

**Psychological and physiological effects of compassionate mind training: A pilot
randomized controlled study**

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Abstract

The development of the compassionate self, associated with practices such as slow and deeper breathing, compassionate voice tones and facial expressions and compassionate focusing is central to Compassion Focused Therapy. This study explores the impact of a two-week Compassionate Mind Training (CMT) program on emotional, self-evaluative and psychopathology measures and on heart rate variability (HRV). Participants (general population and college students) were randomly assigned to one of two conditions: CMT (n=56) and Wait-List Control (n=37). Participants in the CMT condition were instructed to practice CMT exercises during two weeks. Self-report measures of compassion, positive affect, fears of compassion, self-criticism, shame, depression, anxiety and stress, and HRV were collected at pre and post intervention in both conditions. Compared to the control group, the experimental group showed significant increases in positive emotions, associated with feeling relaxed and also safe and content, but not activated; and in self-compassion, compassion for others and compassion from others. There were significant reductions in shame, self-criticism, fears of compassion, and stress. Only the experimental group reported significant improvement in HRV. Developing awareness of the evolved nature and inherent difficulties of our minds allied with practicing CMT exercises has beneficial effects on participants' psychological and physiological well-being.

Key words: Compassion; Intervention; Imagery; Psychopathology; Self-criticism; Heart-rate variability

Conflict of Interest

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Introduction

The last 20 years has seen increasing research exploring the physical and psychological benefits of compassion and prosocial cultivation (Fredrickson, Cohn, Coffey, Pek, & Finkel, 2008; Hofmann, Grossman, & Hinton, 2011; Jazaieri et al., 2013; Keltner, Kogan, Piff, & Saturn, 2014; Kirby, 2016; Pace et al., 2009; Ricard, 2015; Schanche, Stiles, McCullough, Svartberg, & Nielsen, 2011; Singer & Bolz, 2012). Compassion has become a central focus for self-help and development (Gilbert, 2000, 2010), with programs such self-compassion training (Neff & Germer, 2013) and compassion cultivation training (Jazaieri et al., 2013). It has also become a focus for psychotherapy (Germer & Siegel, 2012; Gilbert 2000, 2010, 2014; Kirby 2016; Kirby & Gilbert, 2017).

One of these approaches, called Compassion Focused Therapy (CFT), was specifically developed with and for people with high shame and self-criticism and from difficult backgrounds (Gilbert, 2000, 2010; Gilbert & Choden, 2013), with increasing evidence of therapeutic effectiveness (e.g., Kirby, 2016; Leaviss & Uttley, 2014). The therapy focuses on helping people understand that the way the human brain has evolved makes us very vulnerable to rumination, negativity bias and self-critical self-monitoring (Baumeister, Bratslavsky, Finkenauer, & Vohs, 2001; Gilbert, 2009). Such insights shift attention from blaming and shaming the self for these difficulties to how to work with them compassionately (Gilbert & Choden, 2013).

As part of CFT there are a series of compassionate mind training (CMT) practices. These are specific practices to develop physical and mental competencies

1 that facilitate self-grounding, the ability to slow down and take a compassionate focus
2 and orientation to self, to others, help balance different types of emotion and work
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4 with life difficulties. They help people recognise the difference between a threat-
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6 focused, competitive-striving self-focus and a compassionate motivation self-focus
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8 (Crocker, & Canevello, 2012; Gilbert, 1989/2016, 2009). These exercises involve
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10 ways of breathing, forms of mindfulness, orientating oneself to be supportive and
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12 helpful, and styles of thinking and behaving that are linked to attachment and
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14 affiliative mechanisms and their role in emotion regulation (Brown & Brown, 2015;
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16 Cozolino, 2007; Depue & Morrone-Strupinsky, 2005; Gilbert, 2000, 2010).
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22 CMT begins with psychoeducation on the evolved nature and difficulties of
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24 the human mind such as tendencies for negativity bias, negative rumination, shame
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26 and self-criticism (Baumeister et al 2001; Gilbert, 2009). Individuals are then offered
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28 insights into how we can work with our ‘tricky brains’ using body based and
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30 psychological based practices. One important body based practice is called *Soothing*
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32 *rhythm breathing*. Breath awareness and attention focus is basic to vipassana
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34 meditation, although here there is no desire to change the depth or rhythm of the
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36 breathing. In contrast, soothing rhythm is designed to slow and deepen the breath (to
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38 around 5-6 breaths per minute) focusing one’s attention on the sensations of ‘mind
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40 and body slowing down’ and body feeling heavier and grounded (in contrast to fast
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42 shallow breathing; Gilbert & Choden, 2013). Recent research has shown that this
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44 breathing pattern can be beneficial in terms of lowering arousal, activating the
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46 parasympathetic nervous system and improving heart rate variability, with feelings of
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48 calmness (Lin, Tai, & Fan, 2014; Streeter, Gerbarg, Saper, Ciraulo, & Brown, 2012).
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50 This breathing practice helps to activate the vagal parasympathetic nervous system,
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52 improves heart rate variability (HRV), is linked to feeling more grounded stable and
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1 less stressed, supports the frontal cortex (Porges, 2007; Thayer, Åhs., Fredrikson,
2 Sollers, & Wager, 2012) and is associated with affiliative feelings and behaviours
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5 (Kogan et al. 2014).
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7 In addition, participants practice certain postures and creating affiliative and
8 friendly facial expressions and voice tones linked to their inner thinking. To note the
9 impact of these deliberate emotion stimulations, individuals can compare and contrast
10 them with neutral, angry or anxious facial expressions and emotional tones to their
11 thoughts. In addition, CFT trains people to pay careful attention to the *emotional*
12 *textures* and tone of one's thoughts and inner speech, not just content (Gilbert &
13 Choden, 2013). CFT partly began with the recognition that even when people were
14 trying to be supportive of themselves by generating coping thoughts, the emotional
15 texture of them could be hostile and angry. For example, coping thoughts in an
16 anxious situation of “even though I feel anxious, I have succeeded at this before and
17 will again if I go step-by-step” can be created with a supportive caring voice or a
18 frustrated hostile one. Indeed, there is now considerable evidence of the importance
19 of inner speech for cognition, emotional regulation and sense of self (Ald Alderson-
20 Day & Fernyhough, 2015).
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41 CMT uses a variety of mindfulness techniques that are designed to help
42 people become aware of their present moment-to-moment experience (Gilbert &
43 Choden 2013). In the Tibetan (Mahayana) traditions mindfulness is a means by which
44 we hold to our intentionality of bringing compassion to our everyday existence and
45 noticing when we have been called out of that by anger, grasping or aversion (Gilbert
46 & Choden, 2015; Ricard, 2015)
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56 CMT practices offer different imagery practices. Imagery provides an
57 effective route for accessing and altering emotional states because the neural
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1 processes which support imagery overlap with perceptual processing (O’Craven &
2 Kanwisher, 2000). Imagery is a powerful physiological stimulator in a way cognition
3 may not be. There is increasing evidence that compassion-focused imagery impacts
4 on a range of physiological and neurophysiological processes (Klimecki, Leiberg,
5 Lamm, & Singer, 2012).
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11 There are several compassion imagery practices (e.g., Gilbert & Choden,
12 2013; Kolts, 2016; Tirch, Schoendorf, & Silberstein, 2014), but two of them are
13 central to CMT and of special interest to this study. One relates to imagining a
14 compassionate other directing compassion to the self (compassionate image), while
15 the other focuses on bringing to mind and cultivating compassionate motives and a
16 self-identity; cultivating one’s compassionate self and competencies (Gilbert, 2000,
17 2009, 2010; Gilbert & Choden, 2013). Selcuk et al. (2012) showed that bringing to
18 mind caring attachment figures, in the context of a stressful autobiographical memory
19 significantly reduced the negative affect associated with that memory. Imagining a
20 compassionate other being compassionate to oneself is associated with improved
21 HRV (Rockliff et al., 2008) and increases the effects of oxytocin on feelings of
22 affiliation and connectedness (Rockliff et al., 2011). This effect is attenuated in
23 people with high self-criticism in one off practices (Rockliff et al., 2008, 2011), but
24 produces significant improvements for self-critical people who practice over time
25 (McEwan & Gilbert, 2016).
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48 Focusing on oneself as a compassionate person uses a variety of acting
49 techniques to imagine becoming, and living from, the perspective of one’s most
50 compassionate self. Participants are invited to imagine and identify personal
51 characteristics they would have if they were at their compassionate best (e.g., with
52 tolerance, warmth, openness) and also three core CFT qualities of compassion:
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wisdom (related to the psycho-education, life experience and common humanity),
strength and authority (related to the breathing and body grounding work and
insight), and *compassion commitment* (to be helpful, validating, empathic and
supportive to self and others).

The process of inviting individuals to imagine themselves in a certain role is
used in acting training and helps create role congruent states of mind that provide for
skilled performance (Chubbuck, 2005). There is also evidence that practicing
imagining one's 'best possible self' and engaging in various life issues from that
position is related to emotional change and increased optimism (Meevissen, Peters, &
Alberts, 2011; Peters, Flink, Boersma, & Linton, 2010). Osimo, Pizarro, Spanlang,
and Slater (2015) created a virtual reality scenario where participants raised a
personal issue and then offered themselves counseling either as themselves or as (a
virtual) Sigmund Freud. Giving oneself-counseling 'as Sigmund Freud' reduced
depressed feelings significantly more than as self. Falconer et al. (Falconer et al.,
2014) developed a virtual reality scenario which enabled individuals to generate
compassion in one's virtual body and then experience receiving it from themselves in
another virtual body. Developing a compassionate self and, then experiencing it for
oneself, significantly reduced self-criticism. This impact was also seen on depression
for a depressed group (Falconer et al., 2016). Taken together there is good evidence
that imagining ourselves in certain roles and states of being, and imagining how these
'self-constructions' would think and deal with various life events, can have many
beneficial effects.

Once individuals have developed this way of grounding themselves and
focusing on entering into compassionate states of mind, compassionate self-
constructions, and/or imagining themselves at their compassionate best, they can then

1 bring this to their life difficulties and/or when they notice self-criticism arising. In an
2 uncontrolled trial of these practices, over a short two-week period, there was
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4 significant change in self-criticism and depression, anxiety and stress (McEwan &
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6 Gilbert, 2016).
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9 Physiologically, CMT practices aim to stimulate the parasympathetic system,
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11 and are thought to correspond to adaptive HRV (Kirby, Doty, Petrocchi, & Gilbert,
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13 2017). HRV is an index of adaptive emotion regulation and higher levels of
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15 ‘safeness-based’ positive emotions (Thayer, Åhs, Fredrikson, Sollers, & Wager,
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17 2012). HRV reflects the parasympathetic influence on heart rate mediated by the
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19 vagus nerve, and increased HRV is an index of increased parasympathetic activity, a
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21 physiological state mediated via vagal pathways which inhibits the reactivity of the
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23 sympathetic branch of the autonomic nervous system, facilitating approach
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25 motivations such as compassion. Higher resting HRV is a physiological marker of the
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27 person’s ability to respond to environmental challenges, as well as to regulate their
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29 emotional response (Park & Thayer, 2014). Increased HRV is connected to the
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31 emotional state of compassion (Stellar, Cohen, Oveis, & Keltner, 2015) and to the
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33 ability to self-soothe in response to self-generated affiliative signals (Rockliff et al.,
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35 2008). HRV is therefore an ideal candidate to explore the impact of a CMT
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37 intervention (Kirby et al., 2017).
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46 The impact of meditation-based practices on HRV has been investigated in
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48 previous studies, but results were mixed. For example, Krygier et al. (2013) found no
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50 significant effects of 10-day Vipassana mediation on resting HRV. Similarly, no
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52 effect on HRV following MBCT and MBSR training have been reported (Nyklíček,
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54 Mommersteeg, Van Beugen, Ramakers, & Van Boxtel, 2013; Wheeler et al., 2014).
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57 However, changes in resting baseline HRV over time have been found with less
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1 intensive mindfulness meditation training (Tang et al., 2009), and Petrocchi,
2 Ottaviani and Couyoumdjan (2016) reported an increase in HRV after a short self-
3 compassion intervention. Therefore, and given HRV link to vagal regulatory activity
4 and to well-being, the impact of compassion-based interventions on HRV warrants
5 further investigation (Kirby et al., 2017).
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14 While there is growing evidence that Compassion Focused Therapy is effective
15 for a range of people with mental health problems (Leaviss, & Uttley, 2014) there has
16 been no randomized controlled trial of these practices in the general population.
17 Hence, this study developed a protocol for a relatively brief (two weeks) intervention
18 that provided psycho-educational materials and audio CMT exercises. The present
19 study aimed to test this CMT intervention in a randomised controlled trial exploring
20 its impact on self-report variables including compassion for self, for others and from
21 others, fears of compassion, shame, self-criticism, self-reassurance, types of positive
22 affect, and depression, anxiety and stress. Given that the orientations of compassion
23 (for self, for others and from others) are inter-related and believed to promote one
24 another (Gilbert, 2009, 2015; Gilbert et al., 2017), and that the CMT intervention
25 directly aimed at cultivating compassion competencies (e.g., sensitivity, distress
26 tolerance, empathy, and problem-solving), the present study also aimed at exploring
27 how the association between the compassion orientations improved as a result of the
28 intervention.
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50 In addition, the current study aimed to test the impact of this CMT intervention
51 on HRV. In fact, the intervention described in the present study specifically focused
52 on increasing compassion related competences and affiliative emotions which,
53 differently from other kinds of positive emotions, are specifically indexed by
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1 increased vagal tone and HRV (Porges, 2007; Stellar et al., 2015). Thus, in contrast
2 from purely mindfulness-based training, our compassion-focused training was
3 expected to increase this psychophysiological index.
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9 **Method**

10 *Participants*

11 One hundred and seventeen participants were initially included, and were
12 randomized to one of two conditions: Compassionate Mind Training and a Waiting
13 List Control. The attrition rate was 20.5%, with the dropout rate being higher in the
14 control group (36.21%). The final sample comprised 93 participants who completed
15 the entire Compassionate Mind Training protocol (CMT; $n = 56$; WLC; $n = 37$)
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26 Participants were recruited from the general community, and included 9 (9.7%)
27 men and 84 (90.3%) women. Participants' age ranged between 18 and 43, with a
28 mean age of 23.34 ($SD = 4.16$). The years of education mean was 14.99 ($SD = 2.31$).
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60 *Procedure*

61 The study was approved by the University's Ethical Committee and advertised
62 through announcements posted on Coimbra University' campus, and Faculties'
63 mailing lists as a study investigating the effect of meditation on wellbeing indicators.
64 Individuals interested in taking part in the study contacted the research team via
65 email, and were then informed about the procedures of the study and provided their
written informed consent.

Exclusionary criteria were assessed in a brief interview and included major
psychiatric problems (e.g., psychosis, major depression, bipolar disorder, suicidal

1 ideation, borderline personality disorder, substance abuse), organic illnesses,
2 cardiovascular disease, use of drugs/medications that might affect cardiovascular
3 function, obesity (body mass index > 30 kg/m²), menopause, use of oral
4 contraceptives during the previous 6 months, and pregnancy or childbirth within the
5 last 12 months.
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11 Each participant was randomly assigned to either the compassionate mind
12 training (CMT) condition or a wait-list control condition (WLC). Participants were
13 asked to refrain from a) eating, b) drinking alcohol, tea, or coffee, and c) strenuous
14 exercise 2 hours preceding the scheduled appointment. First, participants came to the
15 laboratory to complete the pre-test self-report measures. Then participants were
16 hooked up with the electrocardiogram (ECG) and asked to relax in a seated position
17 for 5 minutes in order to obtain a measure of resting-state heart rate variability
18 (HRV).
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31 Participants assigned to the CMT condition were invited to attend a 2-hour
32 group session where they were introduced to the concept of compassion, emotion
33 regulation systems, and the CMT practices. The researchers clarified any questions
34 participants had regarding the practices. They then provided a written manual
35 outlining the evolutionary theory behind the compassionate mind training, with
36 explanations of emotion regulation and the value of compassion (the manual is
37 available on request). They also provided audio files of the CMT practices for
38 subsequent independent practice.
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51 The CMT practices included: 1. A soothing rhythm breathing practice that
52 stimulates the vagal system at around 5-6 breaths per minute (Lin, et al., 2014); 2. a
53 practice focused on creating friendly facial expressions and voice tones as part of
54 compassion (Porges, 2007); 3. A practice aimed to develop mindfulness and increase
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1 attention to one's current mental state; 4. A practice aimed to develop the sense of a
2 compassionate self that is based upon feelings of wisdom, strength and commitment
3 to be supportive and helpful to self and others; 5. An imagery practice aimed to
4 develop a compassionate image of another mind that has caring intent towards the
5 self; 6. A practice aimed to develop a compassionate self that has caring intent
6 towards the self and how to use compassion focusing to work with self-criticism and
7 life difficulties (Gilbert & Choden, 2013).
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17 Participants were encouraged to practice these different exercises over the
18 following two weeks and the importance of doing so for the research was highlighted.
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Once they had listened to the instructions on the audio files and understood the practices they were free to use the audio files or not when practising. They were encouraged to bring compassion into their everyday life -- especially when they encountered life difficulties or upsets. After the two-weeks, all participants were invited back to the laboratory to complete the post-test measurements (self-report measurements, a questionnaire assessing the frequency, nature and intensity of their practices, and inviting them to provide general feedback on the tasks, and HRV). Participants received a compensation for their participation (15€ voucher).

Measures

Demographics form: Participants were asked to complete a socio-demographic form, which included items regarding gender, age, level of education, height and weight for BMI calculation, and smoking habits ('are you a smoker?' Yes/No).

Compassionate Attributes and Actions Scales CAAS (Gilbert et al., 2017)
Compassion is typically regarded as having two core components 1. As a sensitivity to the suffering of self and others; 2. A commitment to try to alleviate and prevent

1 suffering (Gilbert & Choden, 2013). The CAAS measures different elements of these
2 two psychologies. In regard to the *engagement* scale, it measures the degree to which
3 individuals are (for example) motivated to engage with suffering, distress tolerance,
4 and empathy. In regard to *trying to alleviate and prevent suffering*, the scale measures
5 (for example) people's ability to solve problems and behave in ways that are helpful.
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7 There are three scales measuring compassion to self, compassion to others, and
8 experiencing/being aware of the compassion from others. Each scale can be analysed
9 in terms of the engagement and action aspects separately or as a single factor. Here
10 we will use each of the three orientations (compassion for self, compassion for others
11 and sensitivity to the compassion from others) as a single factor. In their original
12 study, the CAAS showed good internal consistencies and temporal reliability (Gilbert
13 et al., 2016)

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Self-Compassion Scale (SCS; Neff, 2003). This is a 26-item scale with 6-point Likert scored self-evaluative factors, three positive: Self-Kindness, Common Humanity and Mindfulness; and three negative: Self-Judgement, Isolation and Over-Identification. Participants indicate how often they engage in these ways of self-relating on a 5-point Likert scale. The SCS has good internal consistency (Cronbach alpha scores ranging from .75 to .81), and test-retest correlations over three weeks are high (ranging from .80 to .88).

Fears of Compassion Scale (Gilbert, McEwan, Matos, & Rivis, 2011). This study used the recently developed fears of compassion scales (Gilbert et al., 2011). Fear of compassion *for Self* scale comprises 15 items (e.g. "Getting on in life is about being tough rather than compassionate"); fear of compassion *from Others* scale comprises 13 items (e.g. "Wanting others to be kind to oneself is a weakness"); fear of compassion *for Others* scale comprises 10 items (e.g. "I fear that being too

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compassionate makes people an easy target”). The items were rated on a five-point Likert scale (0 = Don’t agree at all, 4 = Completely agree). These scales showed good reliability with Cronbach’s alpha’s of .92 *for self*, .85 *from others*, and .84 *for others* in a student sample.

Types of Positive Affect Scale (Gilbert et al., 2008). This scale was developed to measure the degree to which people experience different positive emotions. Respondents are asked to rate 18 ‘feeling’ words on a 5-point scale to indicate how characteristic it is of them (0= ‘not characteristic of me’ to 4 = ‘very characteristic of me’). Factor analysis revealed three factors or subscales, these are: Activated Positive Affect (e.g., “excited”, “dynamic”, “active”); Relaxed Positive Affect (e.g., “relaxed”, “calm”, “peaceful”) and Safeness/contentment Positive Affect (e.g., “safe”, “secure”, “warm”). The scale showed good psychometric properties with Cronbach alphas of .83 for Activating Positive Affect and Relaxed Positive Affect, and .73 for Safeness/contentment Positive Affect.

Other as Shamer scale (Goss, Gilbert, & Allan, 1994). This scale was developed from Cook’s (1993) Internalized Shame Scale by Allan, Gilbert and Goss (1994) and Goss et al. (1994). It assesses global judgments of how people think others see them (e.g. “I think other people see me as inadequate”) thus focusing on external shame rather than internalized shame. The scale consists of 18 descriptions of feelings or experiences and respondents indicate the frequency on a 5-point Likert scale from 0 (never) to 4 (almost always). Goss et al. (1994) found the scale to have a good Cronbach alpha of 0.92. Because the scale asks about how often people think this way, it measures thought frequency.

Forms of Self Criticising/Attacking and Self Reassuring Scale (FSCRS; Gilbert, Clarke, Kempel, Miles, & Irons, 2004). This 22-item scale measures people’s critical

1 and self-reassuring self-evaluative responses to setbacks or disappointments.
2 Participants rate on a 5-point scale (ranging from 0 = not at all like me to 4 =
3 extremely like me) how they might typically think and react when things go wrong
4 for them. The scale measures two forms of self-criticism: Inadequate self, which
5 focuses on a sense of personal inadequacy (e.g. “I am easily disappointed with
6 myself”) and Hated self, which measures the desire to hurt or persecute the self (e.g.
7 “I have become so angry with myself that I want to hurt or injure myself”). In this
8 study a total of Self-criticism was used by summing Inadequate self and Hated self
9 scores. In addition, the scale measures self-reassuring and supportiveness when things
10 go wrong (e.g. “I am able to care and look after myself”). The scale had Cronbach’s
11 alphas of .90 for inadequate self, .86 for hated self and .86 for reassured self (Gilbert
12 et al., 2004). A number of replication studies have supported the reliability of the
13 scale (e.g. Baião, Gilbert, McEwan, & Carvalho, 2014; Castilho, Pinto-Gouveia, &
14 Duarte, 2013; Kupeli, Chilcot, Schmidt, Campbell, & Troop, 2013).

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34 *Depression, Anxiety and Stress Scale (DASS-21; Lovibond & Lovibond, 1995).*
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36 This 21-item shortened version of the DASS-42 consists of three subscales measuring
37 depression, anxiety and stress. Participants rate how much each statement applied to
38 them over the past week, on a 4-point Likert scale 0 – 3. (0 = Did not apply to me at
39 all, 3 = Applied to me very much, or most of the time). The DASS-21 subscales have
40 Cronbach’s alphas of .94 for Depression, .87 for Anxiety and .91 for Stress (Antony,
41 Bieling, Cox, Enns, & Swinson, 1998). Statements include ‘I was aware of dryness of
42 my mouth’, ‘I tended to over-react to situations’ and ‘I couldn’t seem to experience
43 any positive feeling at all’.

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56 *Perceived Stress Scale (Cohen, Kamarck, & Mermelstein, 1983).* This scale is
57 a self-report measure to evaluate the level of perceived stress during the last month.
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1 The 10-item version was used in this study, which consists of six negative and four
2 positive items. The negative items are intended to assess lack of control and negative
3 affective reactions, while the positive items measure the degree of ability to cope with
4 existing stressors. Each item is rated on a 5-point scale from 0 = 'never' to 4 = 'very
5 often', covering the preceding month. The total score is calculated by finding the sum
6 of 10 items, reverse coding questions 4, 5, 7, and 8. Higher scores correspond to
7 higher perceived stress. Cronbach's α between .84 and .86 were reported in the
8 original study.
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19 *Practices feedback questionnaire.* At the end of each week, participants were
20 asked to complete a imagery experience measure, assessing the frequency, nature and
21 intensity of their imagery experience and inviting them to provide general feedback
22 on the tasks.
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29 *Psychophysiological measures.* The electrocardiogram (ECG) was monitored
30 (eMotion; Mega Electronics) with a standard electrode configuration (right clavicle
31 and precordial site V6). Two disposable Ag- AgCl electrodes were used. The ECG
32 signal was digitized at 1000 Hz and inspected offline. Raw data (R-R intervals) was
33 imported into Kubios (version 2.1, 2012, Biosignal Analysis and Medical Imaging
34 Group, University of Kuopio, Finland, MATLAB). Successive R waves (identified by
35 an automatic beat detection algorithm) were visually inspected, and any irregularities
36 were edited. Heart rate and a time domain measure of HRV (Root Mean Square
37 Successive Difference; RMSSD) were then obtained for pre and post-intervention in
38 both groups using HRV Analysis Software (Niskanen et al., 2004). According to the
39 Task Force guidelines, the RMSSD reflects the integrity of vagus nerve-mediated
40 autonomic control of the heart (Task Force, 1996).
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Data analyses

The analyses were carried out using SPSS Version 21. Data was analysed using SPSS version 19 and checked for normality of distribution and outliers. There were no extreme outliers. No variable had indicators of severe violations to the normal distribution (SK <| 3 | and Ku <| 10 |; Kline, 2005) with skewness values ranging from -.69 to 2.02 and kurtosis values ranging from -.63 to 5.08. Only significant ($p < .05$) results are reported.

Independent samples t-tests were performed to analyse differences between the two groups at baseline. The study employed a 2 x 2 mixed ANOVA design with the two conditions (compassionate mind training vs. control condition) as the between-group factor and time (before and after the CMT practice) as the within-group factor investigating different effects between conditions. Where significant time x group interactions were found, paired samples t-tests were performed to explore differences between pre and post-test for both groups separately. Descriptive analyses regarding the feedback on the imagery experience were conducted. Effect sizes for the time effects and time x group effects were calculated using partial eta squares (η^2_p), with $\eta^2_p = .01$ referring to a small effect size, .06 to a medium effect size and .14 to a large effect size (Tabachnick & Fidell, 2013). The effect sizes for the paired samples t-tests were calculated using Cohen d , with 0.2 indicating a small effect, 0.5 a medium effect and 0.8 a large effect (Cohen, 1988).

Results

There were no significant differences between the groups at baseline regarding demographics and the study variables (all $ps > .05$).

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Regarding the impact of CMT on the three orientations of compassion, there were significant main effects of time on compassion for self, compassion for others and compassion from others, and significant time x group interaction effects were found for compassion for self and compassion from others. In terms of the positive sub-factors of the SCS, there was a significant main effect of time on self-kindness, but not on common humanity and mindfulness, and significant time x group interaction effects on self-kindness and common humanity. Although approaching significance, the main effect of time and the time x group interaction on reassured self were not significant. Mean scores, standard deviations and statistics for both groups at pre and post-intervention are reported in Table 1.

Post-hoc paired samples t-tests indicated that CMT group significantly increased compassion for self, awareness of compassion from others, self-kindness and common humanity.

_____insert Table 1 about here_____

In terms of the association between the three orientations of compassion, in the original scale development study of the CAAS (Gilbert et al., 2017), compassion for self, for others and being sensitive to compassion from others were not that highly correlated ($r < .5$). We therefore explored if CMT would result in increased correlations between these different orientations of compassion. Before CMT the correlations ranged from $r = .10$ (n.s.) to $r = .32$ ($p = .010$). After the two-week training the correlations between the orientations of compassion were stronger in magnitude: $r = .54$, $p < .001$ between compassion for self and compassion for others, $r = .56$ $p < .001$ between compassion for self and receiving compassion from others

1 and $r = .71$, $p < .001$ between compassion for self and openness to receive
2 compassion from others.
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4 In terms of the impact of CMT on positive affect, there was a significant main
5 effect of time on relaxed positive affect, safe positive affect, and activated positive
6 affect. Significant time x group interaction effects were found only for the relaxed
7 and safe positive affect. In the CMT group there was a significant increase in the
8 relaxed and safe positive affect. In the control group there were no significant
9 differences from pre to post test.
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18 As to the impact of CMT on fears of compassion, there was a significant main
19 effect of time on fears of compassion for the self and for others, and a significant time
20 x group interaction effect for self, for others and from others. In the CMT group there
21 was a significant decrease in all three fears of compassion. In the control group there
22 were no significant changes from pre to post test.
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31 In regard to the impact of CMT on self-criticism, there was a significant main
32 effect of time and time x group interaction on self-criticism. In the CMT group there
33 was a significant decrease on self-criticism and no significant differences were found
34 for the control group. Regarding shame, the effect of time was non-significant but
35 there was a significant time x group interaction, which may be explained by the fact
36 that, while there were significant decreases in the CMT group there were also
37 increases (although non-significant) in the control group. In terms of the negative sub-
38 factors of the SCS, a significant main effect of time was found for self-judgment.
39 Furthermore, significant time x group interaction effects were found for self-
40 judgment, isolation and over-identification, with scores in the CMT group
41 significantly decreasing from pre to post test.
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1 The results regarding the impact of the intervention on depression, anxiety and
2 stress showed that there was a significant effect of time on depression and perceived
3 stress. The time x group interaction effects were significant for stress and perceived
4 stress. In the CMT group there was a significant decrease in stress and perceived
5 stress. In the post hoc analyses, the two stress measures showed a significant
6 decrease. No significant differences were found for the control group.
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14 Finally, regarding the impact of CMT on heart rate variability, a significant
15 time x group interaction emerged for HRV (RMSSD; see Figure 1). In the CMT
16 group there was a significant increase in HRV. In the control group there were no
17 significant differences from pre to post test.
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27 _____insert Figure 1 about here_____

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31 Participants' qualitative feedback on the practices was also examined. The
32 majority of the participants (42.1%; $n = 36$) reported that they practiced 3 or 4 times
33 per week, and 28.6% ($n = 18$) practiced 5 times or more per week. The majority of
34 participants (75.4%; $n = 48$) found the practices moderately to very helpful. Eighty-
35 three per cent ($n = 53$) indicated that they recalled acting or feeling as their best
36 compassionate self over the weeks. Participants were also asked to rate how often did
37 they act or feel as their best compassionate self, on a scale ranging from Not at all (1)
38 to A lot of the time (10) and results revealed a mean of 6.54 ($SD = 1.49$). Regarding
39 the question of how powerful were the compassionate feelings, the mean was 6.34
40 ($SD = 1.39$). In regards to the question of how easy was it to act or feel as their best
41 compassionate self, the mean was 6.18 ($SD = 1.72$).
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Discussion

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This study explored the impact of a series of compassionate mind training practices over two weeks in a nonclinical population. Results showed that these practices significantly improved people's experiences of compassion for themselves and of compassion from others, as measured by the newly developed Compassionate Attributes and Actions Scales (CAAS; Gilbert et al., 2017). Interestingly, both the experimental and the control group increased in compassion for others (time effect) thus resulting in a non-significant Time x Group effect.

Although other studies have not used these particular scales as change measures, these findings are in line with other studies that show how specific compassion training can improve self-compassion and compassion for others (Fredrickson, et al., 2008; Hofmann, et al., 2011; Jazaieri, et al., 2013; Keltner, et al., 2014; Pace et al., 2009; Ricard, 2015; Schanche, et al., 2011; Singer & Bolz, 2012). Furthermore, the two-week CMT training significantly improved the positive dimensions of self-compassion: self-kindness and common humanity, as measured by the SCS scale. Changes in the people's abilities to be self-reassuring improved, but not significantly.

One interesting question is the degree to which the different orientations of compassion are related; so if you are high/low on one you will be high/low on another. Interestingly, in the original CAAS development, Gilbert et al. (2017) found that the different orientations of compassion were not that highly correlated. After the two-weeks training the correlations between the three orientations of compassion significantly changed from non-significant or weak in magnitude to strong at post intervention. A possible explanation for this finding might be that the CMT intervention promoted the development of the caring motivational system as a whole,

1 which enables people to be more open and in tune with the different flows of
2 compassion (Gilbert, 2009, 2015). This result might also reflect the fact that the
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4 compassion practices used in this brief intervention targeted the three orientations of
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6 compassion: compassion directed at the self, at others and openness to the compassion
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8 from others. Furthermore, because the CMT intervention had a significant effect on
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10 heart rate variability (as discussed later), this might be linked to improvements in
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12 vagal tone which are associated with increases in prosocial emotions and traits, and
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14 sociability in general (Kogan et al., 2014)
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19 We explored change in different types of positive emotions and affective
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21 states distinguishing between activated positive affect (feeling energised, enthusiastic,
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23 excited), relaxed affect (feeling tranquil and relaxed) and safe and content affect.
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25 Interestingly, only the safe/contentment affect and relaxed affect significantly
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27 increased within the CMT group, but not the activated positive affect. This is relevant,
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29 given the focus of CFT on care-focused motivation and affiliation which supports
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31 feeling safe (Gilbert, 2009). In fact, it is the safeness and contentment types of
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33 positive affect that have been specifically linked to less depression, anxiety and self-
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35 criticism, as well as more self-reassurance and secure attachment (Gilbert et al.,
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37 2008). Importantly, the breathing practices in particular try to generate a sense of
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39 grounding and slowing down, the opposite of excited activation.
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46 Fears, blocks and resistances (FBRs) of compassion can interfere with
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48 people's abilities to be open to the helpfulness of others, experience affiliative
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50 emotion and benefit from compassion and hence increasing their vulnerability to
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52 mental health problems (Gilbert et al., 2011). Indeed, FBRs of compassion are often
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54 the focus of CFT (Gilbert, 2010). Hermanto et al (2016) found that low fear of
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56 compassion from others weakened the depressogenic effect of self-criticism, while
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1 high fear of compassion from others exacerbated the effect. Importantly then CMT
2 (using the psycho-education and audio guided CMT practices) significantly reduced
3 all three forms of fears of compassion in comparison to the control group. The least
4 affected process was compassion from others. It is possible that helping people to
5 become more trustworthy and open of others helpfulness requires longer work and
6 has resistances located in early life history. Hence, even if people (especially self-
7 critical people) might at first be resistant and respond to compassion with a threat
8 response (Rockliff et al., 2008), as they learn more about the evolved nature of mind,
9 the nature of compassion (as courage), and with compassion and practice, their fears
10 and resistances settle. This is in line with Jazaieri, et al. (2013) who also found that
11 compassion cultivation training can significantly reduce fears of compassion.
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26 We explored three negative dimensions of negative self-evaluation: self-
27 criticism (summing inadequate and hated self), external shame, and the negative sub-
28 factors of the SCS (self-judgment, isolation and over-identification). Compared to the
29 control group, CMT reduced participants' scores on self-criticism, shame, self-
30 judgment, isolation and over-identification.
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39 Although there was a trend in the positive direction, there were no significant
40 effects of the CMT of depression and anxiety scores, but the training significantly
41 reduced stress and perceived stress. The non-significant impact of the training on
42 depression and anxiety might be due to floor effects. The non-clinical nature of the
43 sample might account for this finding.
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51 While self-report measures are indicators of change, we also wanted to explore
52 physiology processes associated with compassion. As noted in the introduction HRV
53 is an ideal candidate to explore the impacts of CMT. Therefore, we were encouraged
54 to find a significant HRV increase in the CMT group, while no significant differences
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1 were found in the control group from pre to post test. This is in line with previous
2 studies reporting changes in resting baseline HRV over time with less intensive
3 mindfulness meditation training (Tang et al., 2009), and after a brief compassion-
4 focused intervention (Petrocchi, Ottaviani & Couyoumdjan, 2016).
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8 9 *Strengths and Limitations*

10 Although an RCT, CMT was not compared against a potential viable control
11 such as mindfulness or loving kindness mediations (Frederickson et al., 2008).
12 However, as a first study it was important to establish its acceptability and
13 effectiveness in a general population. In addition, we did not study in detail the
14 impacts of individual components such as psycho-education, breathing and imagery
15 and focusing practices. Such questions may be important for future research to
16 address. Another possible limitation of this study was the use of self-report measures
17 assessing constructs that were directly targeted by the intervention, which may
18 increase the risk for potential demand characteristics on participants. Nonetheless the
19 finding that the intervention was effective on increasing HRV further supports the
20 validity of the intervention.
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38 This study has potential clinical implications by empirically supporting the
39 effectiveness of CFT core components. In particular, this study offers evidence that
40 helping people understand the problems we have with the way our brains have
41 evolved, and then following a series of body focused and mind focused compassion
42 practices has a range of beneficial effects on psychological and physiological
43 processes. Therefore these findings encourage the implementation of CFT based
44 interventions to other community samples and to clinical populations, in which issues
45 of shame and self-criticism may be more prominent.
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1 On the whole, these findings support the efficacy of compassionate mind
2 training components on the cultivation of a compassionate way of relating both to
3 oneself and to others, and on the promotion of well-being.
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24 **Compliance with Ethical Standards**

25
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27 grant (SFRH/BPD/84185/2012), sponsored by the Portuguese Foundation for Science
28 and Technology (FCT).
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32 This work was also part funded by the Compassionate Mind Foundation charity
33 (www.compassionatemind.co.uk).
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38 **Ethical approval:** All procedures performed in studies involving human participants
39 were in accordance with the ethical standards of the institutional and/or national
40 research committee and with the 1964 Helsinki declaration and its later amendments
41 or comparable ethical standards.
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48 **Informed consent:** Informed consent was obtained from all individual participants
49 included in the study.
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56 **Conflict of Interest:** The authors declare that they have no conflict of interest.
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Author Contributions

MM designed and executed the study, performed the data analyses, and wrote the paper. CD assisted with the design and implementation of the study, data analyses and writing of the paper. JD assisted with the design and implementation of the study, data analyses and writing of the paper. JPG collaborated with the design of the study and discussion of results. NP analyzed the HRV data and wrote part of the results. JB collaborated in the writing and editing of the final manuscript. PG designed the study, collaborated in the data analyses and discussion of results and wrote the paper.

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Table 1. Mean scores, standard deviations and statistics for both groups at pre and post-intervention

Compassion variables						
		CMT Group <i>n</i> = 56	WLC Group <i>n</i> = 37	Time	Time X Group	Significant Post-hoc Paired t-test
Measures	Time	Mean (SD)	Mean (SD)			
Compassion for self	T1	66.23 (11.8)	61.59 (12.69)	$F_{(1,91)} = 12.76, p = .001, \eta^2 p = .12$	$F_{(1,91)} = 5.24, p = .024, \eta^2 p = .05$	CMT Group: T2 > T1 $t_{(55)} = -4.10, p < .001,$ 95% CI [-11.22; -3.85], $d = .59$
	T2	73.77 (13.5)	63.24 (15.06)			
Compassion for others	T1	77.71 (11.13)	76.00 (9.38)	$F_{(1,91)} = 19.21, p < .001, \eta^2 p = .17$	$F_{(1,91)} = .21, p = .646, \eta^2 p = .00$	
	T2	82.05 (10.58)	79.51 (9.54)			
Compassion from others	T1	66.02 (14.36)	66.84 (12.34)	$F_{(1,90)} = 3.98, p = .049, \eta^2 p = .04$	$F_{(1,90)} = 4.89, p = .030, \eta^2 p = .05$	CMT Group: T2 > T1 $t_{(54)} = -3.14, p = .003,$ 95% CI [-9.48; -2.89], $d = .40$
	T2	71.80 (14.64)	67.54 (15.01)			
Self-kindness (SCS)	T1	15.27 (4.19)	14.51 (3.91)	$F_{(1,90)} = 5.88, p = .017, \eta^2 p = .06$	$F_{(1,90)} = 10.57, p = .002, \eta^2 p = .11$	CMT Group: T2 > T1 $t_{(54)} = -4.14, p < .001,$ 95% CI [-2.75; -0.96], $d = .56$
	T2	17.13 (4.06)	14.24 (4.33)			

Common humanity (SCS)	T1	13.13 (3.55)	12.65 (3.53)	$F_{(1,91)} = 1.07, p = .304, \eta^2 p = .01$	$F_{(1,91)} = 7.47, p = .008, \eta^2 p = .08$	CMT Group: T2 > T1 $t_{(55)} = -2.83, p = .007,$ 95% CI [-1.74; -0.30], $d = .38$
	T2	14.14 (3.63)	12.19 (3.37)			
Mindfulness (SCS)	T1	12.95 (3.42)	12.16 (3.18)	$F_{(1,90)} = 1.97, p = .164, \eta^2 p = .02$	$F_{(1,90)} = 2.84, p = .095, \eta^2 p = .03$	
	T2	13.84 (3.11)	12.08 (3.45)			
Reassured Self	T1	21.25 (5.82)	20.17 (6.95)	$F_{(1,87)} = 3.27, p = .074, \eta^2 p = .04$	$F_{(1,87)} = 3.02, p = .086, \eta^2 p = .03$	
	T2	22.44 (5.28)	20.20 (6.69)			
Positive emotions						
		CMT Group $n = 56$	WLC Group $n = 37$	Time	Time X Group	Significant Post-hoc Paired t-test
Measures	Time	Mean (SD)	Mean (SD)			
Activated PA	T1	2.80 (0.68)	2.89 (0.65)	$F_{(1,91)} = 3.89, p = .052, \eta^2 p = .04$	$F_{(1,91)} = 1.05, p = .307, \eta^2 p = .01$	
	T2	2.96 (0.67)	2.94 (0.75)			
Relaxed PA	T1	2.17 (0.83)	1.88 (0.90)	$F_{(1,91)} = .15.54, p < .001, \eta^2 p = .15$	$F_{(1,91)} = 5.42, p = .022, \eta^2 p = .06$	CMT Group: T2 > T1 $t_{(55)} = -4.54, p < .001,$ 95% CI [-.63; -.24], $d = .61$
	T2	2.61 (0.76)	2.00 (0.86)			
Safe PA	T1	2.41 (0.85)	2.26 (0.88)	$F_{(1,91)} = .6.15, p = .015, \eta^2 p = .06$	$F_{(1,91)} = 6.15, p = .015, \eta^2 p = .06$	CMT Group: T2 > T1 $t_{(55)} = -3.63, p = .001,$ 95% CI [-.50; -.14], $d = .48$
	T2	2.73 (0.71)	2.26 (0.79)			

Fears of compassion						
		CMT Group <i>n</i> = 56	WLC Group <i>n</i> = 37	Time	Time X Group	Significant Post-hoc Paired t-test
Measures	Time	Mean (SD)	Mean (SD)			
Fears of Compassion for the self	T1	10.00 (9.63)	10.97 (9.30)	$F(1,90) = 4.07, p = .047, \eta^2 p = .04$	$F(1,90) = 14.88, p < .001, \eta^2 p = .14$	CMT Group: T2 < T1 $t_{(54)} = 4.28, p < .001, 95\% \text{ CI } [2.16; 5.95], d = .58$
	T2	5.95 (6.82)	12.24 (11.59)			
Fears of Compassion For others	T1	15.95 (7.86)	13.25 (8.44)	$F(1,90) = 8.33, p = .005, \eta^2 p = .09$	$F(1,90) = 21.07, p < .001, \eta^2 p = .19$	CMT Group: T2 < T1 $t_{(55)} = 5.33, p < .001, 95\% \text{ CI } [3.12; 6.88], d = .71$
	T2	10.95 (6.82)	14.39 (8.00)			
Fears of Compassion From others	T1	14.20 (10.33)	15.16 (9.34)	$F(1,90) = 2.39, p = .126, \eta^2 p = .03$	$F(1,90) = 6.88, p = .010, \eta^2 p = .07$	CMT Group: T2 < T1 $t_{(54)} = 2.84, p = .006, 95\% \text{ CI } [1.01; 5.89], d = .38$
	T2	10.75 (9.27)	16.05 (10.51)			
Negative self-evaluation variables						
		CMT Group <i>n</i> = 56	WLC Group <i>n</i> = 37	Time	Time X Group	Significant Post-hoc Paired t-test
Measures	Time	Mean (SD)	Mean (SD)			
Self-criticism	T1	20.53 (11.52)	19.31 (10.01)	$F(1,88) = 15.89, p < .001, \eta^2 p = .15$	$F(1,88) = 6.87, p = .010, \eta^2 p = .07$	CMT Group: T2 < T1 $t_{(54)} = 4.89, p < .001, 95\% \text{ CI } [2.94; 7.02], d = .66$
	T2	15.55 (8.83)	18.29 (9.95)			
External Shame	T1	21.73 (13.24)	20.22 (12.79)	$F(1,91) = 2.23, p = .139, \eta^2 p = .03$	$F(1,91) = 8.27, p = .005, \eta^2 p = .08$	CMT Group: T2 < T1

	T2	18.14 (11.63)	21.35 (14.29)	=.02		$t_{(55)} = 3.16, p = .003,$ 95% CI [1.32; 5.86], $d = .42$
Self-judgment (SCS)	T1	14.82 (4.53)	14.67 (4.38)	$F_{(1,90)} = 5.51, p = .021, \eta^2 p = .06$	$F_{(1,90)} = 10.54, p = .002, \eta^2 p = .11$	CMT Group: T2 < T1 $t_{(55)} = 4.09, p < .001,$ 95% CI [1.06; 3.09], $d = .55$
	T2	12.75 (3.97)	15.00 (4.49)			
Isolation (SCS)	T1	11.64 (3.67)	11.05 (3.67)	$F_{(1,91)} = 0.37, p = .544, \eta^2 p = .00$	$F_{(1,91)} = 10.54, p = .002, \eta^2 p = .10$	CMT Group: T2 < T1 $t_{(55)} = 2.78, p = .007,$ 95% CI [0.36; 2.24], $d = .36$
	T2	10.34 (3.72)	11.95 (3.93)			
Over-identification (SCS)	T1	11.80 (3.46)	12.14 (3.83)	$F_{(1,90)} = 2.56, p = .113, \eta^2 p = .03$	$F_{(1,90)} = 10.10, p = .002, \eta^2 p = .10$	CMT Group: T2 < T1 $t_{(54)} = 3.57, p = .001,$ 95% CI [0.72; 2.56], $d = .49$
	T2	10.16 (3.06)	12.68 (3.35)			
Psychopathology						
		CMT Group $n = 56$	WLC Group $n = 37$	Time	Time X Group	Significant Post-hoc Paired t-test
Measures	Time	Mean (SD)	Mean (SD)			
Depression	T1	3.82 (4.02)	3.86 (3.67)	$F_{(1,91)} = 11.92, p = .001, \eta^2 p = .12$	$F_{(1,91)} = 2.08, p = .153, \eta^2 p = .02$	
	T2	2.36 (3.20)	3.27 (3.06)			
Anxiety	T1	3.00 (3.58)	3.14 (3.68)	$F_{(1,91)} = 2.63, p = .109, \eta^2 p =$	$F_{(1,91)} = 3.35, p = .071, \eta^2 p = .04$	

	T2	2.11 (3.07)	3.19 (4.03)	.03		
Stress	T1	7312 (4.58)	7.58 (5.10)	$F_{(1,90)} = .91, p = .342, \eta^2 p = .01$	$F_{(1,90)} = 6.16, p = .015, \eta^2 p = .06$	CMT Group: T2 < T1 $t_{(55)} = 2.67, p = .010,$ 95% CI [.37; 2.63], $d = .35$
	T2	5.63 (3.90)	8.25 (5.26)			
Perceived stress	T1	28.91 (8.07)	28.68 (7.40)	$F_{(1,91)} = 4.05, p = .047, \eta^2 p = .04$	$F_{(1,91)} = 6.99, p = .010, \eta^2 p = .07$	CMT Group: T2 < T1 $t_{(55)} = 3.40, p < .001,$ 95% CI [1.14; 4.43], $d = .45$
	T2	26.13 (6.64)	29.05 (6.70)			
HRV						
HRV (RMSSD; ms²)	T1	41.83 (18.11)	45.53 (21.85)	$F_{(1,90)} = 2.01, p = .16, \eta^2 p = .02$	$F_{(1,90)} = 3.52, p = .054, \eta^2 p = .04$	CMT Group: T2 > T1 $t_{(52)} = -2.17, p = .035,$ 95% CI [-16.91; -.66], $d = .30$
	T2	50.61 (28.7)	44.12 (23.31)			

Figure 1. Time x Group interaction for HRV (RMSSD; ms²).

