability in the supply chains of firms (Wu and Pagell, 2011; Mangla et al., 2019). Global
34 business pressure has been increasing significantly in recent years to achieve a number of
35 sustainable development goals (SDGs) by the year 2030. Therefore, sustainable supply chain
36 management (SSCM) practices in manufacturing firms, is attracting more attention in both
37 developed and developing countries. In a developing country like Bangladesh, manufacturing
38 firms are facing many hurdles in the implementation of SSCM practices in their efforts to
39 survive in the global market. At the same time, manufacturing firms are trying to integrate
40 pollution prevention strategies to minimize environmental pollution (Zhu et al., 2008; Diabat and
41 Govindan, 2011; Wang et al., 2013). Unlike traditional manufacturing practices, sustainable
42 manufacturing practices include all phases of a product's life cycle by incorporating social,
43 environmental and economic issues into the supply chain (Pagell and Shevchenko, 2014). SSCM
44 practices enable manufacturing firms and practitioners to consider any potential adverse impact
45 of supply chain activities on the environment, society and the wider economy (Zeng et al., 2017;
46 Bastas and Liyanage, 2018).

47
48 According to our literature survey, over the past decades, many industrial firms have started to
49 place greater importance on various environmental, economic and social issues such as
50 "sustainable development" (Sustainable Solutions Development Network, 2013), "social
51 sustainability" (Nica and Potcovaru, 2015), "environmental certifications" (Marimon et al.,
52 2010; Geerts, 2014), "green marketing" (Polonsky, 2011; Chan et al., 2012), "green human
53 resource management" (Renwick et al., 2013; Jabbour et al., 2016), "green purchasing" (Large
54 and Gimenez Thomsen, 2011; Joshi and Rahman, 2015), "sustainable supplier selections"
55 (Famiyeh and Kwarteng, 2018) and "industry 4.0" (Moktadir et al., 2018a).

56
57 Many industries in the developed world have already introduced SSCM practices to achieve
58 sustainability in their supply chains, noting that behavioural factors (BFs) play an important role
59 in this aim (Carter and Rogers, 2008; Kramar, 2014; Zaid et al., 2018). Thus, it is important to
60 understand the influence of BFs in the implementation of SSCM practices as well as the goal of
61 operational excellence i.e. it is necessary to create sustainable improvement within an

62 organization which further helps the organization to create value to the customer. Hence,
63 identifying the BFs involved in SSCM practices is a vital task in the global research agenda.

64
65 In the footwear supply chain, to achieve operational excellence, it is important to incorporate
66 SSCM practices considering human BFs; thus, operational excellence can be achieved by human
67 expertise. A number of operations such as sourcing of materials, cutting, splitting, skiving,
68 assembling, lasting, de-lasting, conditioning, edge colouring, finishing etc. are involved in the
69 manufacturing process and have direct or indirect involvement by humans (Crabtree et al., 2009;
70 Muthu, 2013). Therefore, it is evident that operational excellence in footwear manufacturing
71 firms largely depends on human activities. Human BFs, such as dynamic leadership, mutual
72 respect, good working environment, rewards system for skilled workers etc. are important for
73 implementation of SSCM practices as well as to achieve operational excellence in the supply
74 chain networks (Seuring and Müller, 2008; Taticchi et al., 2013). By implementing SSCM
75 practices, sustainability i.e. environment, societal and economic, can be achieved in the footwear
76 supply chain which is labour intensive; therefore, behavioural factors can influence the
77 implementation process of SSCM practices and also contribute to achieving operational
78 excellence in the long term.

79
80 SSCM in the footwear supply chain is at an embryonic stage of adaptation. If implemented,
81 SSCM practices may help to reduce both the negative social and environmental impacts of the
82 industry significantly, while achieving economic benefits in the footwear supply chains. To
83 achieve operational excellence in these footwear supply chains during the implementation of
84 SSCM practices, it is necessary to study the involvement of human activities. Therefore, human
85 BFs need to be examined to develop an efficient managerial strategy towards achieving
86 operational excellence. However, after carrying out an extensive literature review on SSCM,
87 limited studies are available in academic databases where researchers talk about behavioural
88 factors needed to achieve operational excellence in the context of SSCM. In order to implement
89 such practices, it is imperative to assess those human BFs which directly or indirectly help to
90 achieve operational sustainability. Since the most recent literature reviews confirm that no study
91 until now investigate the impact of human BFs on SSCM practices, this study tries to address the
92 following research questions:

93 *RQ1:* What are the BFs in the context of SSCM practices towards achieving operational
94 excellence in the footwear supply chain?

95 *RQ2:* What are the cause and effect inter-relationships among the identified BFs in the SSCM
96 practices?

97 *RQ3:* How can the identification of inter-relationships help managers to formulate strategies
98 towards achieving operational excellence in the footwear supply chain?

99

100 To answer these questions, the following objectives are set:

- 101 I. Investigate the BFs that have an impact on SSCM implementation.
- 102 II. Propose a methodological framework to aid an investigation of cause-effect inter-
103 relationships existing among the identified human BFs.
- 104 III. Formulate the practical and managerial utility of a proposed framework for practitioners
105 and decision makers towards achieving operational excellence in the context of the study.

106 To deal with the above-mentioned questions, this research attempts to answer the questions by a
107 systematic approach. In the first stage of the study, a systematic literature review has been
108 conducted to identify the BFs for SSCM practices. In the second stage, a recent proposed multi-
109 criteria decision making (MCDM) method is utilized to find the interactions among validated
110 human BFs.

111

112 Section 2 presents identification of the BFs. Section 3 describes the proposed methodology while
113 section 4 depicts the applications process. Section 5 covers the results, discussions of the study
114 and findings. The practical implications of the study findings are explained in section 6. The
115 concluding remarks with limitations of the study are provided in section 7.

116 **2. Literature review**

117 In this section, the theoretical background of SSCM and identification of human BFs are
118 discussed.

119 **2.1 Sustainable supply chain management and operational excellence**

120 The term ‘firms’ sustainability’ can be defined using a triple bottom line (TBL) concept that
121 deals with environmental issues, social concerns and economic factors (Colbert and Kurucz,

122 2007; Slaper and Hall, 2011; Moktadir et al., 2017, 2018b). The TBL concept, if incorporated
123 into manufacturing activities, may help achieve firms' sustainability (Linton et al., 2007). Over
124 the years, research in SSCM practices in the context of various industrial fields has increased
125 dramatically. Previous studies have focused on the economic issues of supply chains integrating
126 environmental/green issues with the resulting green SCM (Walker et al., 2008; Ahi and Searcy,
127 2013). Several researchers have looked at how green SCM can protect the environment focusing
128 on green product design, green materials sourcing, green manufacturing, green marketing, green
129 human resource management etc. (Seuring, 2013; Govindan et al., 2014). The demands of
130 society are increasing day by day, attracting attention to the importance of SSCM practices in
131 supply chains. SSCM focuses on all three pillars (economic, social and environmental) of
132 sustainability equally (Seuring and Müller, 2008).

133

134 To achieve environmental, social and economic sustainability, published literature on SSCM
135 practices is increasing very fast (Schaltegger and Burritt, 2014; Silvestre, 2015). Literature
136 shows that many firms are adopting cleaner production practices and environmental management
137 systems to improve the supply chain performance (Geissdoerfer et al., 2017); Singh et al. (2019)
138 demonstrated the applications of information and communications technology on food supply
139 chains for SSCM; Simon (2019) showed how to implement circular economy practices in the
140 plastic industry; Ponstein et al. (2019) investigated sustainability in Finnish wine supply chains;
141 Reimann et al. (2019) developed closed loop supply chains with process innovation for SSCM
142 practices; Xu et al. (2019) assessed risks to manage supply chains for sustainability; Raut et al.
143 (2019) tried to link big data analytics and operational sustainability for sustainable business
144 development; Sharma et al. (2019) investigated the impact of circular economy for food supply
145 chains towards sustainability; Gardas et al. (2019) demonstrated the critical success factors for
146 reusable plastic packaging towards sustainable supply chains; Kaur and Singh (2019) developed
147 a flexible dynamic model for SSCM practices; Saeed and Kersten (2019) tried to classify and
148 identify drivers to SSCM practices; Principato et al. (2019) investigated the impact of circular
149 economy practices in the Italian pasta industry for sustainable development; Jabbour et al. (2019)
150 showed the effect of the human side of dimensions for circular economy practices for SSCM
151 practices; Gong et al. (2019) conducted a study on the role of customer awareness in promoting
152 firms' SSCM and sustainability; Jia et al. (2018) examined the role of leadership in the context

153 of multi-tier SSCM; Zeng et al. (2017) demonstrated the inter-relations among circular economy,
154 institutional pressure and SSCM in the context of Chinese eco-industrial park firms; Papetti et al.
155 (2019) developed a web-based platform for eco-sustainable SCM; Huo et al. (2019) investigated
156 how green processes influence sustainability in social, environmental and economic
157 performance; Cole and Aitken (2019) showed the role of intermediaries in achieving
158 sustainability in supply chains; Bastas and Liyanage (2019) investigated sustainability of
159 integrating SSCM practices and quality management.

160
161 Operational excellence may be achieved by implementing SSCM practices in the supply chains;
162 it can also help to improve the effectiveness and efficiency of a firm's manufacturing systems
163 (Cherrafi et al., 2017; Caiado et al., 2018). Operational excellence can have a marked impact on
164 the three pillars of sustainability; it is imperative for decision makers and practitioners to know
165 how operational excellence can be achieved by integrating three pillars sustainability into the
166 manufacturing activities (Bai and Sarkis, 2017; Sehnem et al., 2019). In general, operational
167 excellence can be achieved via technological and practical industrial activities such as lean
168 manufacturing concept, reverse supply chains, Industry 4.0, circular economy, information
169 communications and technologies, business process re-engineering, flexible manufacturing
170 system, robotics manufacturing system, automotive manufacturing system etc. (Bou-Llusar et al.,
171 2009; Asif et al., 2010; Resta et al., 2015). In the era of Industry 4.0, many organizations are
172 trying to modify their production processes to focus on economic issues (Luthra and Mangla,
173 2018; Sellitto et al., 2019). Therefore, integrating TBL approach with Industry 4.0 practices to
174 achieve SDGs is an important and crucial issue. Social and environmental issues, along with
175 economic factors, should be considered for operational excellence in the supply chains. It is also
176 important to acknowledge how operational excellence activities will affect sustainable supply
177 chain performance, dynamism, collaborations, transparency, innovations and relational
178 capabilities (Bai and Sarkis, 2017). Operational excellence can improve the sustainable supply
179 chain's structure and capabilities by modifying the three pillars of sustainability (Schroeder et
180 al., 2018).

181 **2.3 Behavioural factors (BFs)**

182 BFs are those factors which have the potential to affect the behaviour of a person to successfully
183 complete the desired tasks (Grover et al., 2006; Ding et al., 2018). The willingness of a person to

184 do a certain job is as equally important as his/her ability to actually perform an assigned job. It is
 185 imperative that without personal interest and zeal, no one can perform to a better level. Many
 186 researchers have pointed out that BFs are important for the management control system
 187 (Campbell, 2012). These factors are dynamic in nature and need special attention during the
 188 management control system. Previous research has tried to interlink either GSCM practices to
 189 human BFs or environmental management systems. In the first stage, to identify the human BFs,
 190 an extensive systematic literature review was conducted by utilizing some specific keywords
 191 such as human factors, human success factors, motivator, sustainable supply chain management,
 192 green supply chain, human resource management, behavioural factors, circular economy,
 193 remanufacturing, human side of sustainability etc. considering peer reviewed scientific journals
 194 in the various databases. During this process, the following scholarly databases - Google Scholar,
 195 Science Direct, Scopus, Taylor and Francis, Emerald and Springer were used. All considered
 196 scientific peer reviewed articles were refined as per the set criteria: articles should be written in
 197 English, peer-reviewed and align with the current research topic. Accordingly, from the
 198 considered and collected articles, the human BFs were identified via brain storming sessions with
 199 the assistance of industrial experts from the case industry. In the second phase, these BFs were
 200 validated in their applicability in the set industry by experts' inputs as described in the
 201 Applications section. Table 1 shows the fourteen BFs with a brief explanation of SSCM practices
 202 towards operational excellence together with the author(s) list.

203
 204 Table 1 shows the fourteen BFs with a brief explanation to SSCM practices towards operational
 205 excellence with the author(s) list.

206

207 **Table 1.** Behavioural factors in SSCM practices leading to operational excellence

Factors	A brief explanation	Authors
Dynamic leadership	Dynamic leadership means a transformational facility of leadership that helps to adopt SSCM practices in supply chains. It is considered an important factor to achieve operational excellent in supply chains. Sustainable manufacturing practice needs dynamic leadership activities which help to improve the overall performance of supply chains.	Walker et al. (2008); Chan et al., (2012); Muduli et al. (2013); Schoemaker et al. (2018)
Performance evaluation system and reward facility	A performance evaluation system may encourage a firm's employees to do better work. Rewards for good ideas and work may enhance the effectiveness and efficiency of the organization. Firms committed towards achieving operational	Guest (2002); Gruman and Saks, (2011); Kumar et al.

	<p>excellence by implementing SSCM practices should confirm that an incentive and reward system reflect the firm's commitment to the importance of sustainable supply chains performance by promoting desirable employee behaviour.</p>	(2018)
Effective communications structure	<p>An effective communications structure is important for sharing knowledge, strategies and objectives of firms among team members. It helps to achieve firms' performance while building strong relationships with firms' partners. An effective communication framework in the SC network may assist employees to achieve operational excellence in the SC.</p>	Boström et al. (2015); Gosling et al. (2017); Sauer and Seuring (2017)
Employee treated as team member	<p>Successful operational performance via implementing SSCM practices in the supply chain largely depends on employee behavioural activities. If organizational culture treats every employee as a team member, it will be easy to achieve the desired goals.</p>	Kramar (2014); Jabbour and Jabbour (2016)
Trust among employees	<p>Trust between employees and management can increase responsiveness. It can help to achieve inter-organizational effectiveness between employees and the management team. During the implementation process of SSCM practices, it is important to create an environment of mutual understanding among managers and employees.</p>	Rungtusanatham et al. (2003); Chardine-Baumann and Botta-Genoulaz (2014); Verburg et al. (2018)
Sustainable strategy	<p>Sustainable planning towards implementing SSCM practices is imperative since planning helps to handle critical situations in supply chain activities. It is mandatory for organizations to develop long term strategic planning towards SSCM implementation; this will help to achieve a sustainable business environment.</p>	Gimenez et al. (2012); Schrettle et al. (2014); Sáez-Martínez et al. (2016)
Sustainable innovation	<p>To achieve a sustainable business framework, it is necessary to introduce new technology to reuse waste, minimize waste, process materials, collect waste products for further processing etc. Sustainable and innovative change management is necessary for any firm's performance improvement. For operational excellence, an innovative human development program may help to achieve SSCM practices.</p>	Dearing (2000); Todeschini et al. (2017); Fellnhofer (2018); Schoemaker et al. (2018)
Facility of training program	<p>Training should be seen as a dynamic process that leads employee behaviour towards achieving operational excellence in supply chains. During the implementation process of SSCM practices in supply chains, training may act as a vital component. Carrying out tasks related to SSCM practices will require knowledge and experience; employees can achieve the necessary skills through a continuous training program.</p>	Hassini et al. (2012); Seuring (2013); Wu and Pagell (2011)
Organizational culture	<p>A set of basic assumptions dealing with internal problems and external issues may be referred to as organizational culture. It has a potential impact on employees to hinder or motivate SSCM practices in the implementation process. It may therefore act as a strong human BF to achieve operational excellence in the SC.</p>	Seuring and Müller (2008); Gold et al. (2010); Carter and Easton (2011); Kumar et al. (2019)

Social legitimacy, accountability and trust	To achieve a sustainable business framework, it is imperative for an organization to consider social legitimacy, accountability and trust. Business organizations are constantly trying to develop strategic plans to align with this factor.	Daily and Huang (2001); Gruman and Saks (2010); Demir et al. (2017)
Freedom of choice of job responsibility	Freedom of choice of job responsibility is an important BF to achieve a firm's desired goals. Freedom of choice of job responsibility may enrich organizational performance and productivity. It is crucial to assign appropriate jobs for employees to achieve best performance.	Venkatesh et al. (2010); Piercy and Rich (2015)
Motivation towards green practices	Motivation towards green practices among employees is crucial for success because the workforce is directly involved in the implementation process. Therefore, the management team should search for effective methods to motivate employees to adopt SSCM practices.	Walker et al. (2008); Sarkis et al. (2011); Kumar et al. (2019); Hu et al. (2019)
Commitment from high authority	Commitment from high authority indicates the overall efforts carried out by a management team towards SSCM practices implementation. It may act as a vital element of organizational change management process and facility. It may help to drive the firm's performance to achieve operational excellence.	Zhu and Sarkis (2004); Abdul-Rashid et al. (2017); Muktadir et al. (2018c)
Profit sharing among employees	A profit-sharing culture in a firm may significantly enhance the productivity of employees. During the implementation process of SSCM practices, there needs to be extra involvement from staff; it is necessary for the firm's management to encourage a culture that motivates employees for the successful implementation of SSCM practices.	Darnall et al. (2008); Lee et al. (2012); Tseng et al. (2013); Laari et al. (2017)

208

209 3. Research methodology

210 3.1. Methodology framework

211 A three-phase study framework is presented in Figure 1. In the first phase, behavioural factors
 212 were identified through a literature review and expert opinions, finalized by footwear industry
 213 experts. In the second phase study, a questionnaire was prepared and data was collected from
 214 experts on the influence assessment of behavioural factors; a cause-effect digraph was thus
 215 developed. In the last phase, based on the study outcomes, practical implications were suggested
 216 along with concluding remarks.

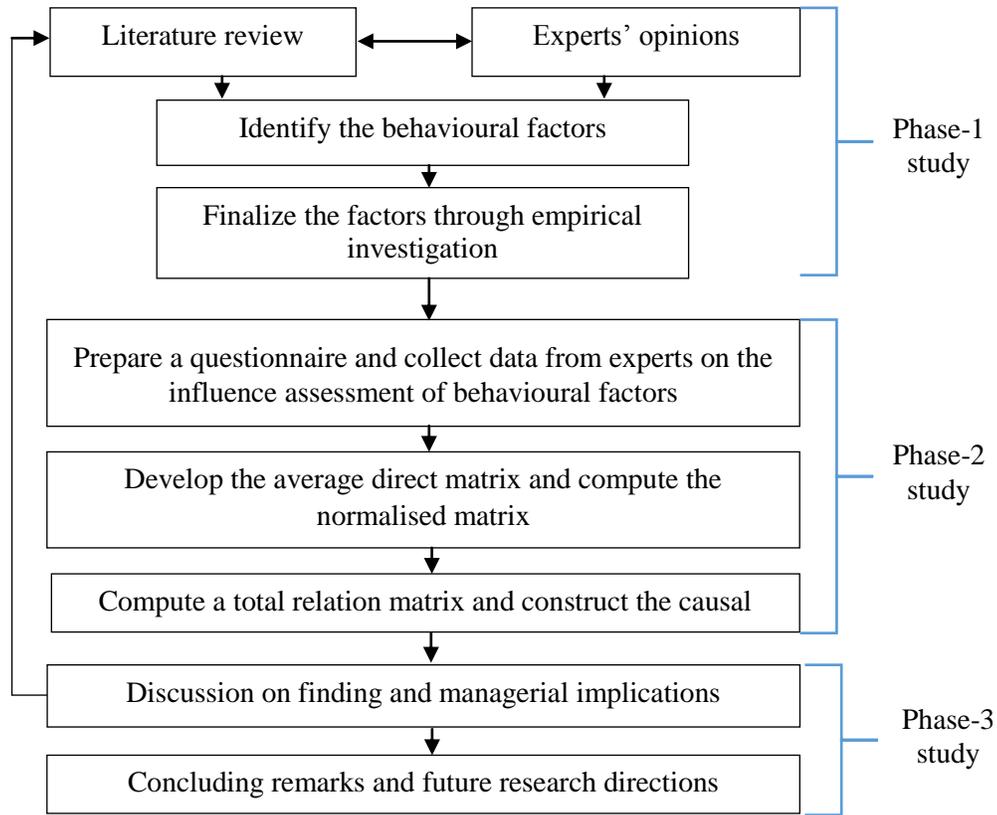
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Figure 1. Proposed research methodology framework

238 3.1. Hesitant Fuzzy DEMATEL (HF-DEMATEL)

239 The freedom to organise the hesitancy more than the matter under consideration is offered by the
240 Hesitant Fuzzy sets (HFs) (Torra, 2010; Xia and Xu, 2011). Below are the most crucial
241 fundamentals pertaining to the (HFs). Here we have a set $Y = \{y_1, y_2, \dots, y_n\}$, this is a HF which
242 happens to be a subset i.e. $[0, 1]$. This has been shown mathematically in a more concrete
243 expression below (Torra, 2010):

$$244 M = \{ \langle y, h(y) \rangle \mid y \in Y \}, (1)$$

245 The expression $h(y)$ relates to the set which holds the values in $[0, 1]$, $y \in Y$ to the set M . M is
246 therefore defined as the set of total HFs in Y . Hence, we can define M as:

247
$$M = \left\{ \left\langle y, \bigcup_{\gamma \in h(x)} \{\gamma\} \right\rangle \mid y \in Y \right\},$$

248 The standard theory of DEMATEL was first introduced in the year 1971 by the Battelle
 249 Memorial Institute in Geneva. Ever since then the method has been used by many researchers in
 250 different fields to establish relationships amongst the variables (Lin et al., 2018). But there are
 251 certain domains where this method fails to identify the unevenness in the data. As a result, the
 252 sets in the hesitant fuzzy DEMATEL method provide the freedom to organise the hesitancy more
 253 than the matter (Wu et al., 2017). The step wise process of this is mathematically shown below:

254

255 Step 1. Collect experts' opinions to construct a hesitant fuzzy direct-influence matrix.

256 Step 2. Obtain the crisp direct-influence matrix

257 Step 3. Compute the normalized direct-influence matrix

258 Where, $B = k \times \bar{A}$

259

260 Step 4. Derive the total-influence matrix by

261
$$T = B(I - B)^{-1}$$

262 Total sum of rows and columns of the T matrix, are obtained from Eqs. (5-6) as below:

263
$$r = [r_i]_{n \times 1} = \left[\sum_{j=1}^n t_{ij} \right]_{n \times 1} \quad (4) \quad c = [c_i]_{1 \times n} = \left[\sum_{i=1}^n t_{ij} \right]_{1 \times n}$$

264 Where t_{ij} is total relation matrix, for $i, j = 1, 2, \dots, n$.

265

266 Step 5. In order to avoid minor impact Eq. (6) is used.

267
$$\alpha = \frac{\sum_{i=1}^n \sum_{j=1}^n [t_{ij}]}{N} \quad (6)$$

268 where N signifies all elements. Those values greater than (α) are taken into account to construct
 269 the causal model.

270 **4. Applications in real life of proposed method**

271 The proposed method is applied in the largest manufacturing industry in Bangladesh - the named
 272 footwear firm. The contribution of the footwear industry to the country's economy growth is

273 remarkable, as confirmed from the report by the Export Promotion Bureau (EPB) (EPB, 2018).
 274 EPB confirm that in the FY2018, Bangladesh earned \$1.08 billion from footwear exports; in
 275 FY2017 the figure was \$1.23 billion. Beside the negative growth of export performance,
 276 statistics of OTEXA (Office of Textiles and Apparel) confirmed that the Bangladeshi footwear
 277 industry captures significantly more of the US footwear market compared to China's footwear
 278 industry. The report of OTEXA showed that in the FY2018 Bangladesh earned \$133.25 million
 279 from exporting footwear; the figure was \$113.33 million in the FY2017. As the footwear
 280 industry is labour intensive, it needs to consider human BFs for sustainable manufacturing
 281 practices if operational excellence is to be achieved in the footwear supply chains. Currently, the
 282 footwear industry in Bangladesh is in a transformational stage towards a more innovative and
 283 technological driven sector, with an increasing number of big, medium and small footwear
 284 manufacturers. The manufacturers are now focusing on strategies to introduce newer
 285 technologies to cope with market developments throughout the world. It is imperative to consider
 286 the human side of BFs to tackle this situation. To acknowledge the current status of the industry
 287 and to show the nature of human BFs in footwear supply chains, this research focuses on the
 288 human side of BFs in SSCM practices towards achieving operational excellence. To identify the
 289 importance and interactions among BFs, in this research, eight experts (as mentioned in Table 2)
 290 from randomly selected footwear companies are questioned as they know full well the
 291 importance of human BFs in SSCM practices.

292

293 **Table 2.** Experts' profiles

Experts	Scale of Industry	Years of experience	Role and responsibilities	Firms' details in FY-2018
1.	Large	20	He is a supply chain manager and responsible for managing the overall supply chain, logistics strategy and operations.	Size: 3.42 acres Employees: 5800 Annual sales turnover: USD \$0.6 billion
2.	Medium	19	His designation is senior production manager. He is responsible for production of high-quality footwear and maximizing productivity.	Size: 2.34 acres Employees: 3400 Annual sales turnover: USD \$0.41 billion
3.	Medium	23	His designation is quality manager, working in the operations department. He is	Size: 1.98 acres Employees: 2920 Annual sales turnover: USD

4.	Small	13	responsible for quality control and quality audits. He is supplier relationship manager. He is responsible for creation of strong links with the company suppliers.	\$0.34 billion Size: 0.92 acres Employees: 1600 Annual sales turnover: USD \$20 million
5.	Large	15	He is logistics manager with responsibility to ensure the timely shipment of end products, communication with transportation service providers, strategy development focusing on logistics facility to maximize the company's profit.	Size: 4.42 acres Employees: 7500 Annual sales turnover: USD \$0.99 billion
6.	Large	17	He is a senior supply chain and innovation manager; a key member for preparation of the materials consumption sheet for materials sourcing; he maintains good relationships with buyers and customers.	Size: 3.12 acres Employees: 5700 Annual sales turnover: USD \$0.8 billion
7.	Medium	19	Holds a senior position in supply chain and designing department He is responsible for development of interactive design based on buyer demand, pattern development and sample development.	Size: 2.49 acres Employees: 3100 Annual sales turnover: USD \$30 million
8.	Small scale	14	He is a core member in planning and SC department, responsible for overall manufacturing activities; sets targets for each month via strategic planning.	Size: 0.93 acres Employees: 1704 Annual sales turnover: USD \$ 15 million

294

295 **5. Results and discussion**

296 **5.1 Finalization of the factors**

297 One of the most high-profile topics in the area of supply chains is sustainability. Therefore,
 298 understanding human BFs is very important for organizations to ensure proper implementation of
 299 sustainable practices in their supply chain process. Similarly, for sustainable practices to work, a
 300 long-term vision for the company needs to be taken. Thus, after identification of BFs from

301 literature, with the help of the questionnaire, as attached in Appendix A.2, the opinion of the
302 experts, as mentioned in Table 2, were recorded. The relevance of the stated factors was
303 validated; received mean scores for all the factors were individually recorded as more than the
304 expected/threshold value of 3.5 as suggested by previous literature (Kapse et al., 2018). The
305 analysis shows strong agreement of the identified factors with those from the literature review.

306 **4.2. Evaluation of factors using Hesitant Fuzzy DEMATEL (HF-D)**

307 The following sections describe the followed step by step process for HF-D analysis.

308

309 Step 1: Appendix A.3 covers a questionnaire based on the evaluation of the factors using the HF-
310 D. As shown in Table 2, all the experts who participated in the survey come with a strong
311 professional background in the footwear industry and its related areas/domains. All participants
312 are well aware and knowledgeable about the sustainable practices in the contemporary context.
313 Many of the experts are currently engaged in such work in their professional capacities and also
314 contribute towards maintaining sustainability for the environment at large. The selection of
315 experts involved a snowballing sampling, a non-probability sampling method. Soon after the first
316 expert was contacted and his input recorded, the team referred to the next expert operating in a
317 similar field. The results of the survey depend significantly on the overall size of the sample. A
318 sample with too few respondents or one which is too large is not desirable; an ideal sample size
319 is one which has around 5-50 respondents/experts (Kusi-Sarpong et al., 2019, Kumar et al.,
320 2019). Following this pattern, we collected samples from a total of eight experts as mentioned in
321 Table 2. Table 3 (as mentioned in Appendix A.1) shows the evaluation of all the selected experts
322 on the first success factors (F1); likewise, the same process was followed for all the other factors.

323

324 Step 2: The matrix for the factors displaying the crisp direct-relationship is established.

325 Step 3: Using Equation (2), the normalization matrix is calculated.

326 Step 4: Calculating the total relation matrix. Using Equation (3), the total relation matrix was
327 calculated as shown in Table 4. The summation of the overall rows and columns is (r_i+c_j) and
328 (r_i-c_j) ; Equation (4) and Equation (5) was used in the MS excel software as shown in Table 5. For
329 a factor to qualify in the cause group, the value of (r_i-c_j) should be a positive value; if not, it is
330 included in the effect group.

Table 4. Total direct relation matrix

0.999	1.124	1.043	1.064	1.060	1.052	1.051	1.020	1.052	1.069	1.037	1.046	1.004	1.047
1.047	1.029	1.016	1.053	1.039	1.046	1.049	1.010	1.018	1.037	1.009	1.036	0.976	1.037
1.033	1.086	0.931	1.032	1.018	1.036	1.022	0.992	1.004	1.008	0.988	1.001	0.964	1.009
1.031	1.076	0.998	0.963	1.039	1.028	1.033	0.975	1.020	1.010	0.987	1.020	0.960	1.013
1.005	1.050	0.974	1.011	0.932	1.008	0.998	0.959	0.974	0.995	0.974	0.987	0.943	0.974
0.987	1.042	0.948	0.985	0.989	0.919	0.981	0.942	0.958	0.970	0.949	0.963	0.925	0.962
0.993	1.027	0.957	0.995	0.978	0.996	0.914	0.948	0.957	0.962	0.952	0.967	0.921	0.965
1.002	1.066	0.984	1.008	0.996	1.002	0.996	0.904	0.987	0.998	0.982	0.983	0.947	1.001
1.050	1.098	1.027	1.046	1.031	1.056	1.039	1.017	0.958	1.046	0.999	1.018	0.982	1.047
0.991	1.053	0.960	0.984	0.986	0.997	0.991	0.957	0.974	0.917	0.955	0.987	0.923	0.988
0.987	1.031	0.959	0.994	0.982	0.985	0.977	0.939	0.970	0.961	0.886	0.963	0.926	0.962
1.067	1.107	1.005	1.047	1.040	1.060	1.037	1.004	1.027	1.040	1.011	0.959	0.972	1.009
1.014	1.049	0.971	0.999	1.004	0.996	1.001	0.972	0.980	0.989	0.962	0.974	0.876	0.981
1.045	1.104	1.014	1.047	1.043	1.038	1.037	1.007	1.027	1.030	1.013	1.032	0.986	0.959

Table 5. Cause/effect parameters for factors

r_i	c_j	r_i+c_j	$r_i- c_j$	Group	r_i	c_j	r_i+c_j	$r_i- c_j$	Group
14.668	14.251	28.918	0.417	Cause	13.856	13.644	27.501	0.212	Cause
14.403	14.942	29.345	-0.539	Effect	14.414	13.906	28.320	0.509	Cause
14.125	13.788	27.912	0.337	Cause	13.662	14.032	27.694	-0.370	Effect
14.153	14.227	28.380	-0.074	Effect	13.522	13.705	27.226	-0.183	Effect
13.782	14.138	27.920	-0.355	Effect	14.385	13.936	28.320	0.449	Cause
13.520	14.218	27.738	-0.698	Effect	13.769	13.306	27.075	0.463	Cause
13.530	14.125	27.655	-0.595	Effect	14.382	13.954	28.337	0.428	Cause

1 **5. Discussion of findings**

2 **5.1 Cause group factors**

3 As per Table 5, the factor ‘organisation culture’ is the most critical factor in the entire pool of the
4 cause group factors with a value of 0.509, the highest value of (r - c). The factor stands out for
5 being crucial not only for itself, but also its influence on the other factors. Thus, it becomes
6 imperative for organisations to maintain a robust working culture in its premises and for its
7 employees alike. Having regular and periodic interaction with employees, appreciating their
8 points of view while creating a healthy hard and soft level infrastructure all make for delivery of
9 a positive culture for an organisation (Daily and Huang, 2001; Gopalakrishnan et al., 2012;
10 Jabbour et al., 2018). The factors ‘commitment from higher authority’ with a composite (r - c)
11 value of 0.463 is the second most influencing cause group factor with its cause group validation
12 confirmed by all the experts. As per previous studies (Latan et al., 2018; Dubey et al., 2019), a
13 proactive and supportive top-level management encourages an established system of employee
14 engagement leading to delivery of required objectives on a day to day basis. Commitment from
15 higher authority ranks as the second most critical factor in the cause group. Similarly, the factor
16 ‘motivation towards green practices’ with a composite (r - c) value of 0.449 ranks as third most
17 influential in the cause group. Previous studies show that green motivation plays an important
18 role for an organisation to achieve sustainable goals (Jabbour et al., 2016; Kumar et al., 2019;
19 Zhang et al., 2019). ‘Profit sharing amongst the employees’ qualifies as the fourth most
20 influencing cause group factor with a composite (r - c) value of 0.428. This factor confirms that
21 the principal agent theory holds relevance even in the modern corporate setting, as demonstrated
22 by motivation of employees through financial incentives in the organisation (Hong et al., 2018).
23 Time and again it is observed that whenever monetary gains are extended to employees other
24 than their income, it has resulted in better performance of the workforce, eventually increasing
25 the development of the organisation in the long term. The factor ‘dynamic leadership’ is the fifth
26 most important factor in the cause group with a composite (r - c) value score of 0.417. A strong
27 and vibrant leadership displayed by leaders at all levels translates into a better flow and
28 execution of policies, delivering more promising results at a later level (Hong et al., 2018;
29 Silvestre et al., 2018). With a composite (r - c) value score of 0.337, the factor ‘effective
30 communication structures’ is the sixth most important cause group factor. In an organisation,

31 smooth flow of information makes for a more efficient delivery of services and a more effective
32 mode of execution of orders in the chain of command. With a composite (r - c) value score of
33 0.212, the factor 'facility for training programme' is the least important cause group factor,
34 validated by the experts' opinions.

35 **5.2 Effect group factors**

36 As per Table 5, with -0.698 the highest value of (r - c), the factor 'sustainable strategy' is the
37 most critical factor in the entire pool of the effect group factors. The way forward to make the
38 continuum greater for the organisation is through the medium of bringing sustainability on board.
39 Higher sustainability brings with it new and novel approaches for enhancing the operations of the
40 business and creating greater value for the organisation and its business in the long term. With a
41 value of -0.595, the factor 'sustainable innovation' is the second most important effect group
42 factor. The lifecycle for any product depends heavily on how much the developers are committed
43 to innovation and development. Similarly, with a (r - c) value of -0.539, 'performance evaluation
44 system and reward facility' is the third most important effect group factor. Monitoring,
45 evaluating and rewarding the work carried out by employees and the members of the team gives
46 a strong boost to the enthusiasm of the entire work culture of an organisation. The factors 'social
47 legitimacy, accountability and trust' is the fourth most important effect group factor, embracing
48 the views of both the shareholders and the stakeholders of an organisation. With a (r - c) value of
49 -0.355, the factor 'trust among employees' qualifies as the fifth most influencing effect factor in
50 the effect group. This soft factor has a widespread impact on the overall delivery of a more
51 conducive and positive organisational culture and workforce environment. 'freedom of choice of
52 job responsibility' is the sixth most contributing factor in the effect group. Likewise, the factor
53 'employee treated as team member' is the least important effect group factor.

54 **5.3 Research implications**

55 **5.3.1 Practical implications**

56 The analysis on cause and effect amongst human BFs provides the decision makers with valuable
57 knowledge by identifying the factors that are influenced and the factors that are influencing.
58 Moreover, the professionals who are managing the industry can introduce strategies for
59 improvement based on the implications mentioned below:

- 60 1) Footwear supply chains (SCs) are very complex in nature and should strive to achieve
61 operational excellence. Operational excellence may be achieved by implementing SSCM
62 practices with consideration of BFs. This study therefore, may act as a benchmark study
63 in the context of Bangladesh's industries achieving operational excellence in SCs.
- 64 2) The findings of this study will help footwear industry managers in proper implementation
65 of SSCM practices in their organizations.
- 66 3) The results may help footwear industry managers by identifying causal group human BFs
67 to formulate motivational programs; a greater emphasis on causal group BFs can help to
68 achieve operational excellence throughout the supply chains.
- 69 4) This study may help footwear companies improve their operational performance by
70 setting this study as a benchmark. Additionally, the nature of the causal and influencing
71 factors can help industry experts set a benchmark for sustainable development of the
72 entire sector.

73 **5.3.2 Theoretical implications**

74 The major theoretical contributions of the study in the present context are as given below:

- 75 1) This study highlights the importance of human BFs for SSCM practices towards
76 achieving operational excellence; this is a major theoretical contribution to the analysis of
77 the role of human BFs in adopting SSCM practices (Grover et al., 2006; Muduli et al.
78 2013; Kumar et al., 2019; Bag et al., 2020).
- 79 2) A cause and effect relationship among BFs has been identified through this study by the
80 HF-DEMATEL method; a contribution has been added to HF-DEMATEL theory in
81 processing how this method can help to understand the relationships among BFs.
- 82 3) A major contribution of this study is the development of a well-defined new framework
83 for BFs assessments to achieve operational excellence by focusing on SSCM practices in
84 manufacturing companies; a gap in existing literature has been filled (Zhu and Sarkis
85 2004; Abdul-Rashid et al., 2017; Moktadir et al., 2018c).

86 **6. Concluding remarks**

87 The demand for a holistic examination of behaviours in an industry such as footwear, or any
88 other major industry, is rising at an unprecedented rate. Various elements, such as the
89 commitment from the management team and the participation of the workforce, can contribute

90 significantly to the growth of an organisation in the contemporary economic landscape. All
91 industrial sectors are in transition to find new and sustainable ways of taking the business
92 forward with a number of factors now becoming more relevant and in need of examination.

93

94 Henceforth, in the wake of recent studies, factors such as “sustainable strategy and energy” from
95 the effect group and ‘organisational culture and commitment from top management” from the
96 cause group have surfaced as being the most contributing factors in the footwear industry; this is
97 confirmed by expert opinion in the study.

98

99 With the help of the hesitant based fuzzy DEMATEL technique, we have established the
100 relationship between the cause and effect factor for the behavioural factors. From the fourteen
101 factors that we have identified in the study, seven of the factors were confirmed as cause group
102 factors. In the cause group factors, the most important factor is ‘organisation culture’ followed
103 by ‘commitment from higher authority’. The factor ‘motivation towards green practices’ is in
104 third spot, followed by ‘profit sharing amongst the employees’, ‘dynamic leadership’ and
105 ‘effective communication structures’, ‘facility for training program’ is the least important.

106

107 Similarly, the remaining seven factors were shown to be effect group factors. As the name
108 suggests, the factors in the cause group bear influence on the effect group factors. Because of
109 this, decision making becomes crucial; it is vital to use the cause group factors wisely as this has
110 an impact on the effect group factors. In the effect group, the factor, ‘sustainable strategy’ is the
111 most important critical success factor followed by ‘sustainable innovation’, ‘performance
112 evaluation system and reward facility’, ‘social legitimacy, accountability and trust’, ‘trust among
113 employees’ and ‘freedom of choice of job responsibility’, ‘employee treated as team member’, is
114 the least important.

115 **6.1 Unique contributions**

116 ✓ In this study, human behavioural factors which play an important role in
117 implementation of SSCM practices have been identified through the literature review
118 and input from experts.

119 ✓ All identified factors are validated through a designed questionnaire.

- 120 ✓ With the help of the hesitant based fuzzy DEMATEL technique, we have established
121 the relationship between the cause and effect factors for the behavioural factors.
- 122 ✓ The proposed method is applied in the largest manufacturing industry in Bangladesh
123 with a named footwear company.
- 124 ✓ The supply chain of footwear industry is complex; this study provides several
125 managerial and practical implications to enable industry managers to overcome
126 potential problems and to adopt sustainable supply chain practices.

127

128 This paper has some key limitations. The study is conducted in the context of a footwear
129 company; in future, similar work can be conducted related to other industries. Any future work
130 in this domain in the form of a research study can contribute to existing knowledge, however
131 small the study may be. Any research at any level will be considerable in taking up the
132 challenges i.e. implementation of 4.0, circular supply chain etc. that are faced by the footwear
133 industry and the organisations working in it. To establish the relationship between the cause and
134 effect factors, a future study can be taken up to measure the empirical evidence.

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Appendix A.1**Table 3.** Influence assessment of all experts on dynamic leadership

	Dynamic leadership								Collective opinions
	Ex1	Ex2	Ex3	Ex4	Ex5	Ex6	Ex7	Ex8	
Performance evaluation system and reward facility	0.4	0.6	0.5	0.7	0.8	0.7	0.3	0.5	{0.4, 0.6, 0.5, 0.7, 0.8, 0.3}
Effective communications structure	0.5	0.4	0.6	0.5	0.7	0.6	0.8	0.7	{0.5, 0.4, 0.6, 0.8, 0.7}
Employee treated as team member	0.7	0.3	0.7	0.6	0.8	0.8	0.4	0.6	{0.7, 0.3, 0.6, 0.8, 0.4}
Trust among employees	0.4	0.7	0.3	0.5	0.8	0.4	0.6	0.7	{0.4, 0.7, 0.3, 0.5, 0.8, 0.6}
Sustainable strategy	0.3	0.6	0.4	0.7	0.5	0.5	0.8	0.5	{0.3, 0.6, 0.4, 0.7, 0.5, 0.8}
Sustainable innovation	0.6	0.4	0.5	0.8	0.6	0.4	0.9	0.8	{0.6, 0.4, 0.5, 0.8, 0.6, 0.9}
Facility of training program	0.7	0.7	0.4	0.9	0.7	0.3	0.7	0.6	{0.7, 0.4, 0.9, 0.3, 0.6}
Organizational culture	0.2	0.6	0.5	0.4	0.6	0.6	0.6	0.7	{0.2, 0.6, 0.5, 0.4, 0.7}
Social legitimacy, accountability and trust	0.8	0.4	0.6	0.6	0.6	0.5	0.5	0.4	{0.8, 0.4, 0.6, 0.5}
Freedom of choice of job responsibility	0.6	0.7	0.5	0.4	0.5	0.7	0.4	0.3	{0.6, 0.7, 0.5, 0.4, 0.3}
Motivation towards green practices	0.7	0.8	0.6	0.5	0.4	0.8	0.3	0.3	{0.7, 0.8, 0.6, 0.5, 0.4, 0.3}
Commitment from high authority	0.7	0.5	0.5	0.7	0.6	0.8	0.5	0.6	{0.7, 0.5, 0.6, 0.8}
Profit sharing among employees	0.5	0.6	0.4	0.4	0.6	0.6	0.7	0.7	{0.5, 0.6, 0.4, 0.7}

Ex. stands for expert.

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Appendix A.2

465 **Phase 1 - Finalization of human behavioural factors**

466 Greetings!!!!

467 Dear respondent, in this research we are trying to understand the role of human behavioural factors in adoption of sustainable supply
468 chain. We have identified factors from current literature, request you please rate the factors on the scale 5 – very important to 1 – not
469 at all important.

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Behavioural factors on adoption of sustainable supply chain	Response
Dynamic leadership	
Performance evaluation system and reward facility	
Effective communications structure	
Employee treated as team member	
Trust among employees	
Sustainable strategy	
Sustainable innovation	
Facility of training program	
Organizational culture	
Social legitimacy, accountability and trust	
Freedom of choice of job responsibility	
Motivation towards green practices	
Commitment from high authority	
Profit sharing among employees	
If any others, please add....	

